

The Epoch of Reionization: Astrophysical Constraints

Andrea Lapi

Astrophysics Sector, SISSA, Trieste, Italy
Dip. Fisica, Univ. "Tor Vergata", Roma, Italy

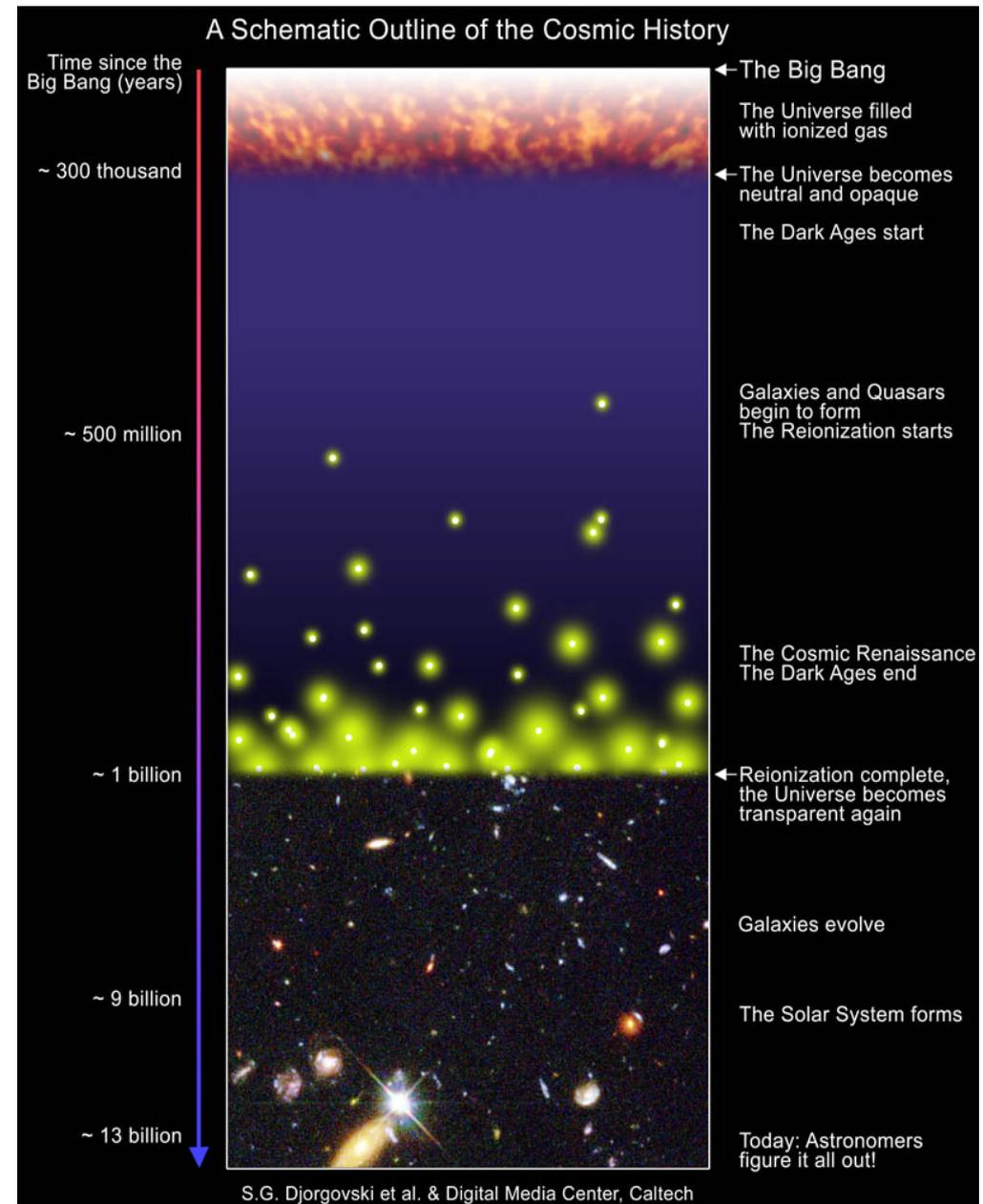
in collaboration with: Z.-Y. Cai, F. Bianchini, M. Negrello,
C. Baccigalupi, G. De Zotti, L. Danese

Overview

- ▶ The “dark age” of the Universe
- ▶ Gunn-Peterson test
- ▶ Evolution of Ly α optical depth
- ▶ Abundance of Ly α emitters
- ▶ Photoionization rates from QSO proximity effect
- ▶ The highest redshift QSO
- ▶ Constraints from IGM temperature
- ▶ Comparison of astrophysical and cosmological constraints

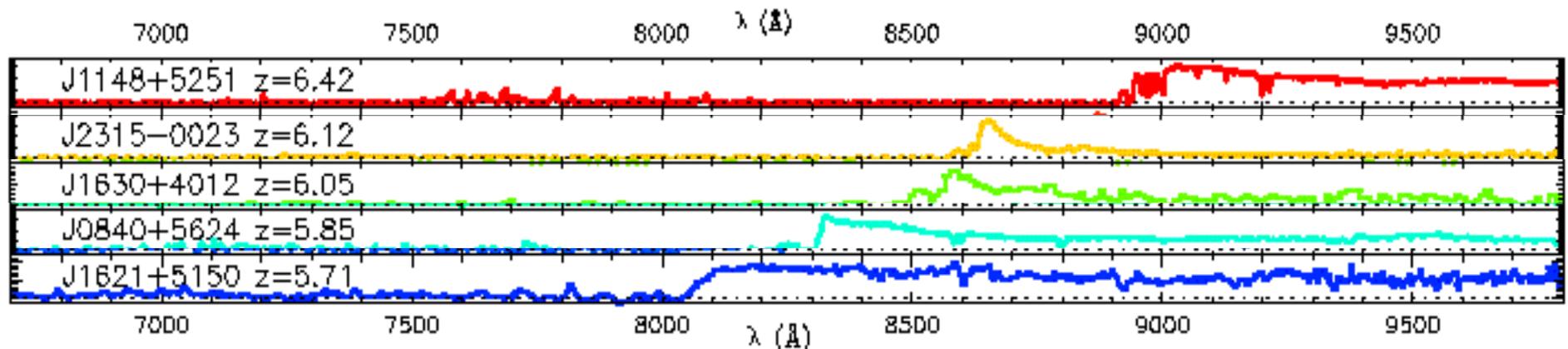
The “dark ages”

- ▶ During an extended era of the cosmic history the Universe was a very dark place, pervaded mainly by **neutral** HI gas. On the contrary, today it is populated by astrophysical sources (stars, galaxies, AGNs) and the HI has almost disappeared from the IGM (containing 90% of H), surviving only in some collapsed structures.
- ▶ The disappearance of HI was due to its transition to ionized state, the **reionization**. When and how it occurred, what were the sources providing enough ionizing photons to achieve it?



Gunn-Peterson test

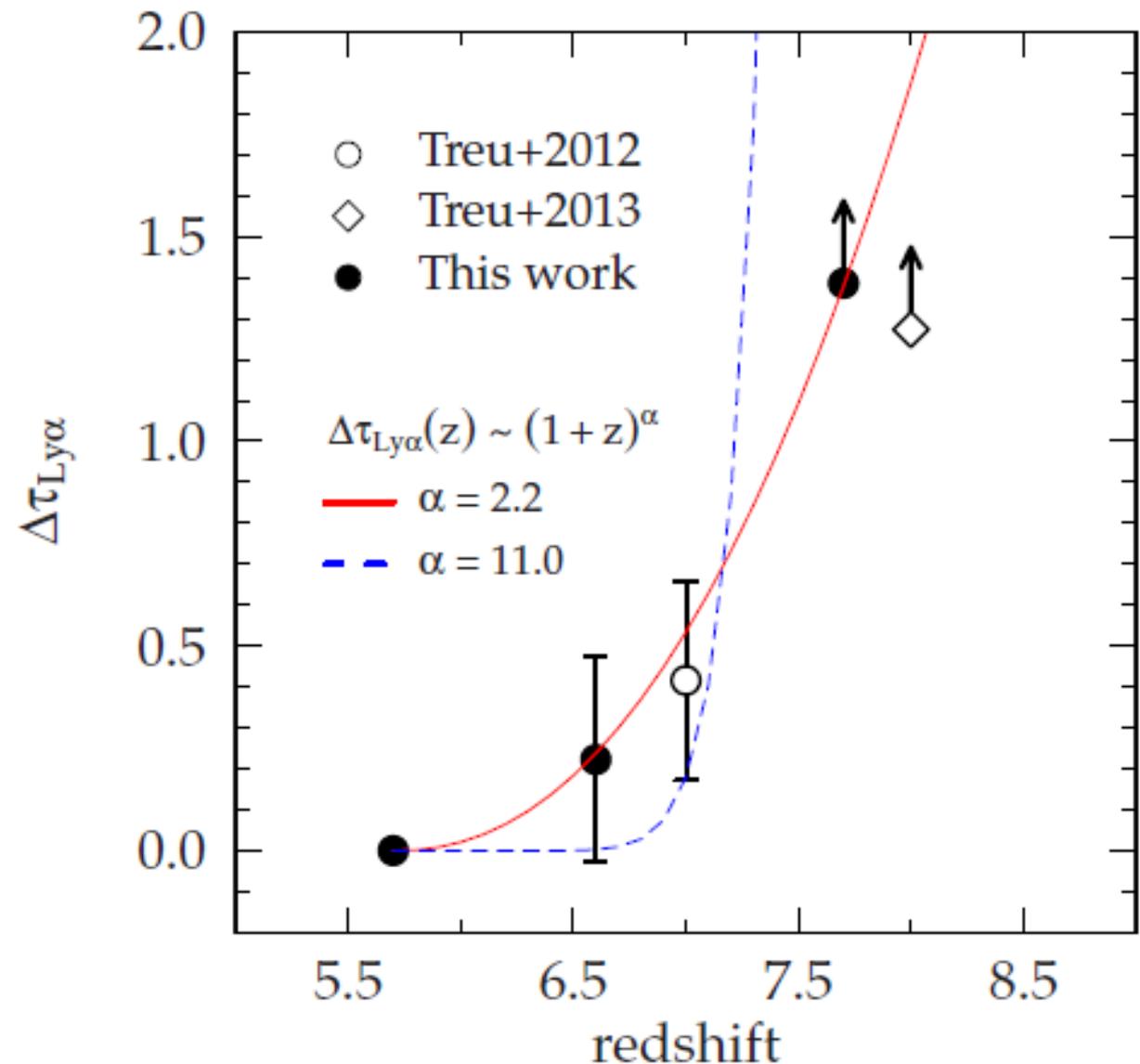
- ▶ HI is very **efficient** in absorbing radiation at the wavelengths of resonant transitions to ionized states. **Lack** of complete absorption by the IGM of Ly α photons emitted from quasars provides firm evidence that the Universe has been reionized ([Gunn&Peterson65](#)).
- ▶ **Detection** of almost complete Ly α absorption in the spectra of distant quasars may suggest that the **end** of reionization epoch occurred around $z\sim 6$ ([Fan+06](#)). However, the high efficiency of HI in Ly α (or even high-order transitions Ly β , Ly γ) absorption allows only to look for final stages of reionization, since one has complete absorption in presence of **tiny** fraction of HI ($\sim 0.1\%$).



Evolution of Ly-alpha optical depth

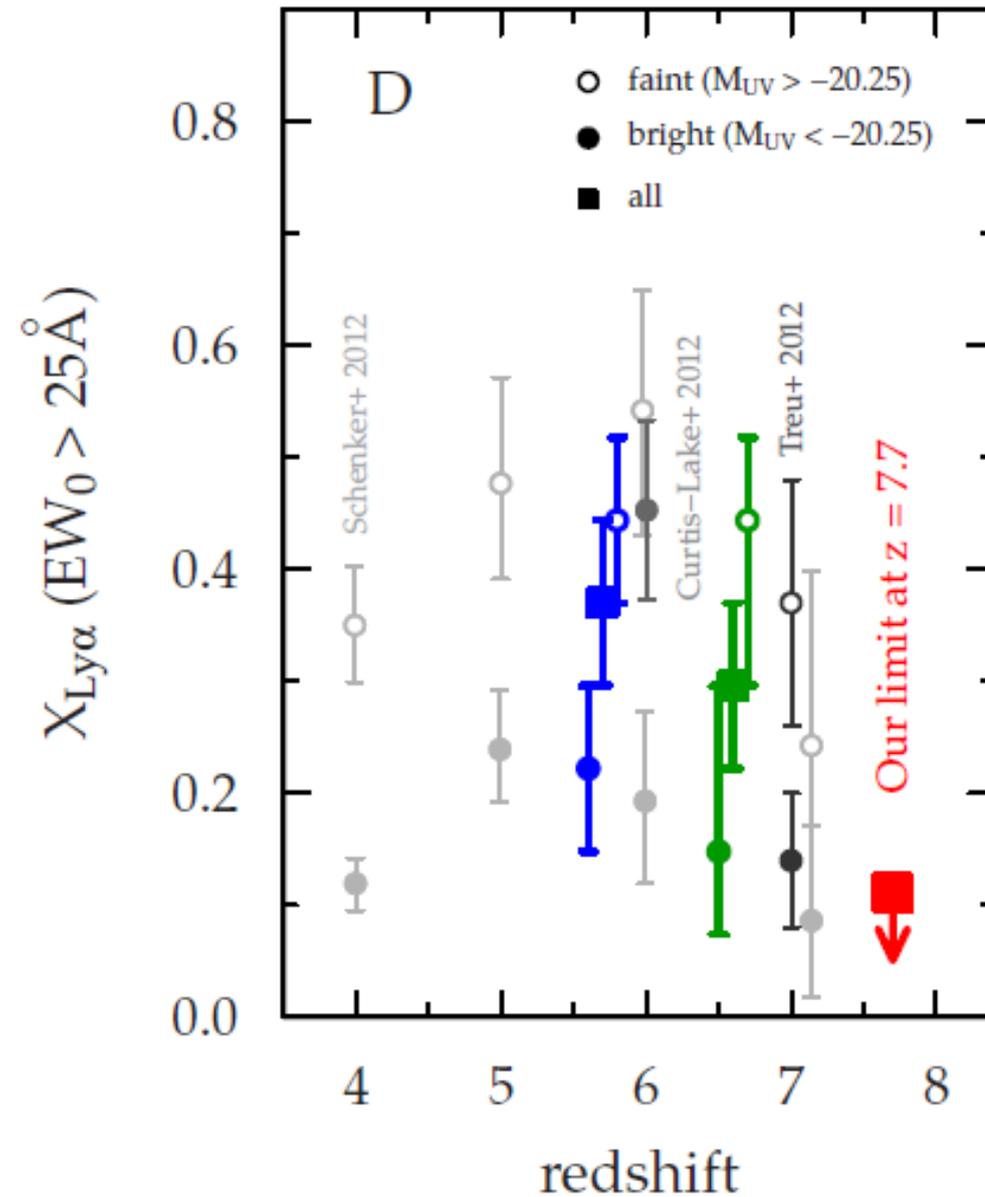
▶ Anyway, recent data on evolution of Ly α optical depth show a sharp **increase** for $z > 6$, that could be indicative of a dramatic rise in the HI fraction of the IGM (Treu+13; Faisst+14).

▶ A similar conclusion is supported by the study of l.o.s. variations in the IGM Ly α optical depth (Becker+14).



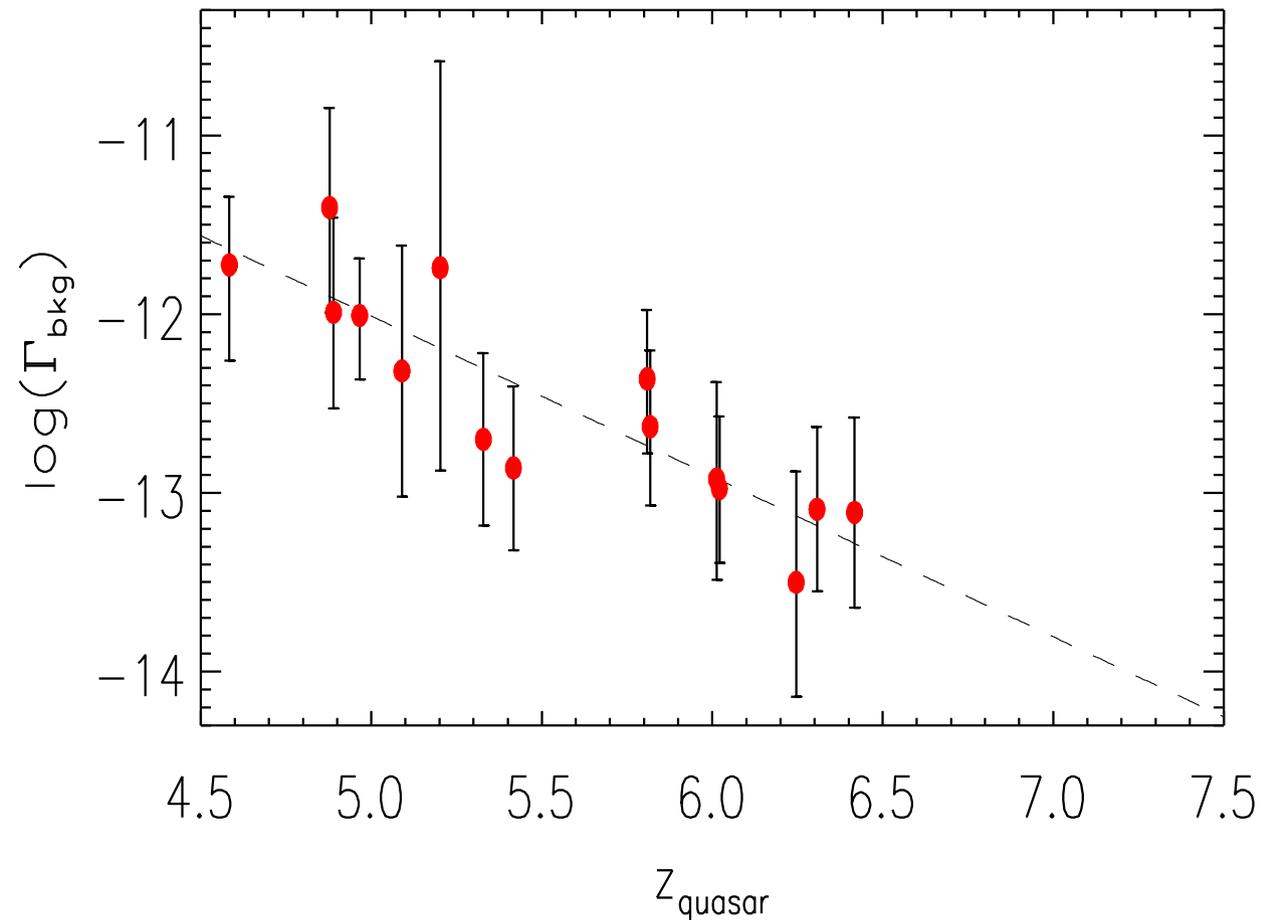
Abundance of Ly-alpha emitters

- ▶ A **decrease** of the LAE fraction is expected when the IGM becomes partly neutral. Data indicate a **drop** of a factor ~ 4 for $z > 6$ (Faisst+14, Mathee+14).
- ▶ **Lower** limits on the neutral HI fraction around 50-70% at $z \sim 7.7$ are inferred, while **no** LAEs in a deep 10 deg² survey at $z \sim 8.8$ with spectroscopic follow-up is found.
- ▶ Similar info from **size** evolution of Ly α halos (?!) at $z > 6$ (Momose+14)



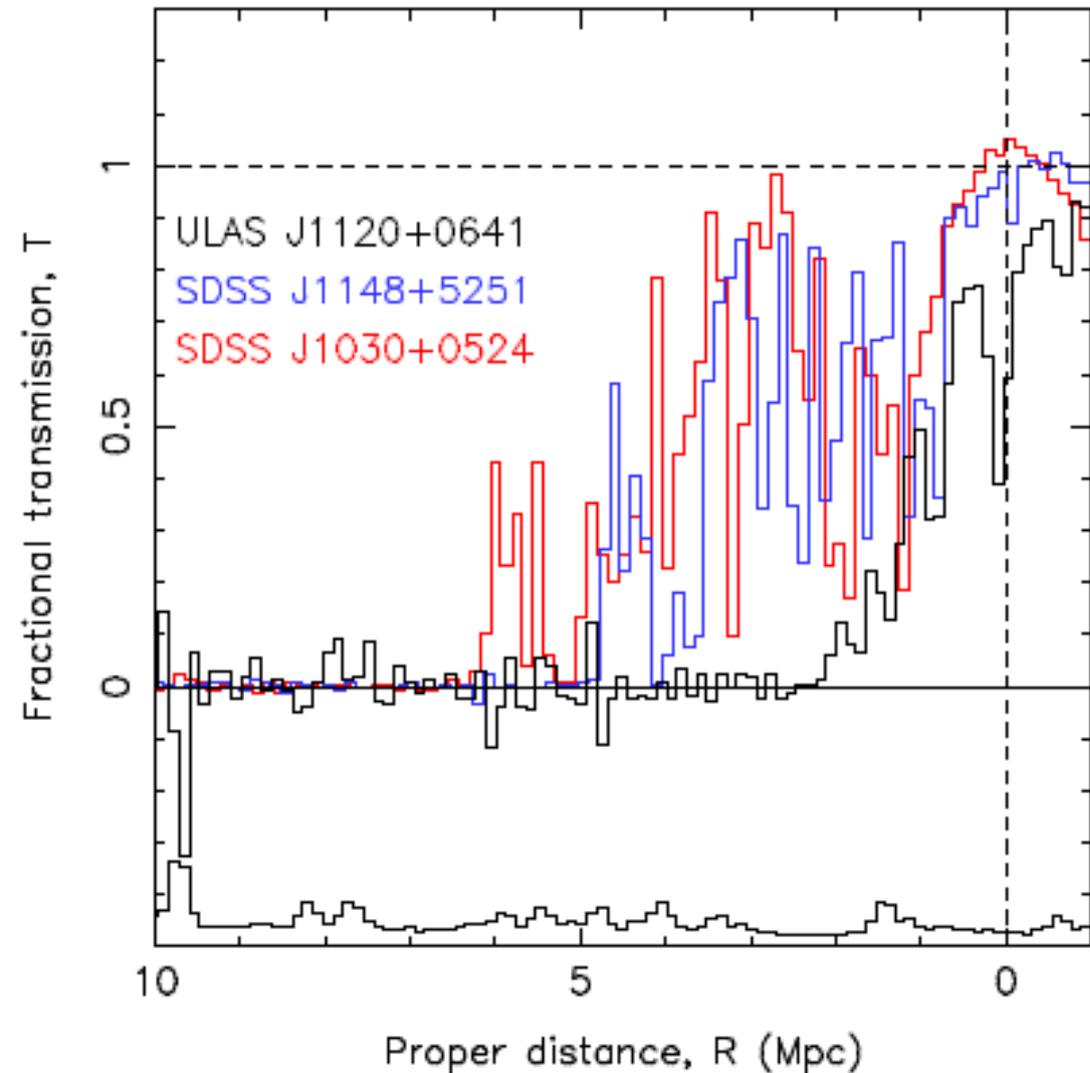
Decrease of photoionization rate

- ▶ Consistent results are found from the complementary approach, by looking at the production rate of **ionizing** photons estimated from the “proximity effect”, i.e., the relative lack of Ly α absorption in the vicinity of a QSO (**Carlswell+82**).
- ▶ A **decrease** of the HI photoionization rate is found from $z \sim 4.5$ to $z \sim 6.5$ (**Calverley+11**). Since the ionizing emissivity is already quite low at $z \sim 6$ (~ 1.5 ionizing photons per H atom), unless the trend changes at higher z , the **onset** of reionization appears unlikely to occur much before $z \sim 6.5$.



Highest-redshift QSOs: transmission profiles

► The Ly-alpha **transmission profile** of the highest-redshift QSO ULAS J112001.48+064124.3 at $z \sim 7.1$ is strikingly different from that of two lower redshift $z \sim 6.3-6.4$ SDSS counterparts. It features a measured near-zone radius of ~ 1.9 Mpc, a factor of ~ 3 **smaller** than is typical for QSOs at $z \sim 6-6.5$ (Mortlock+11).

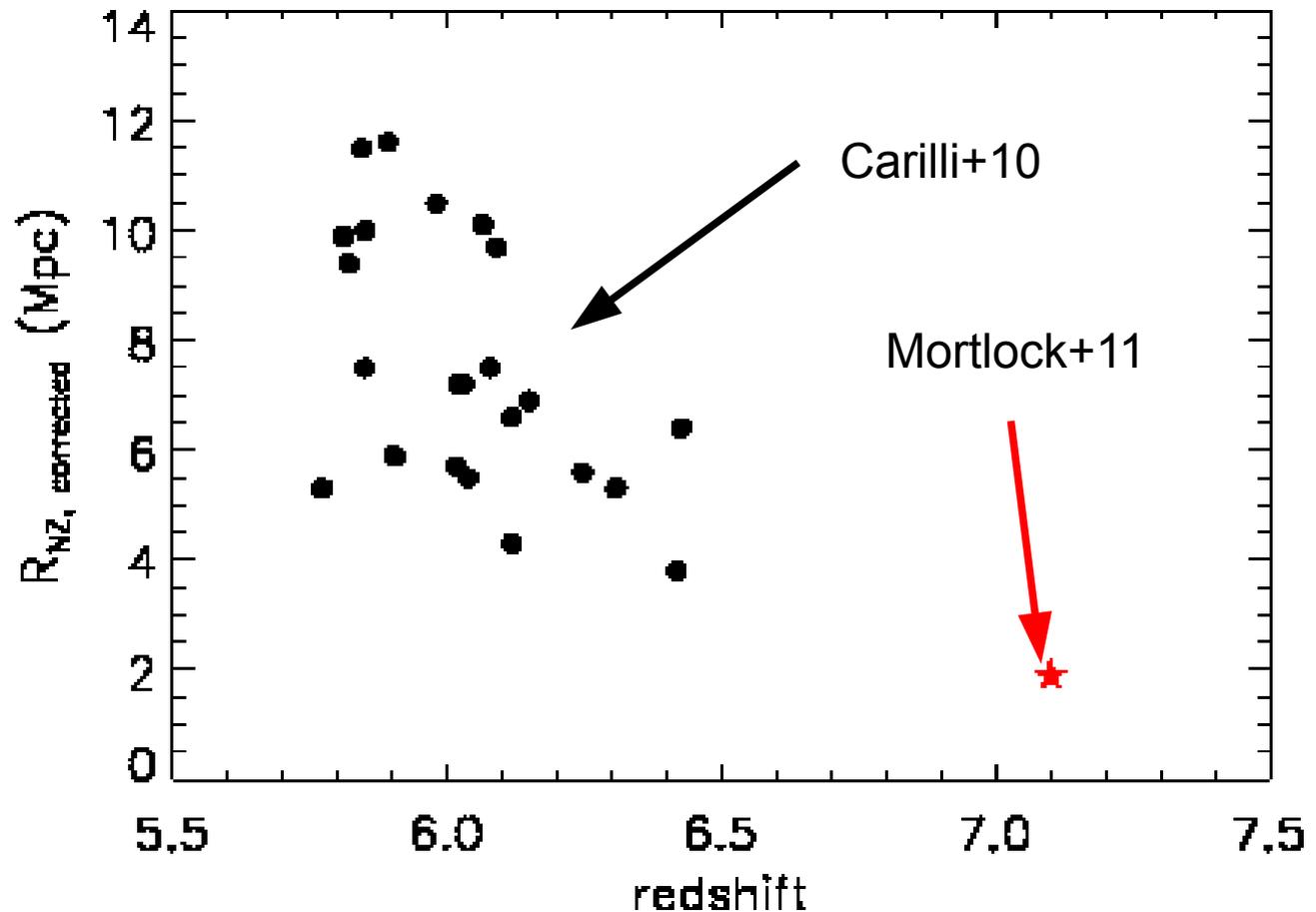


Highest-redshift QSOs: sizes of QSO near zone

- ▶ Declining **extent** of QSO near zone (Mortlock+11, Bolton+11)

$$R \propto (1+z)^{-1} f_{\text{HI}}^{-1/3}$$

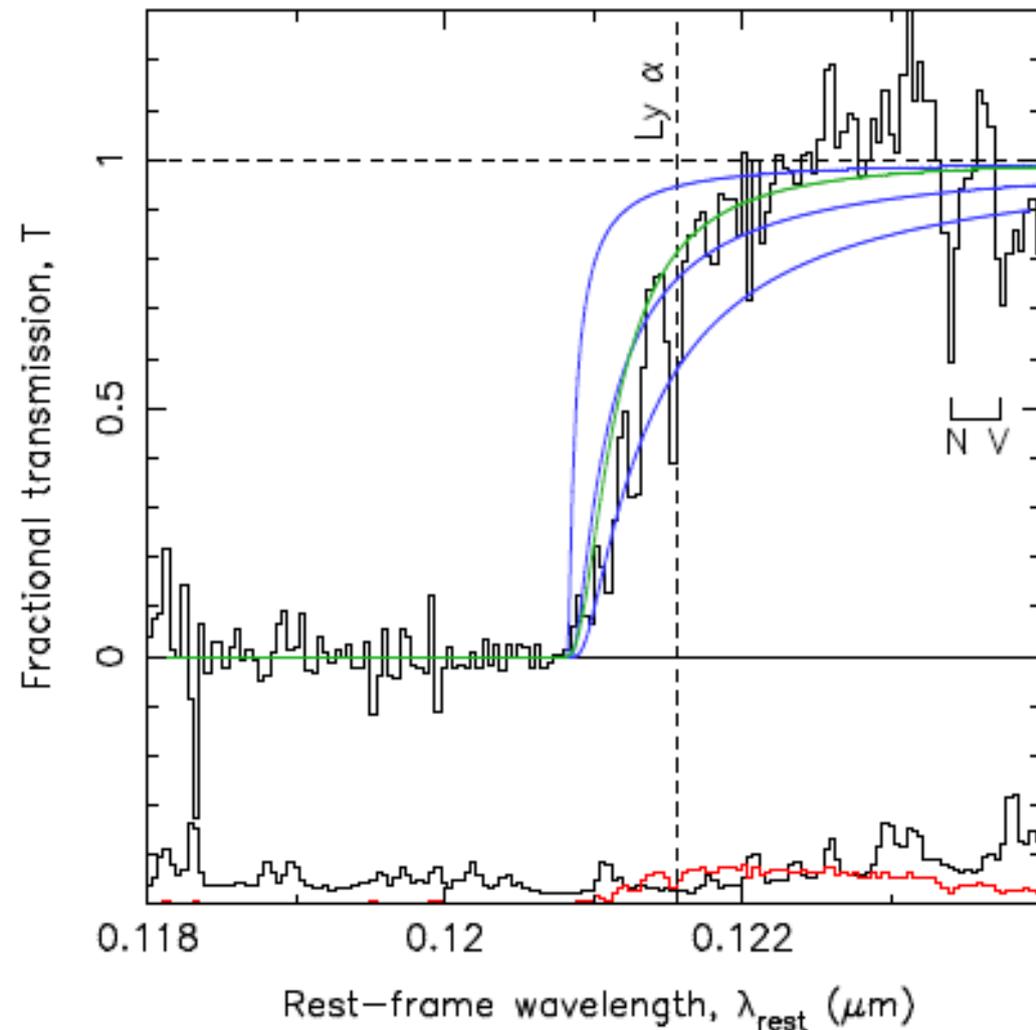
suggests higher- z QSOs live in an IGM whose HI fraction is much **higher**.



Btw, the UV bright age of ULAS... is estimated around 10^{6-7} yr, implying that it has grown its $>10^9 M_{\text{sun}}$ mass during a previously obscured phase!

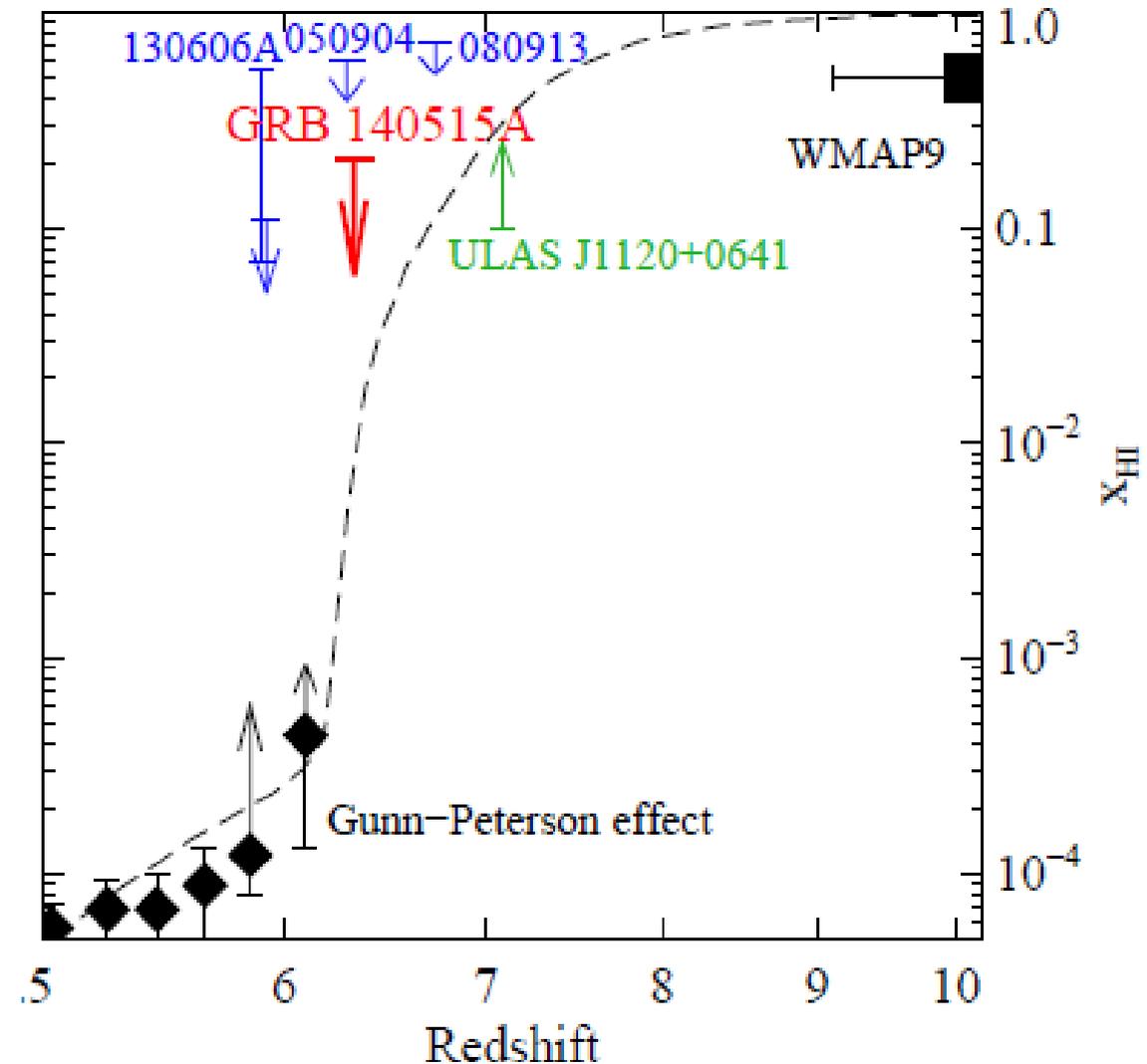
Highest-redshift QSOs: transmission profiles

- Blue lines show the Ly α damping wing of the IGM for neutral fraction $f_{\text{HI}} = 0.1, 0.5, 1.0$ (from top to bottom), assuming a sharp ionization front at 2.2 Mpc. Green curve shows the absorption profile of a damped Ly- α absorber with column density $4 \times 10^{20} \text{ cm}^{-2}$ located 2.6 Mpc in front of the QSO. If the absorption is due to the IGM, this requires $0.1 < f_{\text{HI}} < 1$, a quite **substantial** HI fraction (Mortlock+11).



Highest-redshift GRB afterglows

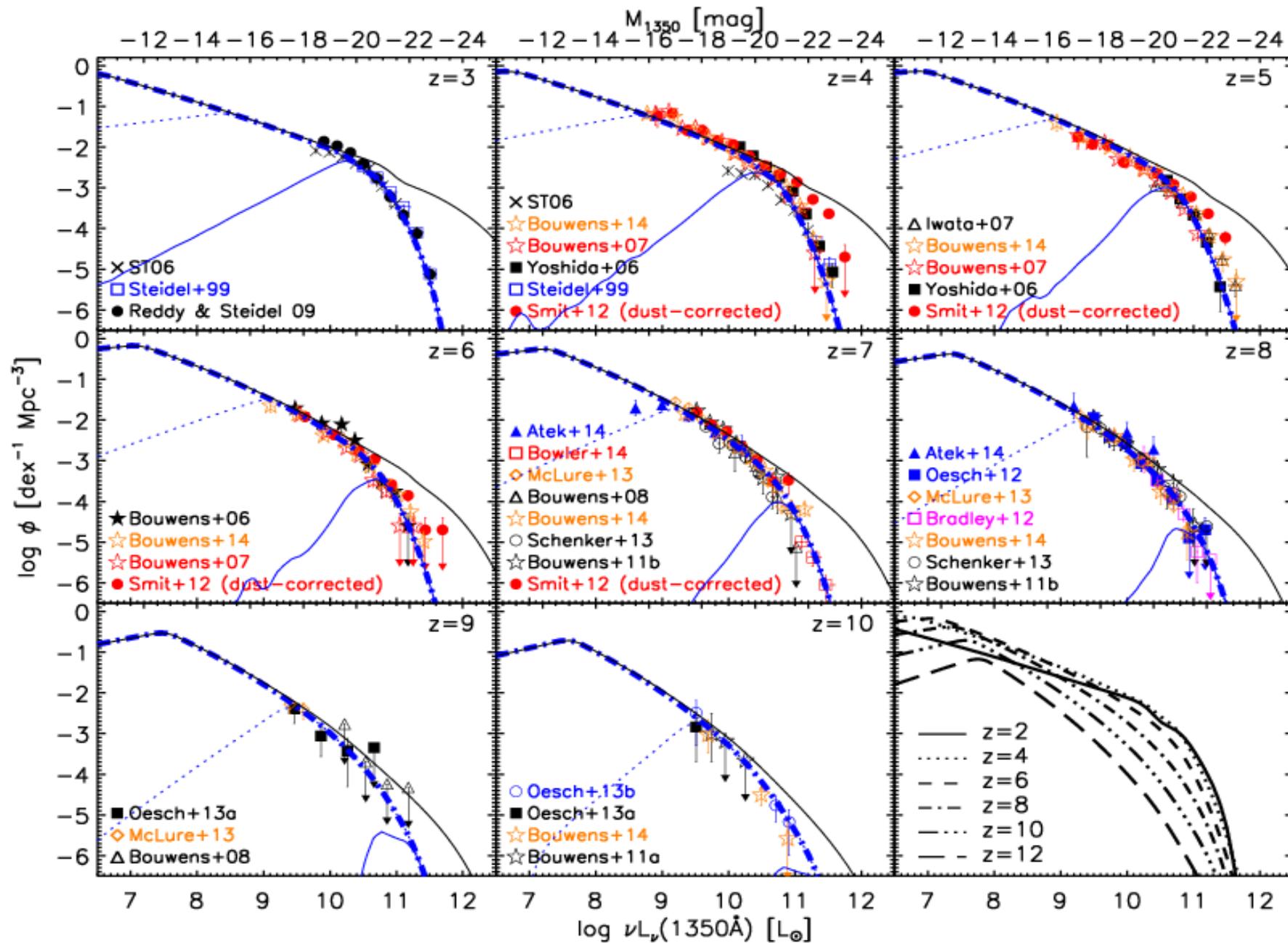
- ▶ High redshift **GRBs** with their bright afterglow can be also exploited as probes of cosmic reionization, dispensing with some of the complications inherent to QSO observations.
- ▶ Extreme **dropoff** in transmission profile of GRB140515A at $z \sim 6.3$ is inconsistent with a substantial HI fraction at these redshift (e.g., [Chornock+13+14](#), [Totani+14](#)).



Constraints from high- z UV luminosity function

- ▶ Other estimates of the production rate of ionizing photons come from determination of the UV **luminosity function** (LF) taking advantage of the fact that even a Universe full of HI is transparent throughout most of the spectrum (apart from resonant lines).
- ▶ Ultradeep observations have provided measurements of the non-ionizing LFs up to $z \sim 10$ (Bouwens+11, Bradley+12, Schenker+13, McLure+13, Finkelstein+14) . **Extrapolating** these information to the ionizing UV suggest that galaxies can **keep** the Universe fully ionized up to $z \sim 6$, but they have can **hardly** do so at $z > 7$ unless the faint end of the LFs is very **steep** and/or the escape fraction of ionising photons is **high** (Robertson+13, Cai+14, Ishigaki+14).

Remark: Although QSOs and (bright) AGNs are effective UV emitters, their space density declines very rapidly at $z > 3$ and therefore have **no** significant role in the H reionization process in the early stages (Becker+13).

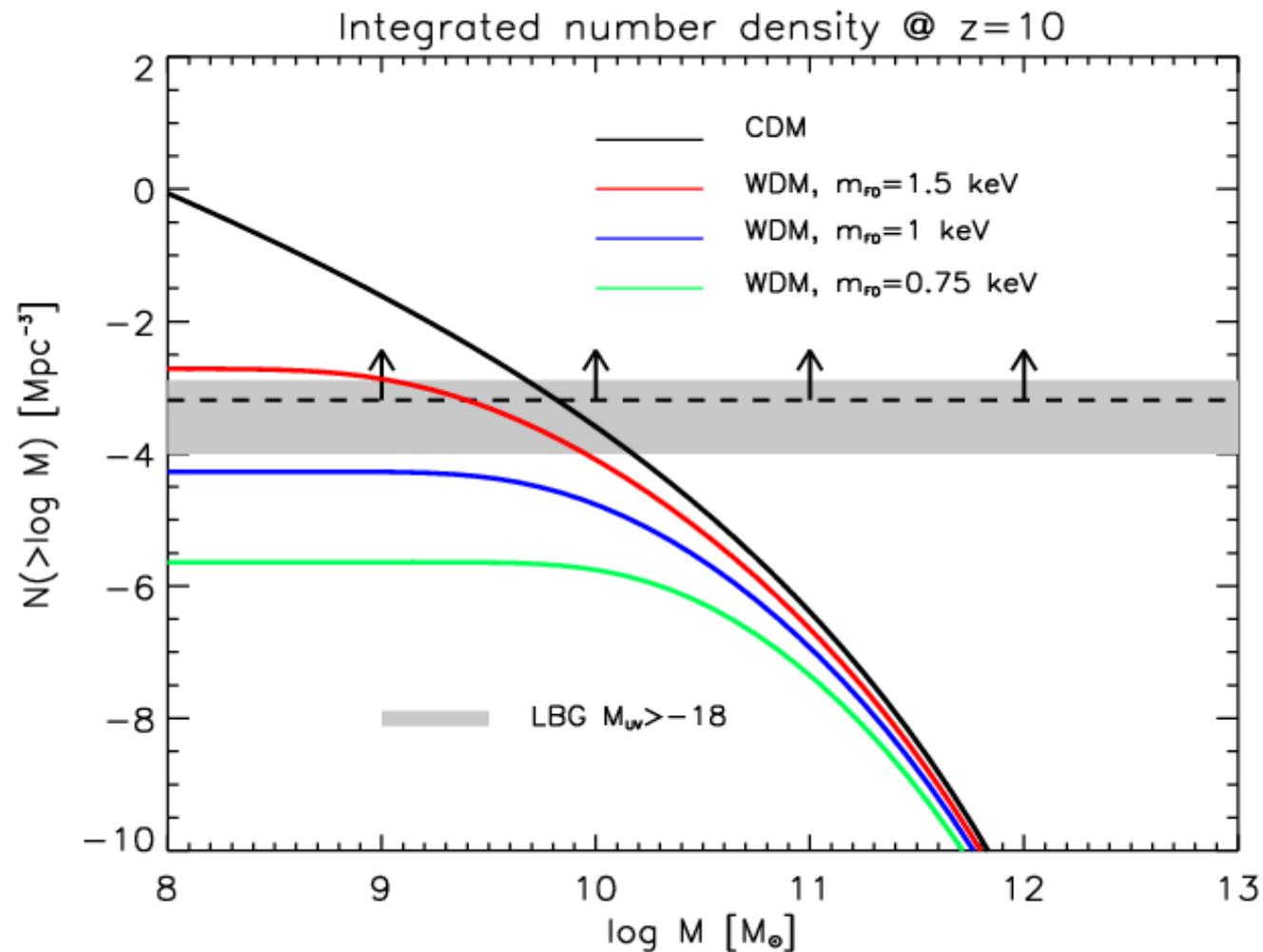
Constraints from high- z UV luminosity function

Constraints from high- z UV luminosity function

- ▶ Self-consistent models for chemical evolution and dust-enrichment, that determine the duration of the UV-bright phase, imply that the already measured LFs at $z \geq 7$ are associated to **halo** masses down to $M_h \approx 10^{10} M_\odot$.
- ▶ The contributions of lower M_h objects is very unlikely to yield a steep faint end of the LF because heating processes (SN explosions, radiation from massive low-metallicity stars and, possibly, stellar winds) **reduce** the SFR in low-mass halos (e.g., Pawlik & Schaye 2009; Hambrick et al. 2011; Finlator et al. 2011a; Krumholz & Dekel 2012; Pawlik et al. 2013; Wyithe & Loeb 2013; Sobacchi & Mesinger 2013) and completely **suppress** it below a critical halo mass, M_{crit} , which may be $>10^9 M_\odot$.
- ▶ Tension with galaxy counts in the local Universe: star formation in small halos at high $z > 6$ will imply tens of bound **satellites** in the Milky Way, and hundreds in the Local Group (Boylan-Kolchin+14) at $z=0$.

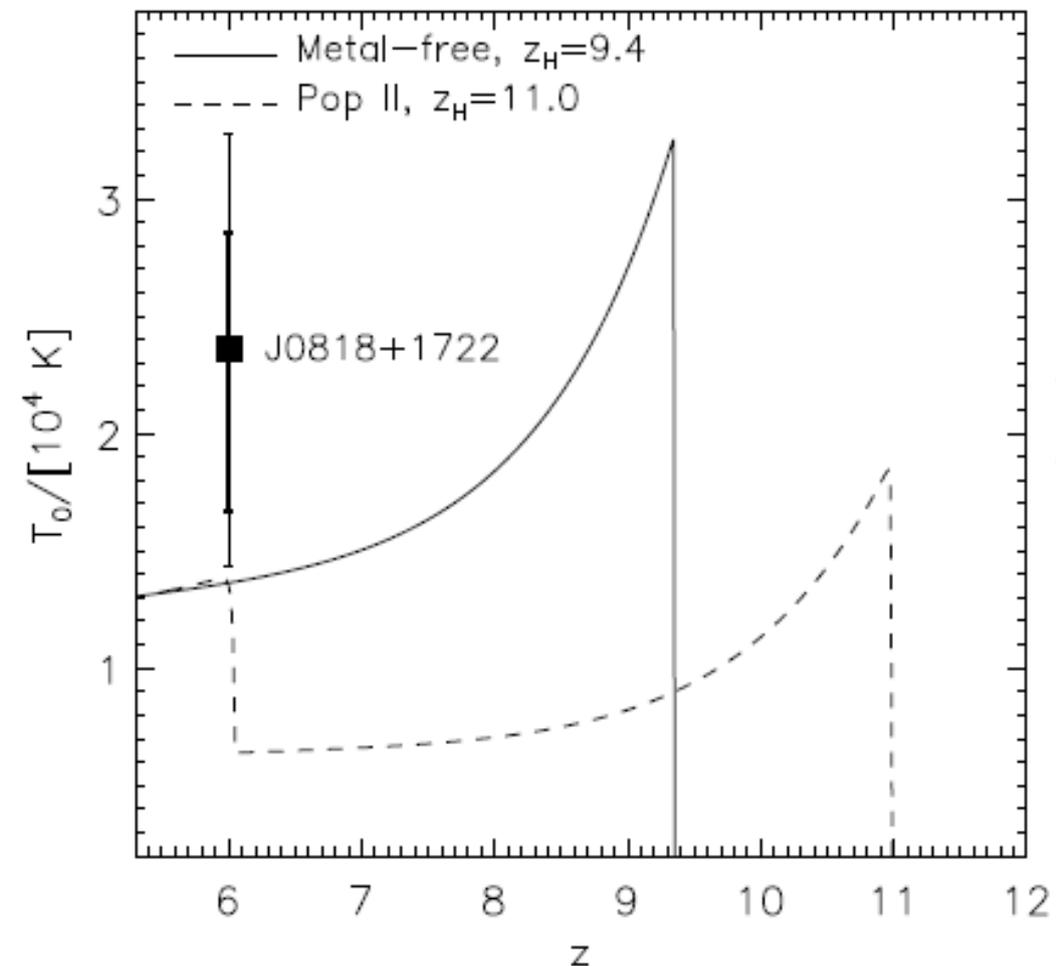
Constraints from high- z UV luminosity function

- ▶ Aside issue: constraints on the shape of the **primordial** perturbation spectrum (e.g., WDM-like, (e.g., Pacucci+13, Stark+14, Maio & Viel 14)).



Constraints from IGM temperature

- ▶ The reionization also raises the IGM **temperature** (e.g., [Miralda-Escude&Rees94](#)). The heated gas retains some memory of the process since its cooling time is long.
- ▶ [Bolton+10](#) investigated the IGM temperature around $z \sim 6$ QSO by analysing the Doppler widths of the Ly α absorption lines. For SDSS J0818+1722 $T \sim 23600^{+5000}_{-6900}$ K is found. If reionization is driven by sources with **soft** spectra (typical of pop II stars) then the constraint $z < 9$ is obtained, while if it is driven by a population of massive, metal-free stars featuring very **hard** ionizing spectra, a tighter upper limit $z < 8.4$ is found.



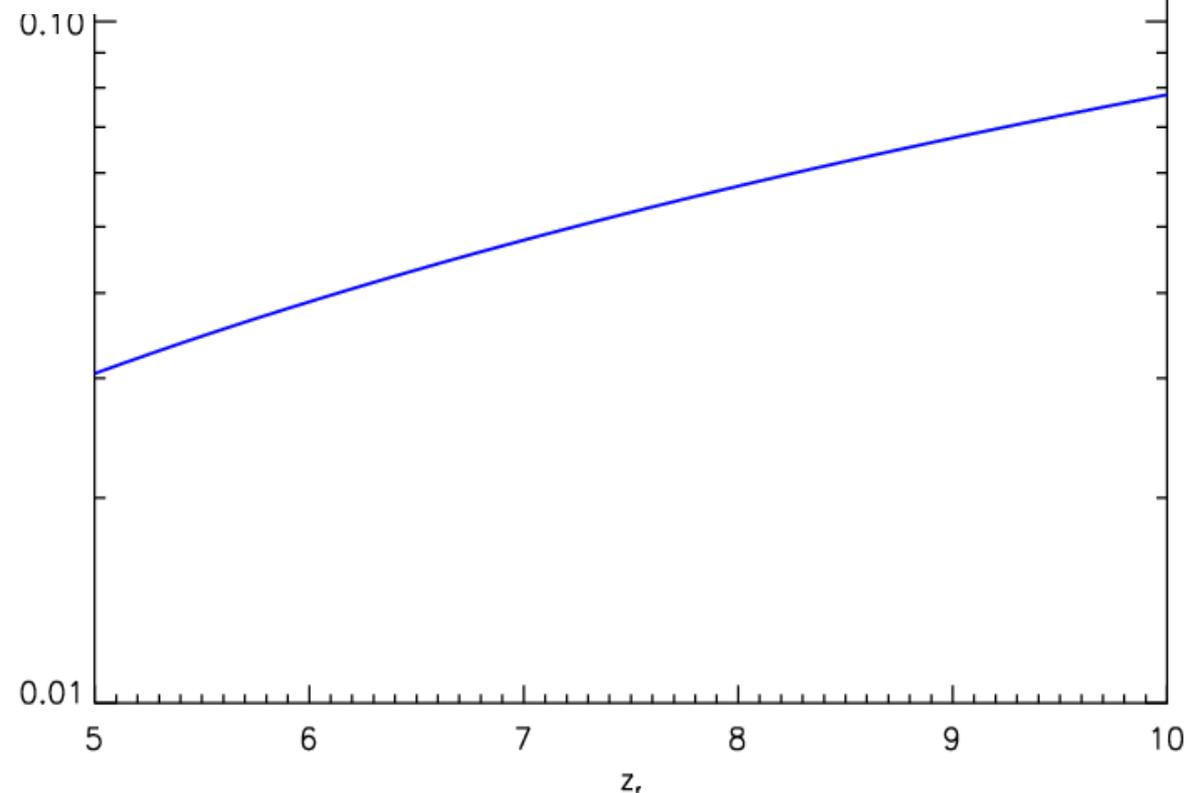
Electron scattering optical depth

- Assuming a Universe **fully** ionized up to a redshift z_r , the effective electron scattering optical depth can be written

$$\tau_{\text{es}} = \int_0^{z_r} dz \frac{n_e \sigma_T c}{(1+z) H(z)} = 0.00123 \frac{[\Omega_M (1+z_r)^3 + \Omega_\Lambda]^{1/2} - 1}{\Omega_M} + 0.002$$

where the last term accounts for extra-scattering from He⁺⁺ at $z < 3$.

z	τ_{es}
6.0	0.0388
6.5	0.0432
7.0	0.0478
7.5	0.0525



Tension between astrophysical and cosmological constraints

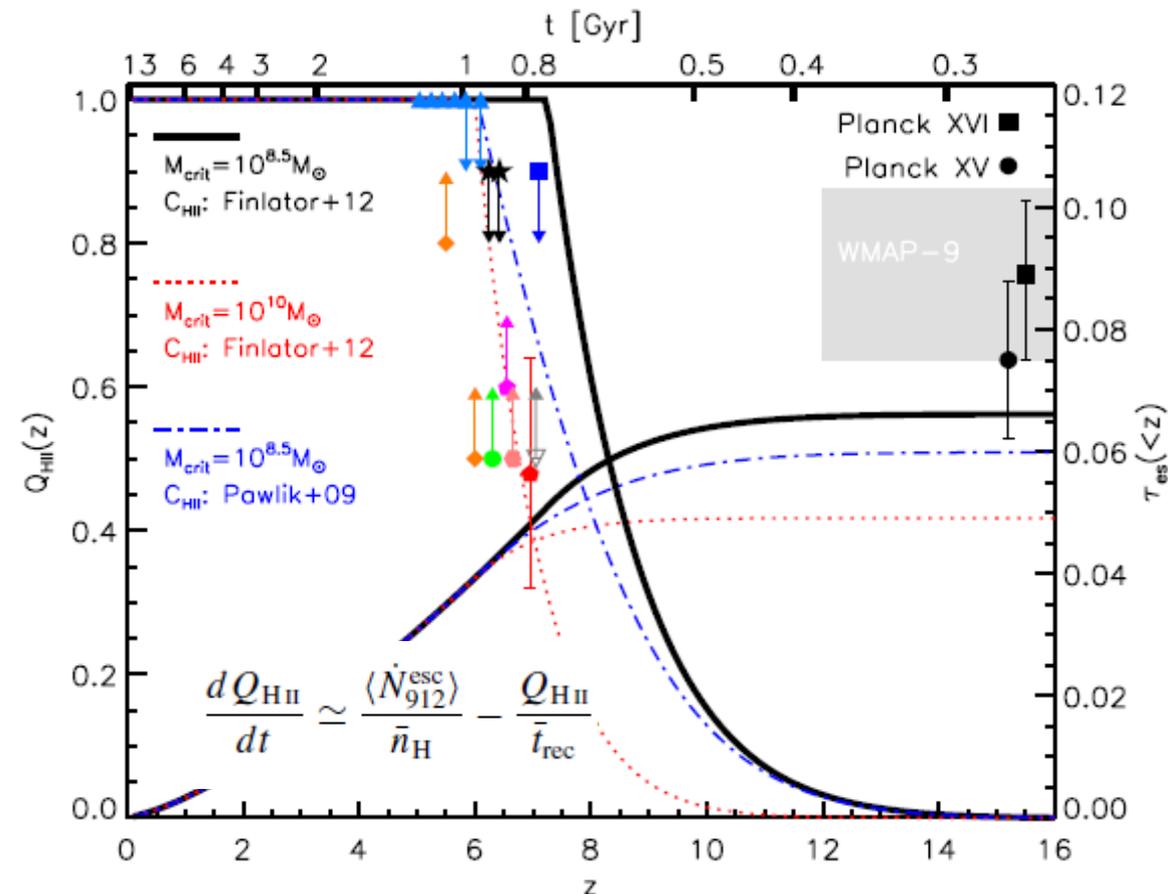
- ▶ Another major, complementary probe of reionization is provided by the **CMB**.

CMB photons interact via inverse Compton interaction with ionized plasma, producing various effects. The most informative is a bump on the CMB **polarization** power spectrum on large angular scales, at multipoles $l \sim z_r^{1/2}$ with an amplitude $\sim \tau_{es}$.

- ▶ **Optical/UV** data imply $\tau_{es} \sim 0.04$ and unless high-redshift galaxies have unexpected properties $\tau_{es} \sim < 0.07$.

- ▶ These values are significantly **lower** than those from WMAP pol. and Planck T data $\tau_{es} \sim 0.089^{+0.012}_{-0.014}$

(Planck Coll. 14)



Conclusions

- ▶ Optical/UV data imply essentially **complete** IGM ionization up to $z=6-6.5$, that assuming a Planck cosmology entail $\tau_{es} > 0.04$.
- ▶ Several observational indications and theoretical arguments (constraining in particular the faint end of the UV luminosity functions) concur in suggesting a fast **increase** of the neutral fraction above $z > 6.5$, so that the additional contributions to τ_{es} should be **small** ($< \sim 0.02$).
- ▶ This is somewhat in **conflict** with the current cosmological constraints from the *WMAP* and *Planck* CMB experiments, that point toward values $\tau_{es} \sim 0.08$, based on *WMAP* polarization. If the analysis of *Planck* polarization will **decrease** τ_{es} to values in agreement with the astrophysical ones, this will allow to derive **key** constraints on the properties of galaxies of low luminosities at high redshifts, on properties of the first stars, on how the reionization actually proceeded.

Conclusions

- ▶ Otherwise, more **exotic** sources must be considered:
 -) pop III stars (and star-clusters)
 -) extremely luminous SNe
 -) miniquasars
 -) annihilating or decaying dark matter
 -) ..

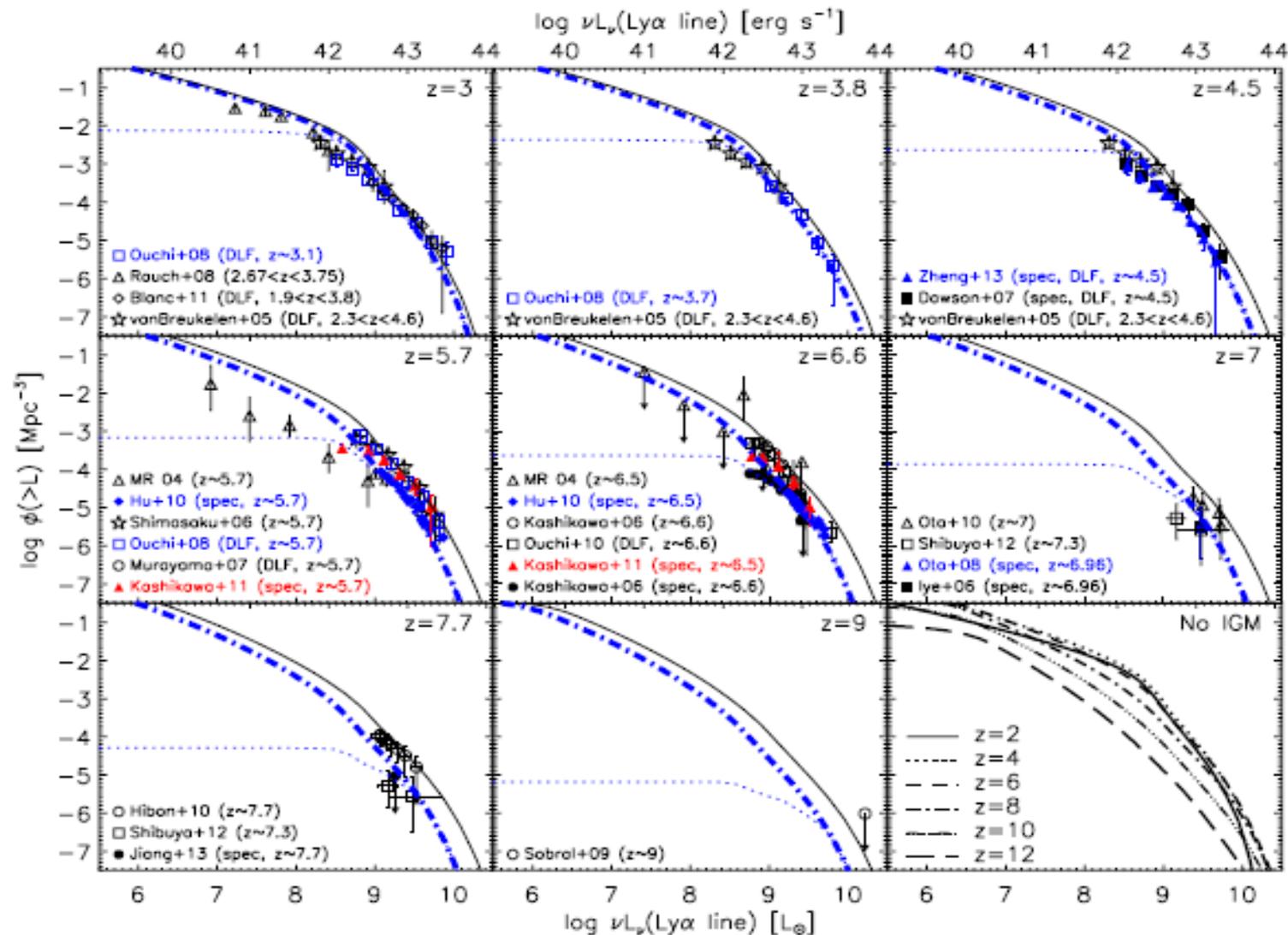
- ▶ To clarify our picture of the reionization process, promising future **observational** perspectives will be provided by:
 -) ultradeep NIR-mid IR observations of the *James Webb Space Telescope* at high redshift (e.g., [Windhorst+13](#)).
 -) 21 cm line emission from the H hyperfine spin transition during the cosmic dawn ([Field59](#), [Madau+97](#)) → LOFAR, SKA (e.g., [Mellema+14](#))

Bonus slide I



Lyman-alpha emission

$$L_{\text{Ly}\alpha}^{\text{obs}} \simeq 4.36 \times 10^{42} \left(\frac{\dot{M}_*}{M_{\odot} \text{ yr}^{-1}} \right) \times f_{912}^{\text{dust}} (1 - f_{912}^{\text{H I}}) f_{\text{Ly}\alpha}^{\text{dust}} f_{\text{Ly}\alpha}^{\text{IGM}} \text{ erg s}^{-1}.$$



Bonus slide II

Ionization

$$\dot{N}_{912}^{\text{esc}} = \dot{N}_{912}^{\text{int}} f_{912}^{\text{esc}} = k_{\text{ion}} \dot{M}_{\star} f_{912}^{\text{esc}} \text{ photons s}^{-1}$$

