A photograph of a modern university building with a courtyard. The building has a light-colored facade and many windows. In the foreground, there is a paved area with a low wall that has the text "NEW YORK UNIVERSITY ABU DHABI" engraved on it. A small water feature is visible in the courtyard. The sky is clear and blue.

Studying compact objects with spectral decomposition methods

Karri Koljonen (NYUAD)

Michael Parker (IoA/Cambridge)

Nathalie Degenaar (IoA/Cambridge)

Jari Kajava (ESAC)

Spectral degeneracy is a problem for us all

Spectral degeneracy is a problem for us all

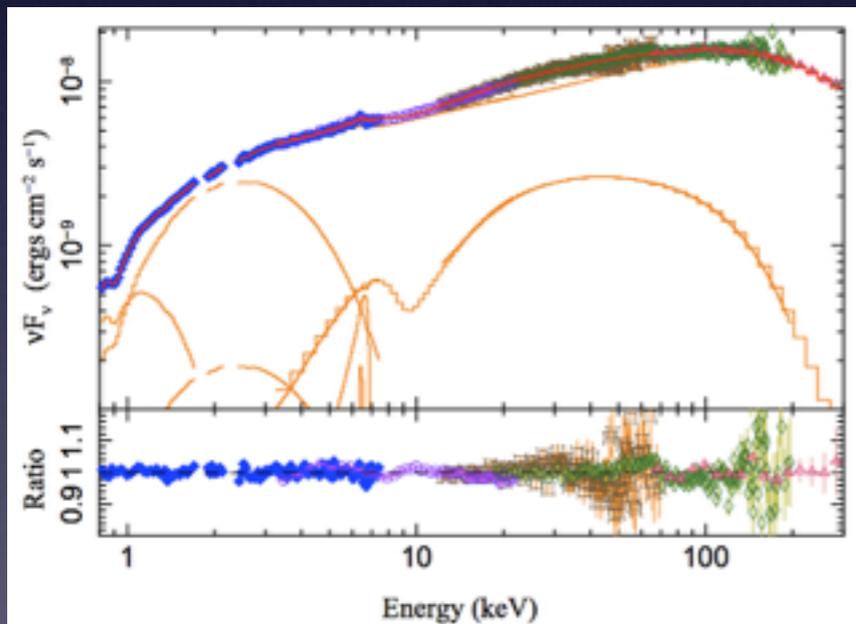
- some examples from X-ray astronomy -

Spectral degeneracy is a problem for us all

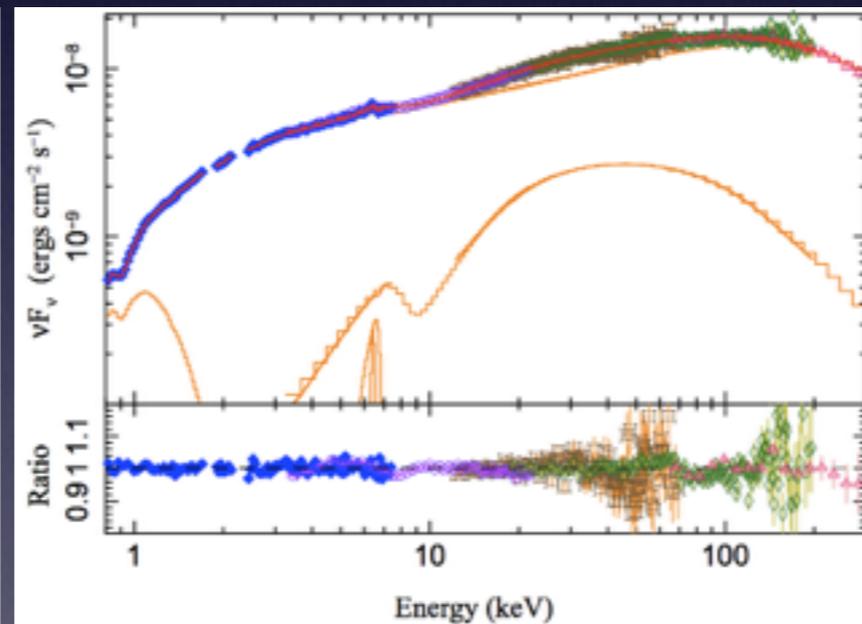
- some examples from X-ray astronomy -

Cyg X-1: Identical data, different fits, similar statistics

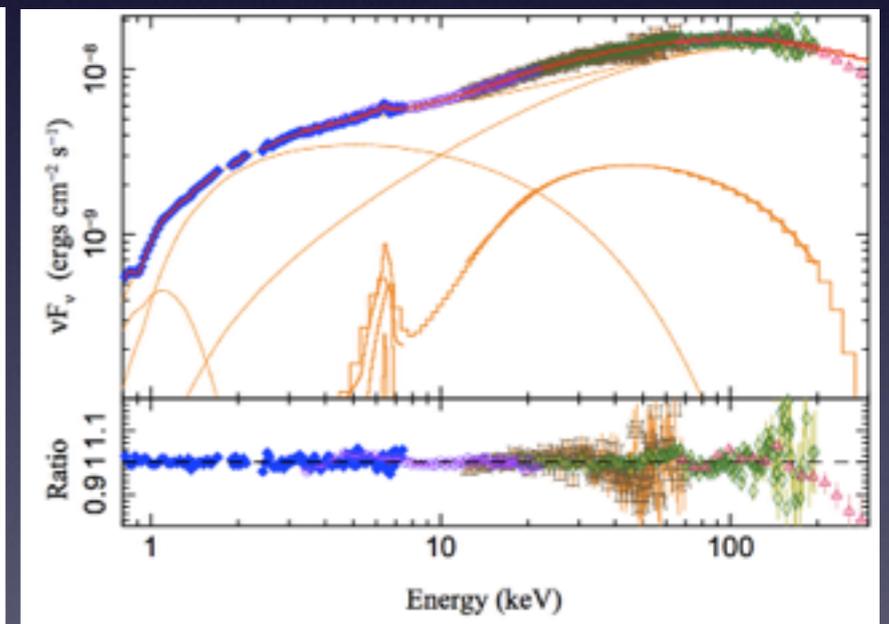
Nowak+ 11



thermal Comptonization with a high seed photon temperature



non-thermal Comptonization with a low seed photon temperature



jet model dominated by synchrotron and SSC emission

Spectral degeneracy is a problem for us all

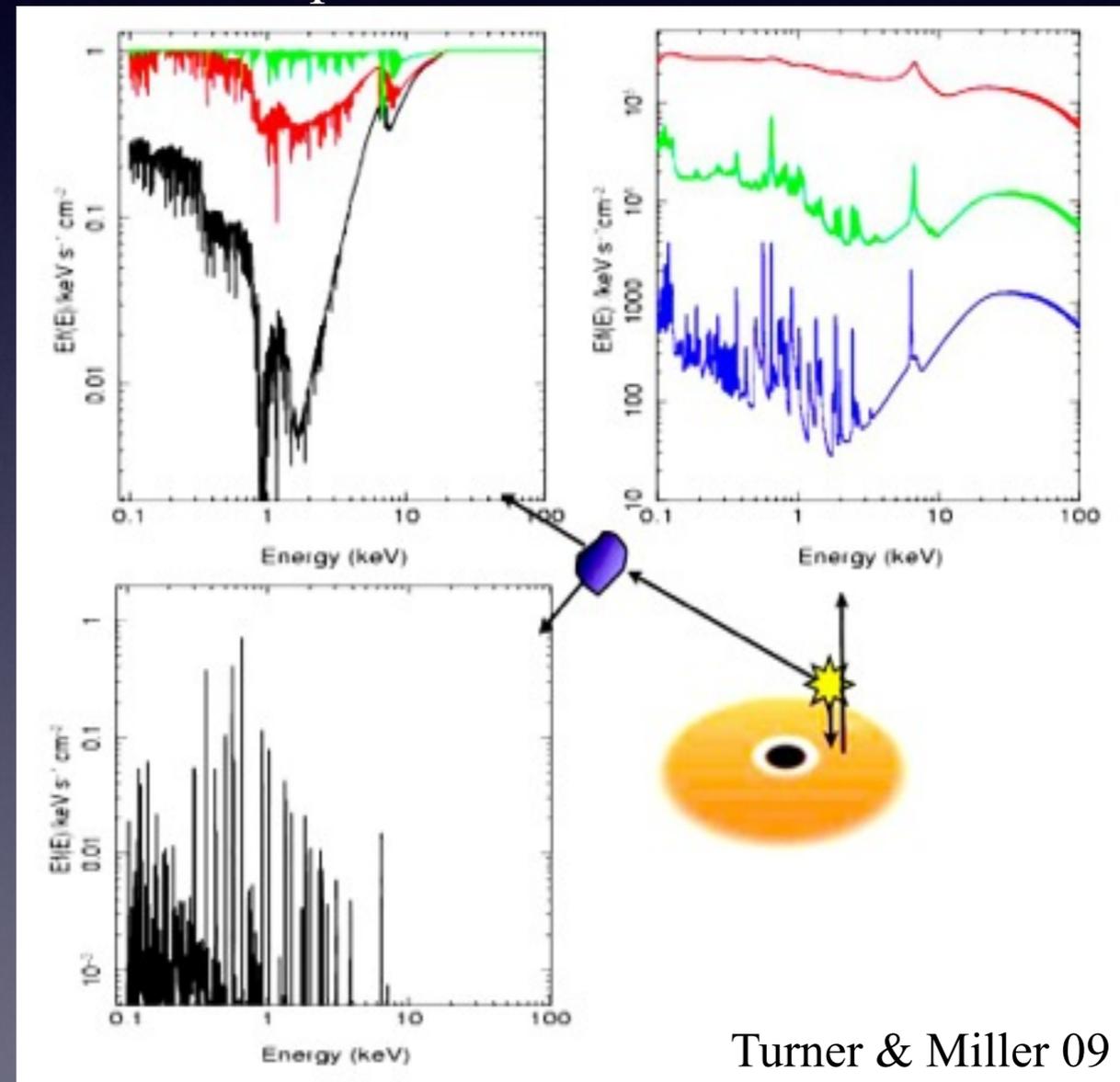
- some examples from X-ray astronomy -

The X-ray spectra of AGN: similar spectra can be achieved with partial covering or reflection. E.g. the case of Mrk 766. Another example Mrk 335 (Gallo et al. 2015).

Partial covering
absorption

or

Reflection

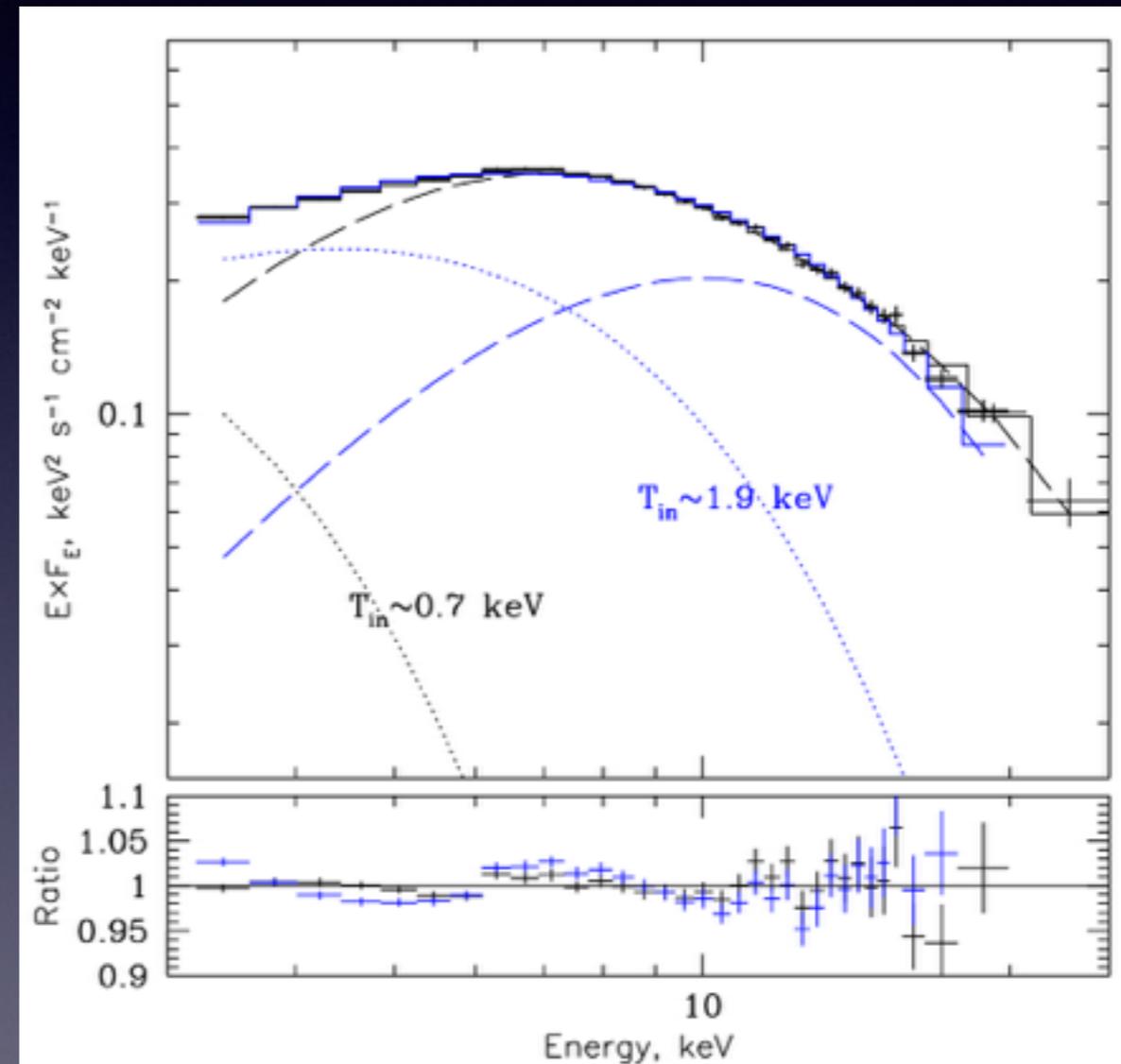


Spectral degeneracy is a problem for us all

- some examples from X-ray astronomy -

Luminous, low-B, accreting NS:
emission from boundary layer and/or
NS surface, and accretion disk.
But in what proportion?

The spectra are smooth, and cannot
be easily decomposed into these
anticipated components.

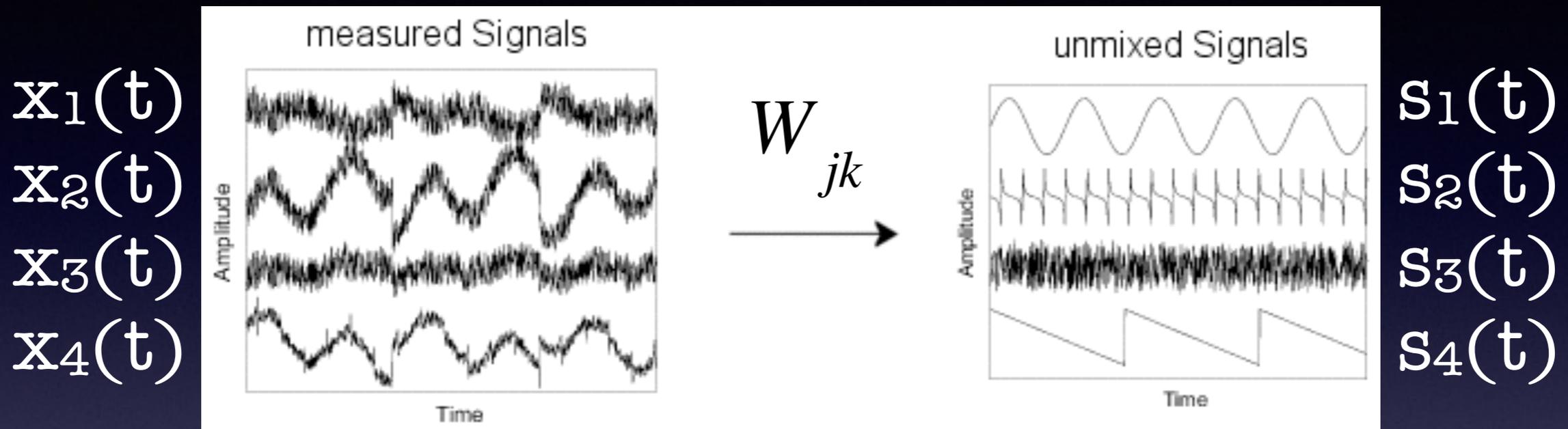


Revnivtsev, Suleimanov & Poutanen 13

Spectral degeneracy is a problem for us all

- Despite the statistical quality and the energy resolution of the data, a variety of spectral models can be fitted to the data with comparable quality
- This makes the approach based only on a χ^2 fitting technique not persuasive
- Clearly there is a need for distinguishing the spectral components that form the total spectra

Blind source separation



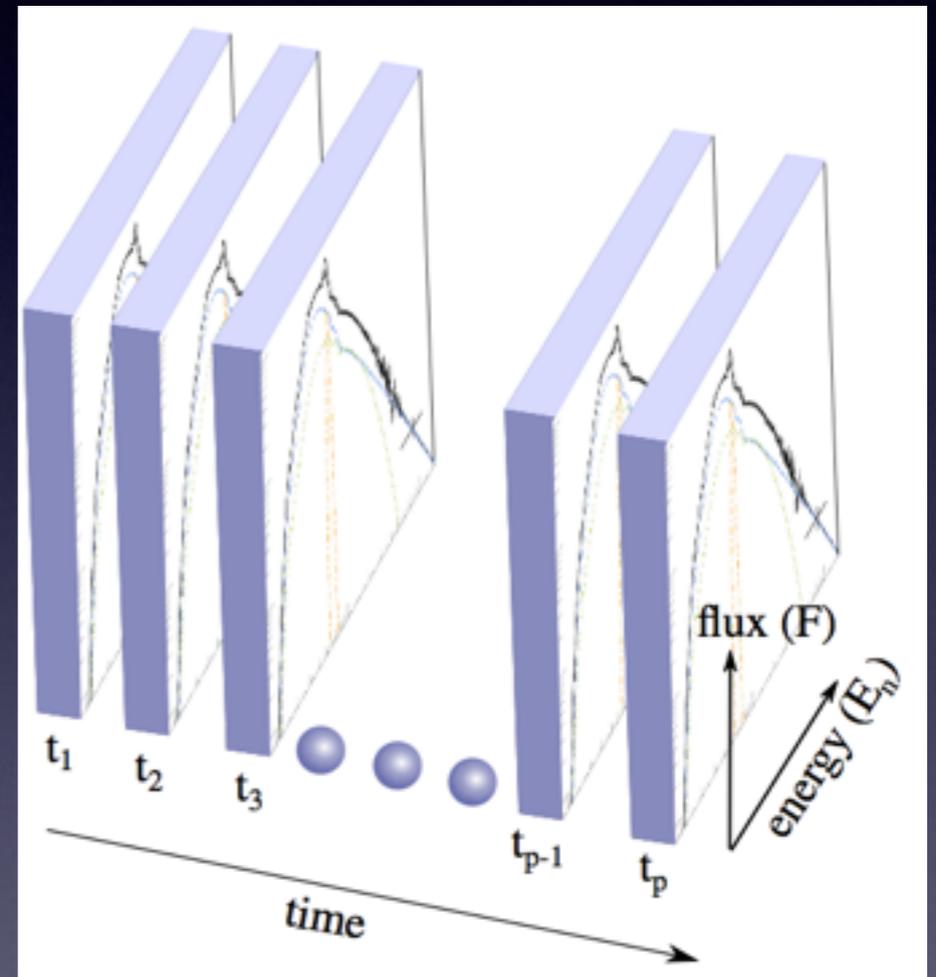
$$X_{ji} \approx \sum_k W_{jk} S_{ki}$$

k = the degree of factorisation

Classical cocktail party scenario: different microphones record the same mixture of sounds from a handful of sources, but receive them slightly differently depending on their spatial location in the room.

Decomposing spectral data

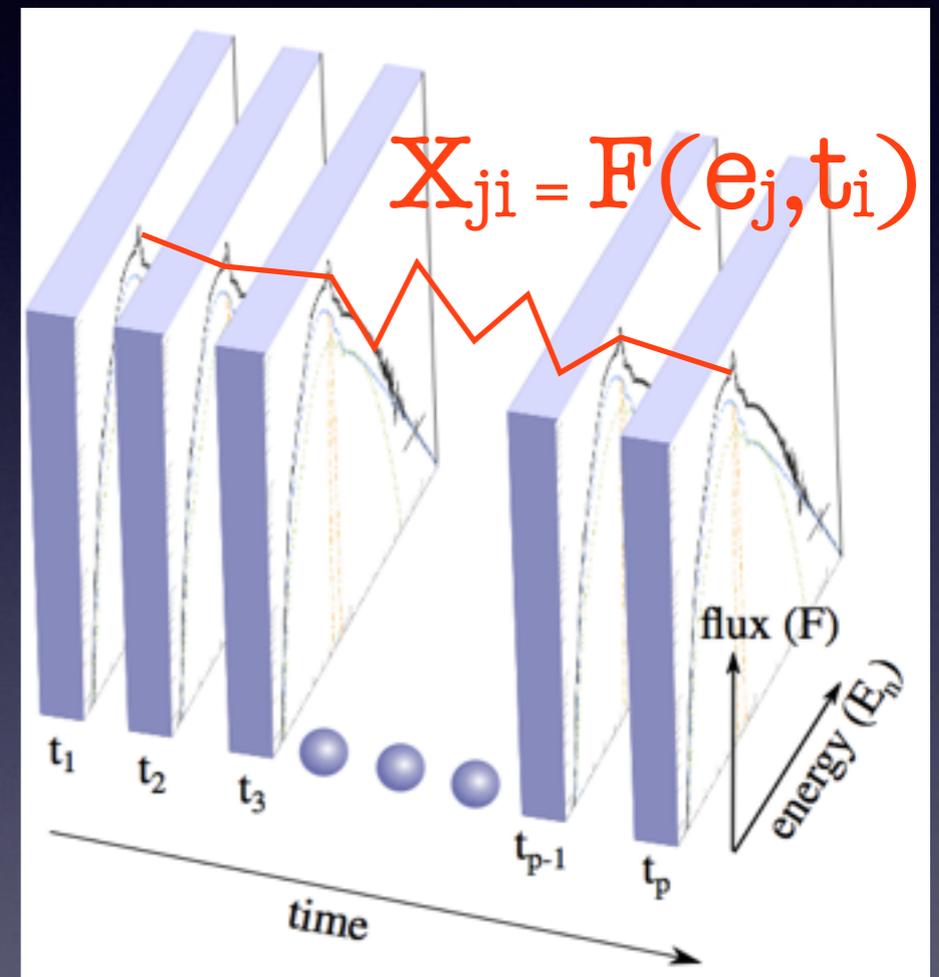
- Now the mixed signals X_{ji} are lightcurves measured over each energy E_j running over all the observations t_i .
- The “cocktail party microphones” are instead the energy bands that record the same mixture of photons from a handful of emission processes but receive them slightly differently depending on the energy of the band in question.



$$X_{ji} \approx \sum_k W_{jk} S_{ki}$$

Decomposing spectral data

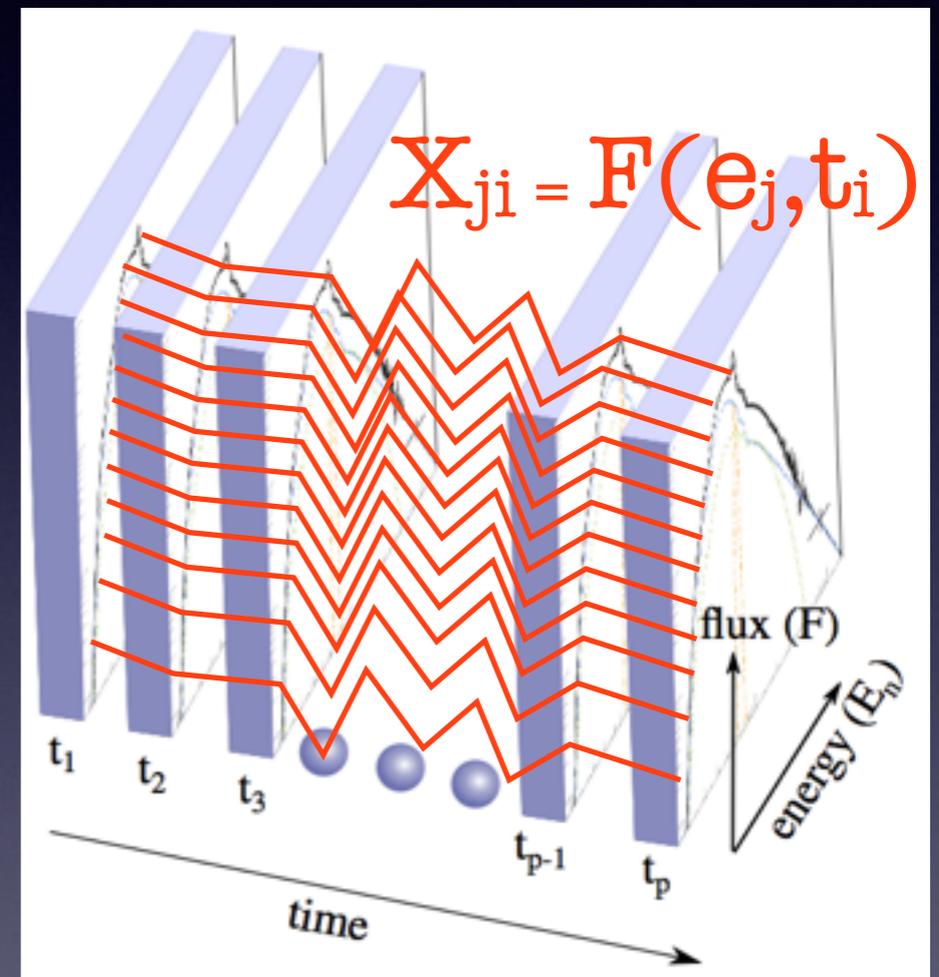
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Mr. Blackbody



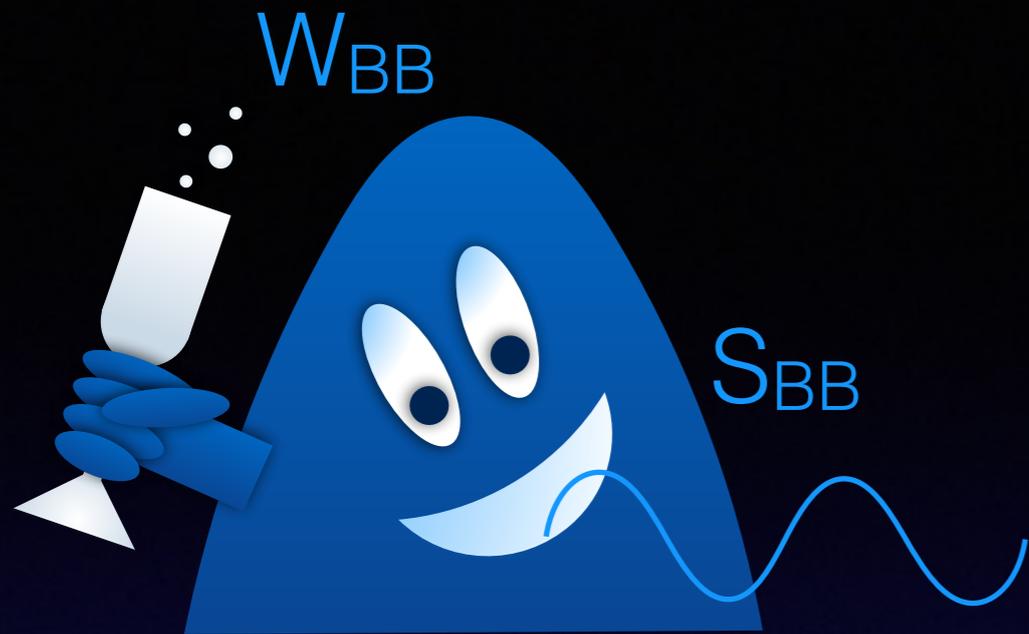
Ms. Powerlaw



Mr. Blackbody



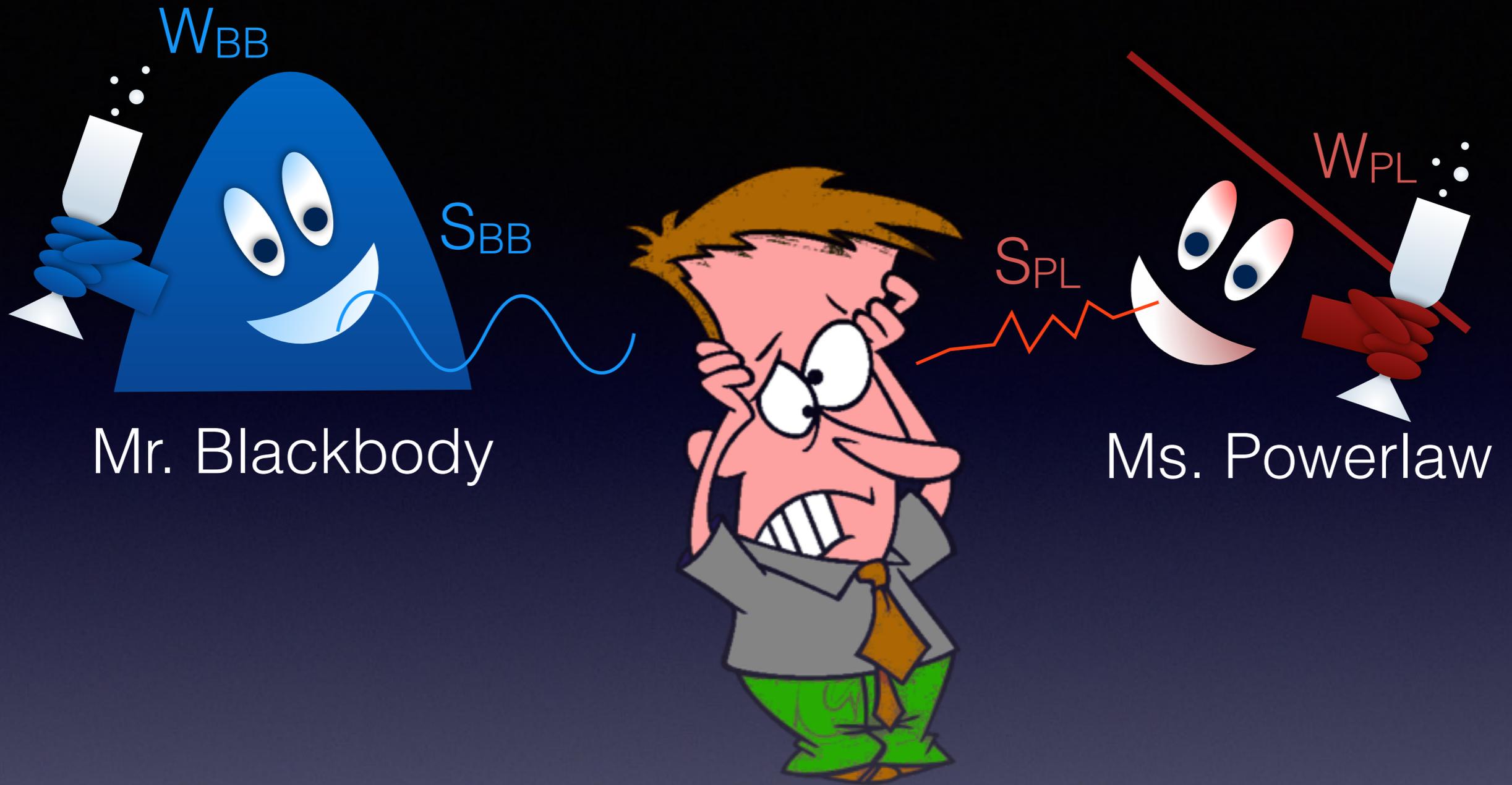
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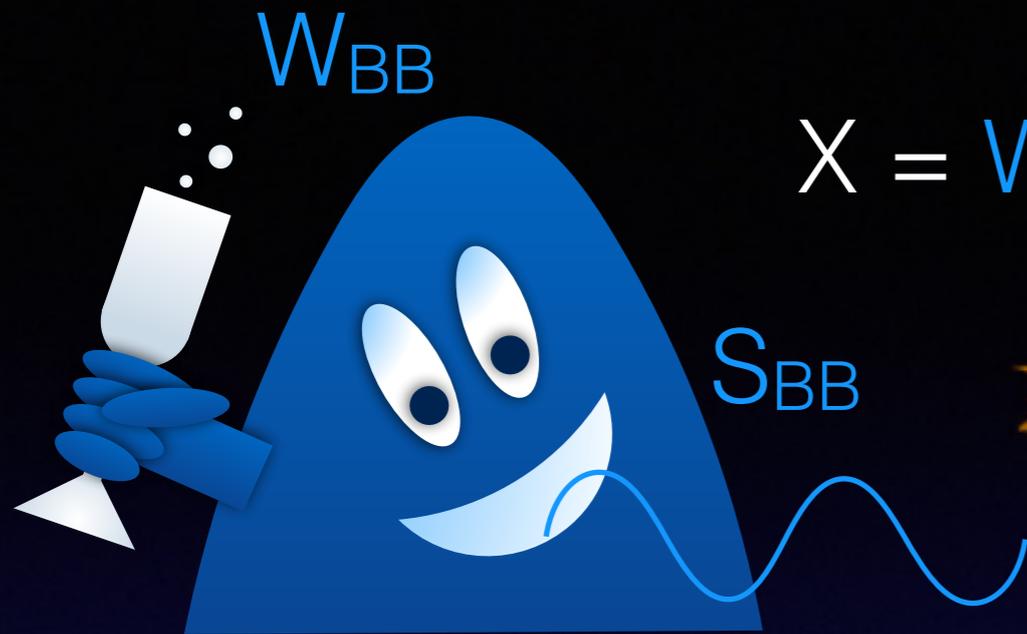
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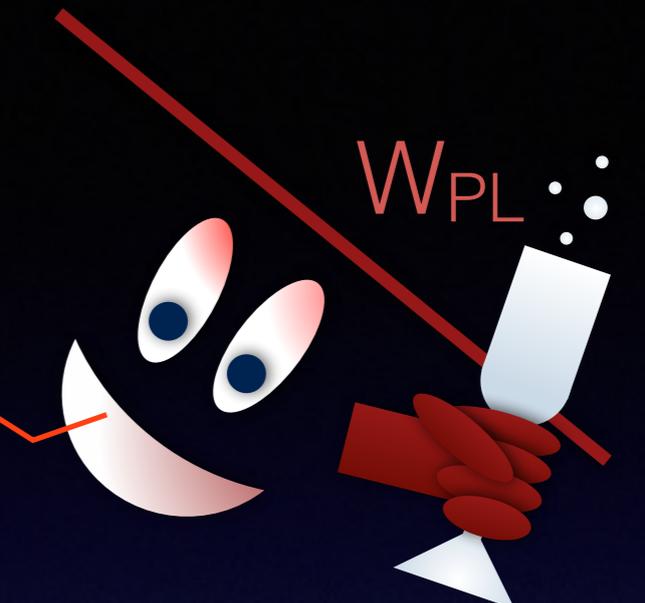
Ms. Powerlaw



$$X = W_{BB}S_{BB} + W_{PL}S_{PL}$$

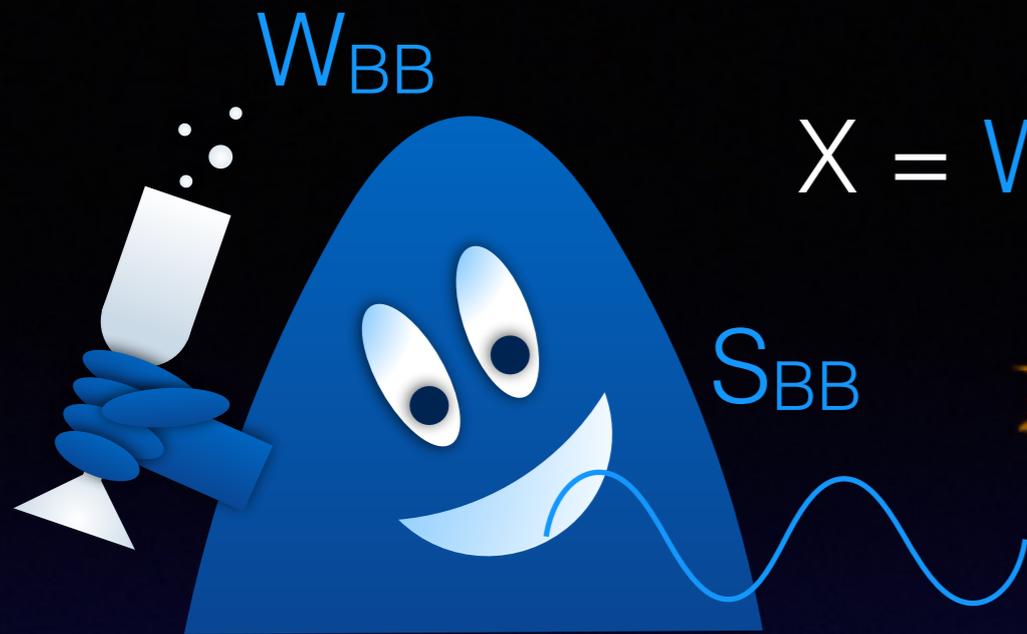


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Ms. Powerlaw

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Ms. Powerlaw

Prescription: linear decomposition methods

PCA = principal component analysis

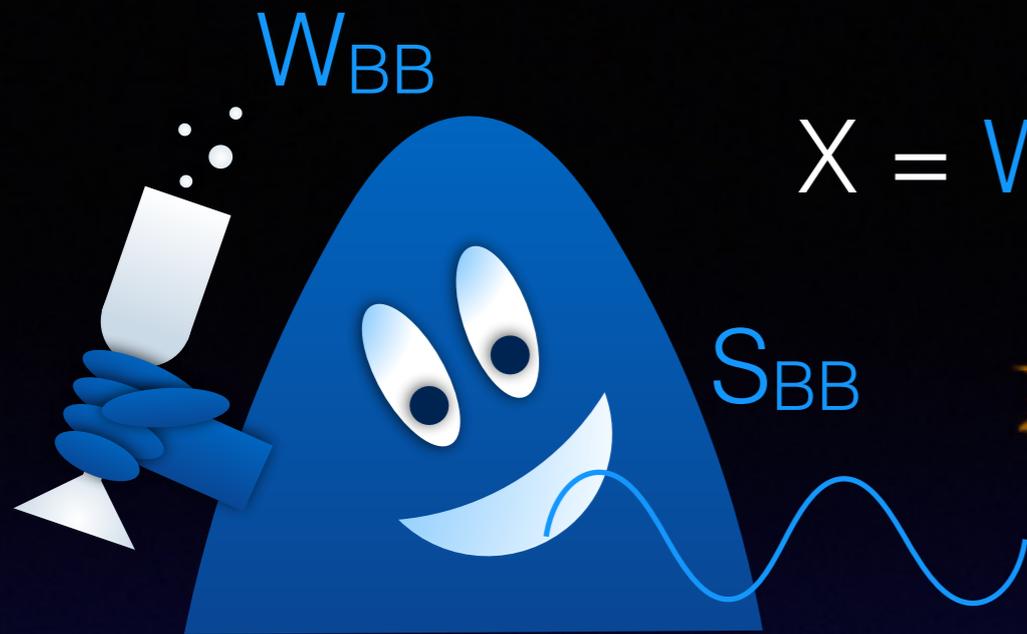
ICA = independent component analysis

NMF = non-negative matrix factorization

(details e.g. Koljonen 2015)



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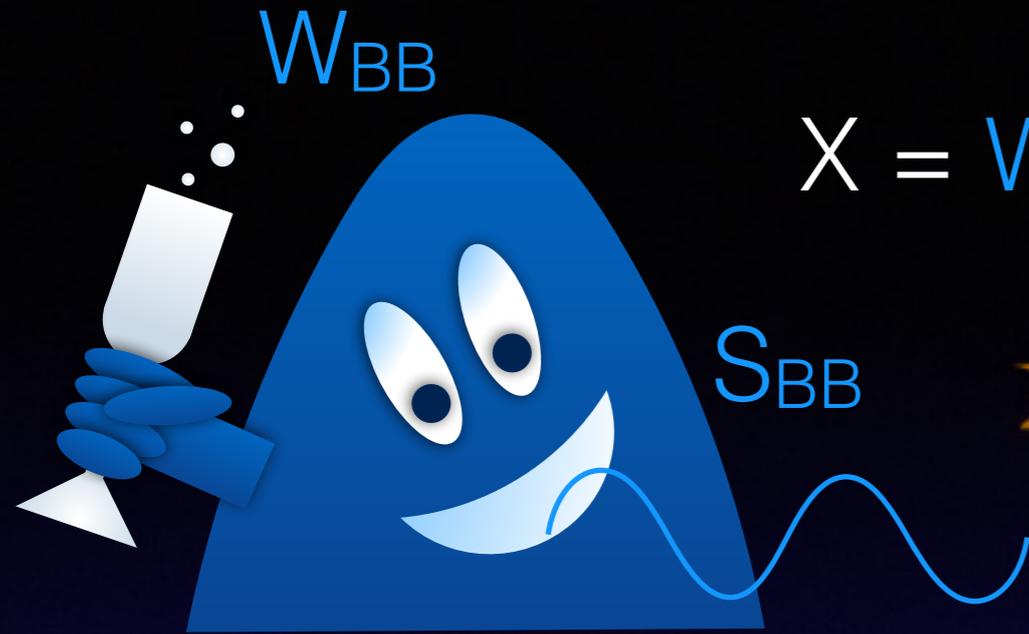
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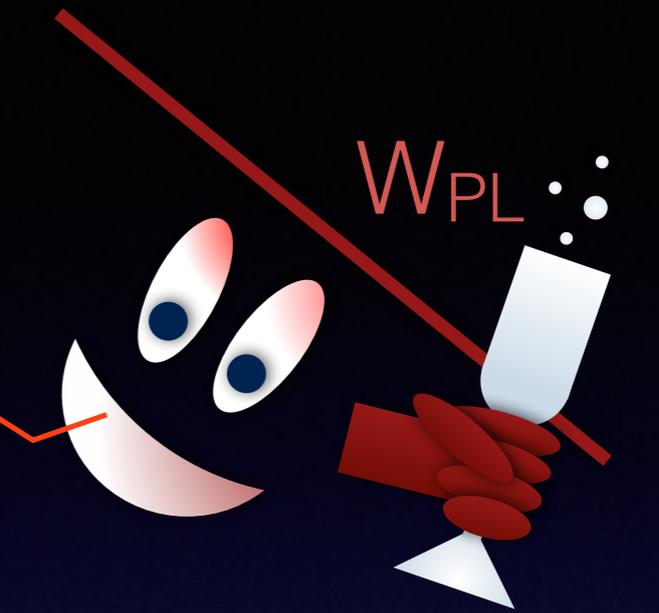


But, what if $W_{xx}(t)$?



Mr. Blackbody

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Prescription: linear decomposition methods

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But, what if $W_{xx}(t)$?

Non-linear effects in e.g. changing the blackbody temperature or slope of the power law

Two approaches to get around non-linearity

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(1) Create a spectral variability library. Simulate the effects of varying individual spectral components (by faking spectra in XSPEC/ISIS) on the composition, and compare the simulated decomposition to decomposition from the real data.

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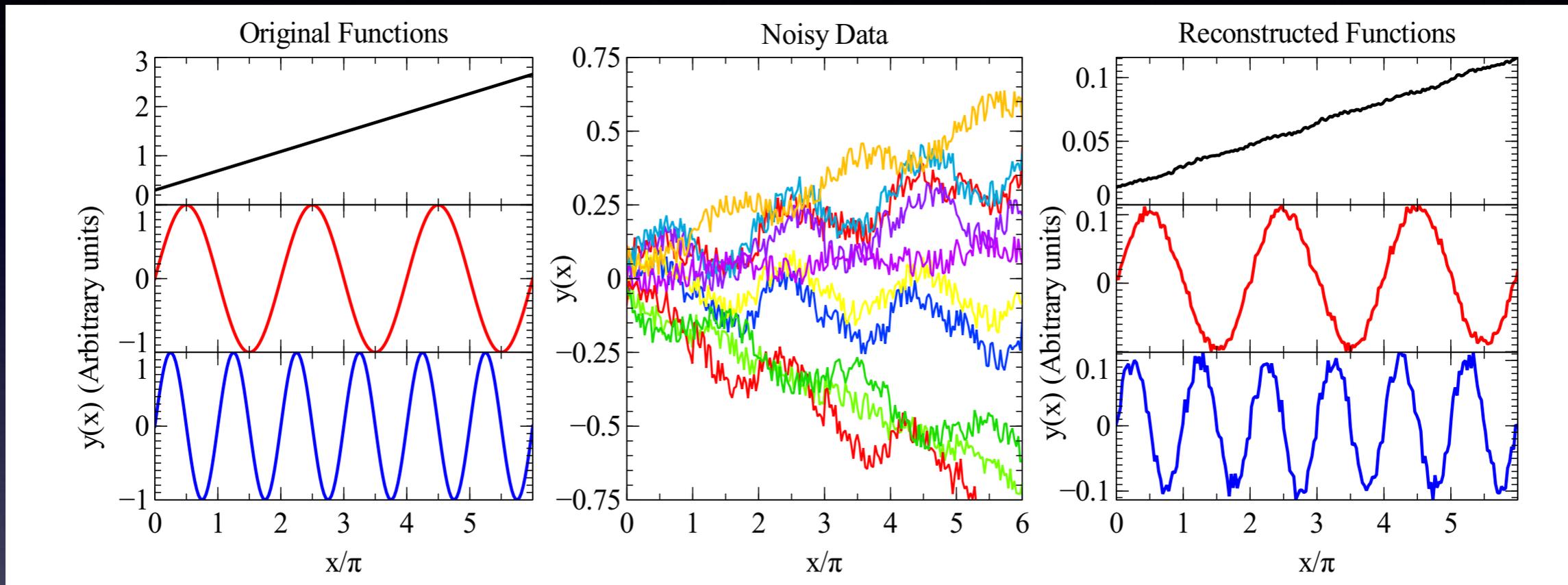
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(3) Use non-linear decomposition methods, e.g. Self-Organizing Map, Neural Networks. But this gets very fast very complicated.

(1) Spectral variability library (Parker+ 2015)

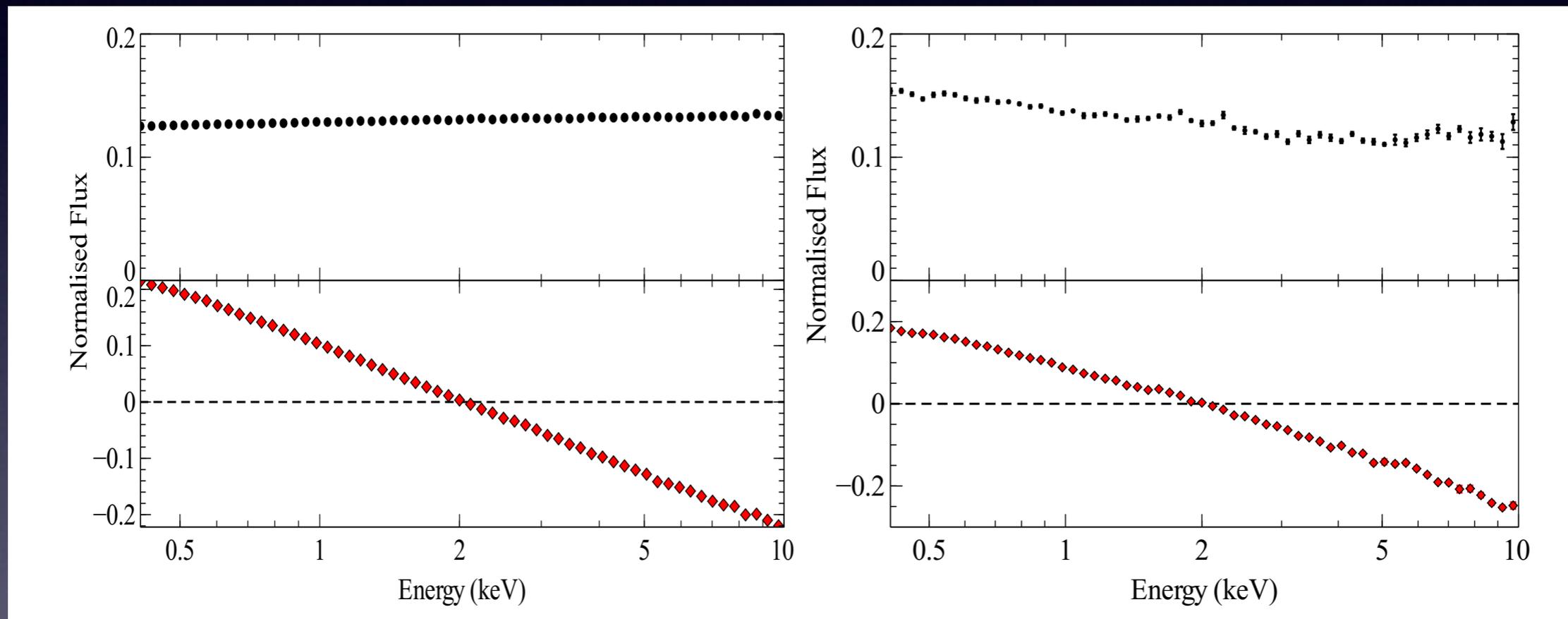


PCA isolates and returns different components of the signal, removing some of the noise. The weights have positive and negative values resulting in pivoting behavior of the spectra. Good for detecting variable power law slope. However, the individual components might not be very intuitive.

(1) Spectral variability library (Parker+ 2015)

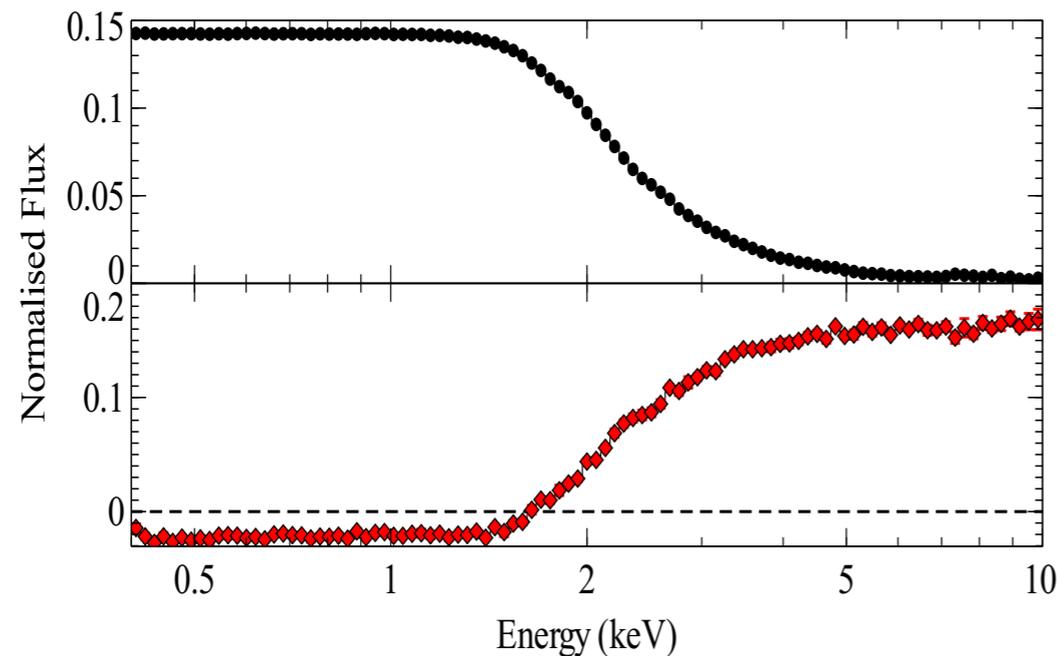
Simulated components from power law
varying in normalization and photon index.

Components from 3C 273.
Jet-dominated source

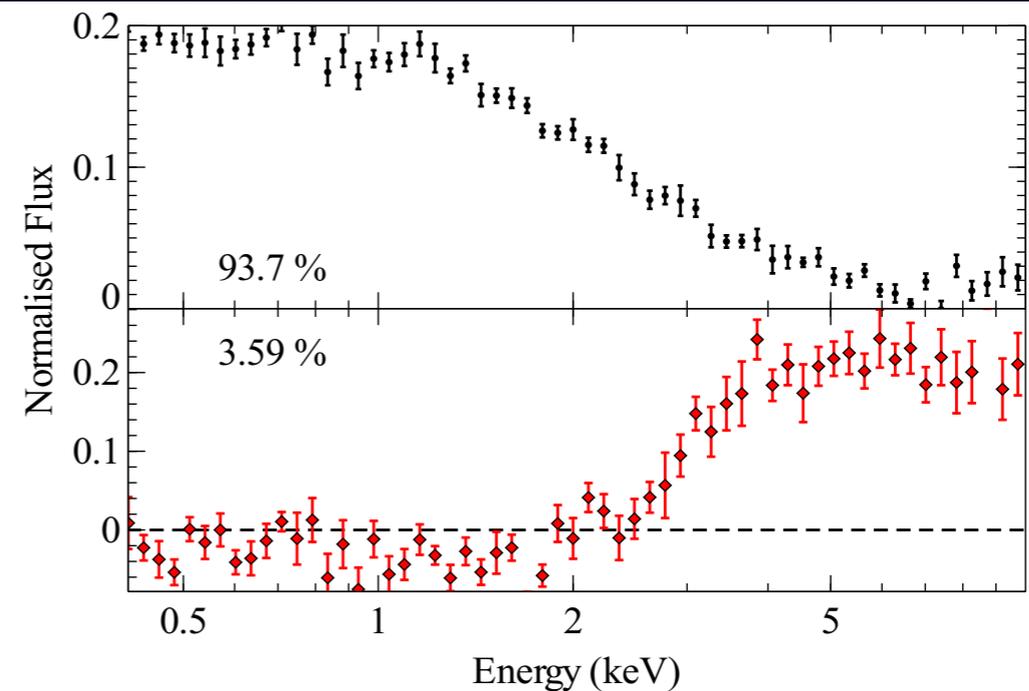


(1) Spectral variability library (Parker+ 2015)

Simulated components from partially covered power law varying in covering fraction and normalization



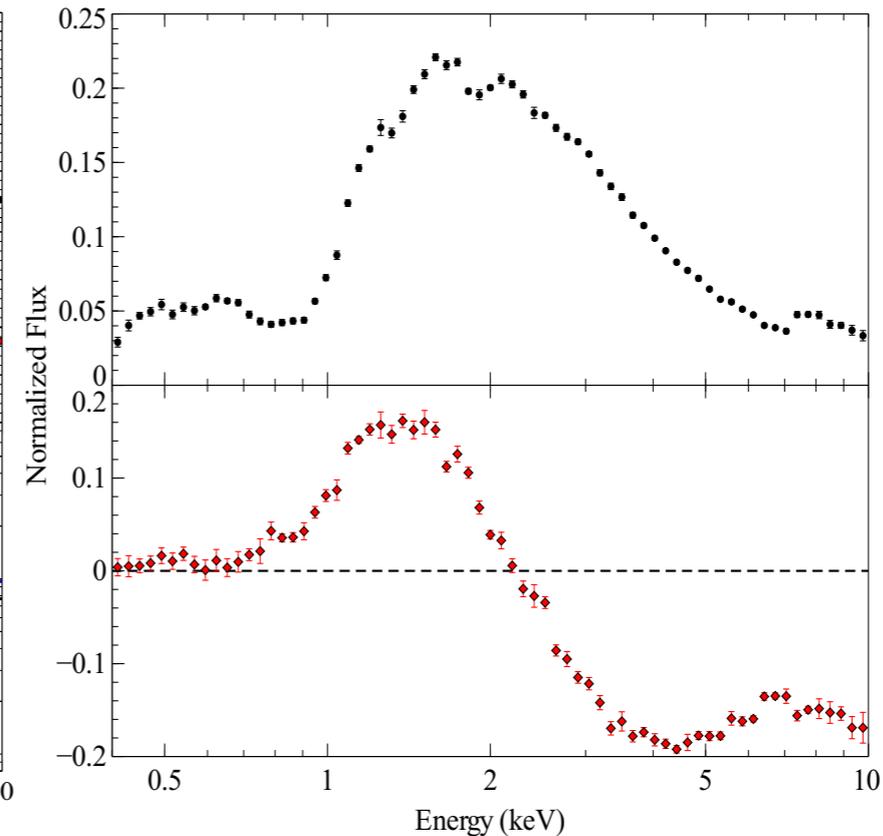
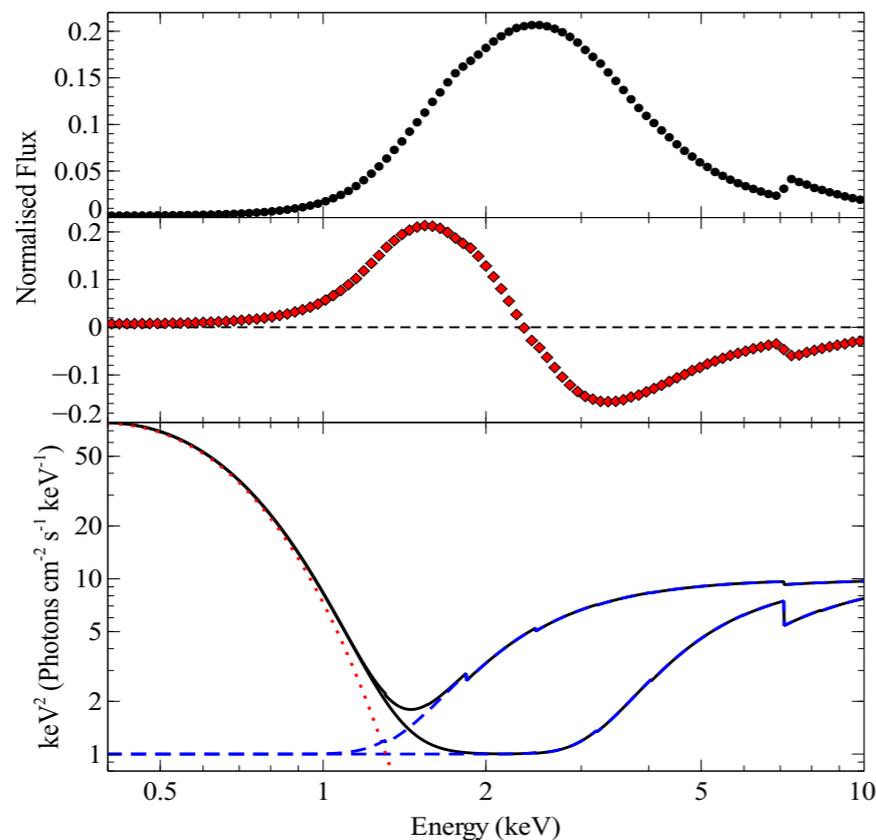
Components from NGC 4395. Variability dominated by absorption (Nardini & Risaliti 2011)



(1) Spectral variability library (Parker+ 2015)

Simulated components from absorbed blackbody with varying column density

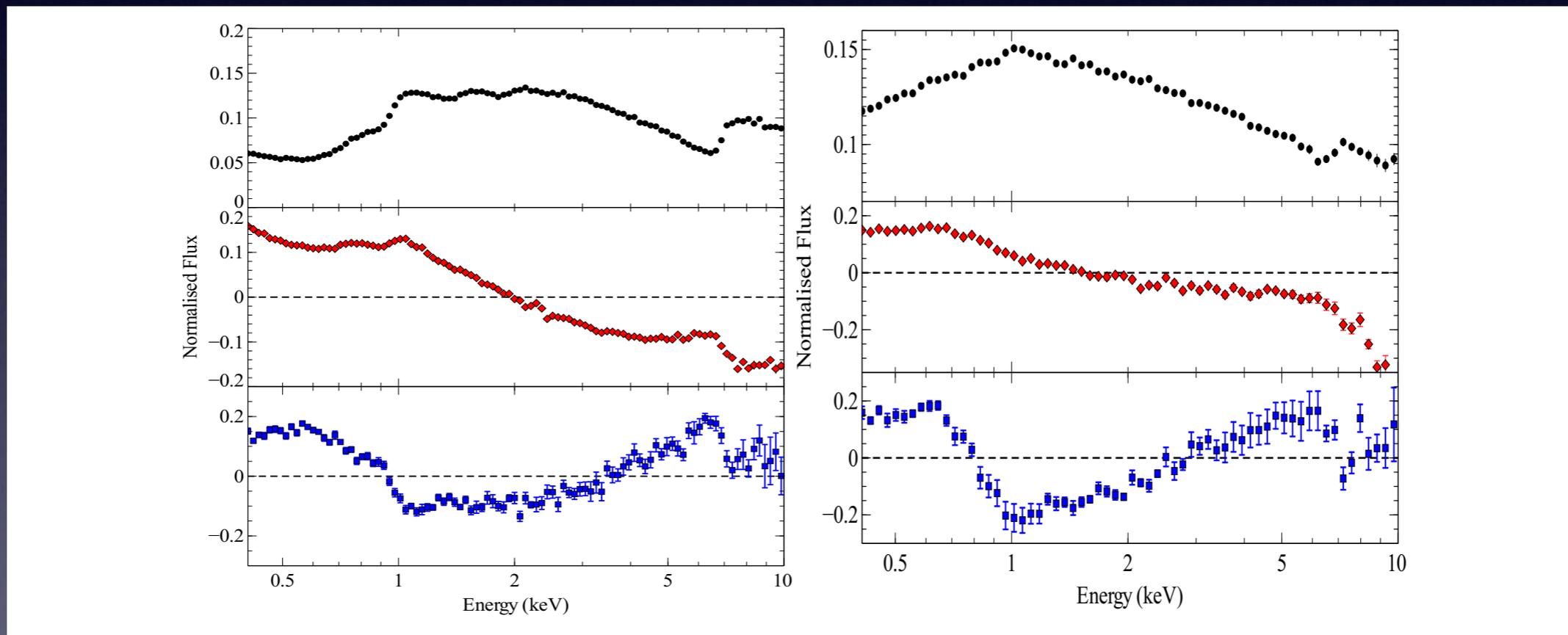
Components from NGC 1365.
Low energy variability is damped out by diffuse gas around the AGN



(1) Spectral variability library (Parker+ 2015)

Simulated components from power law varying in normalization and photon index + blurred reflection component

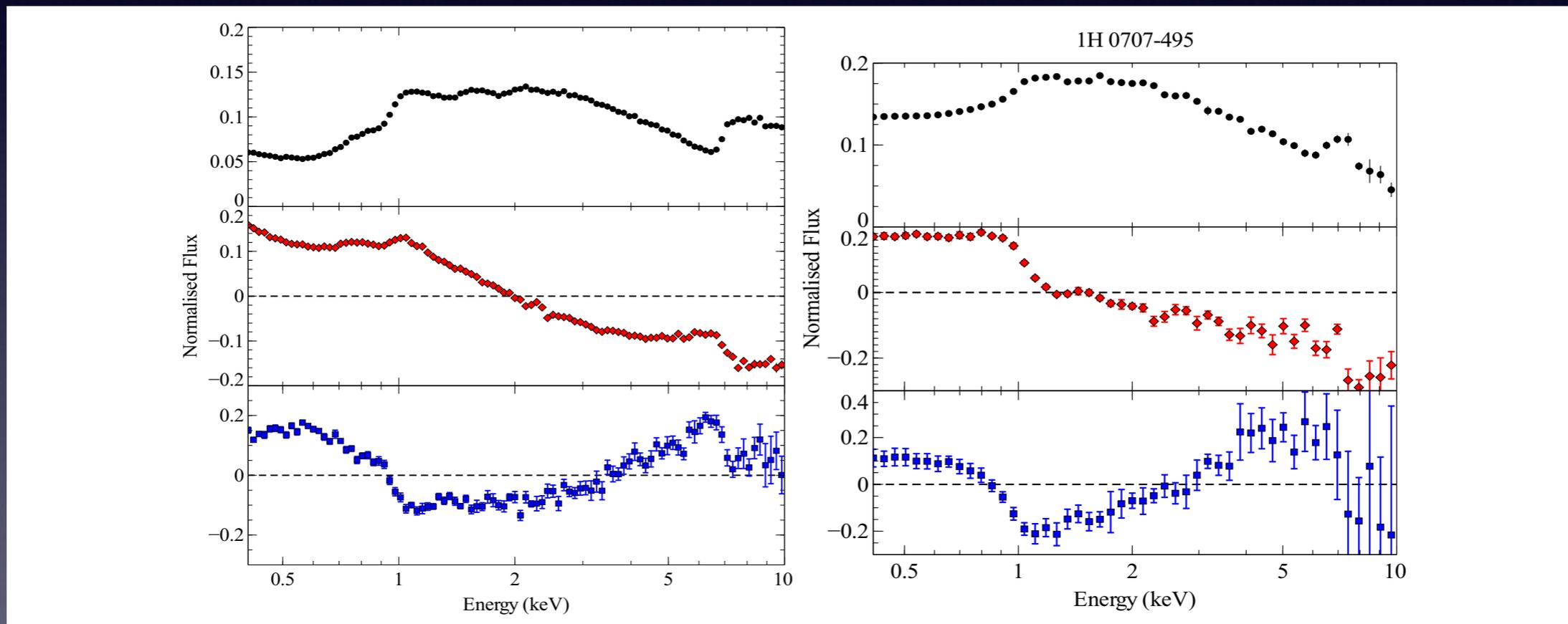
Components from MCG-6-30-15. First AGN in which relativistically broadened iron line was found (Tanaka et al. 1995)



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Simulated components from power law
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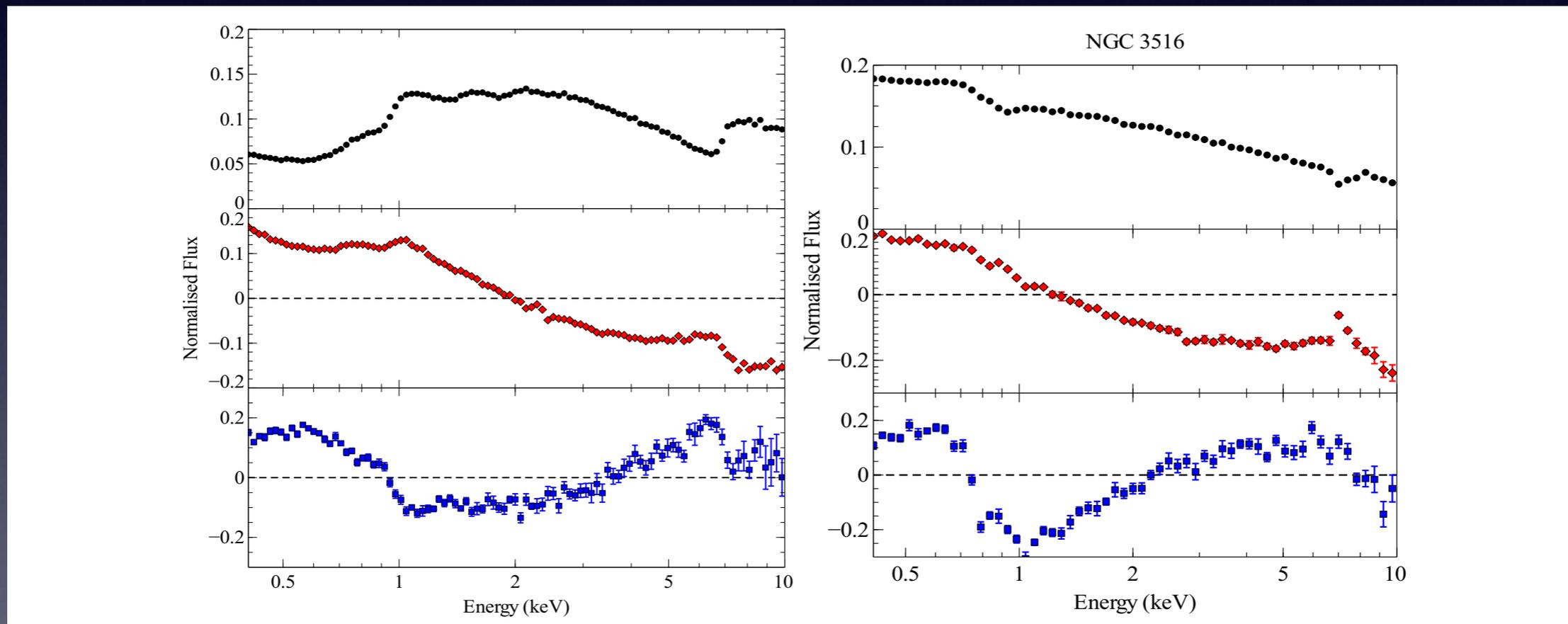
Components from 1H 0707-495



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Simulated components from power law
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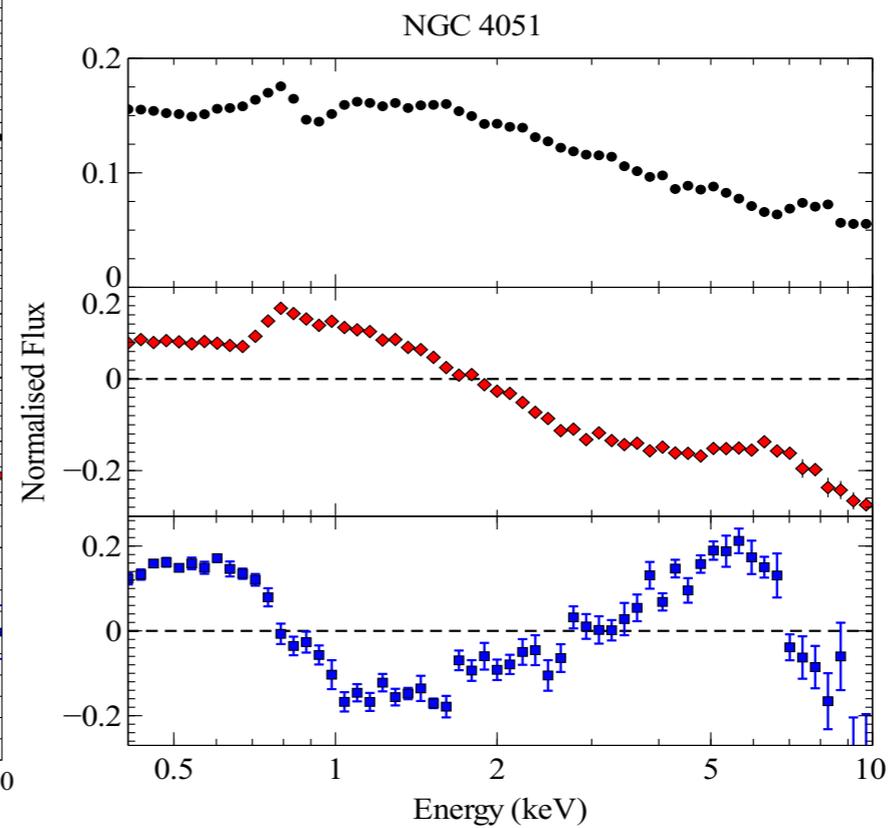
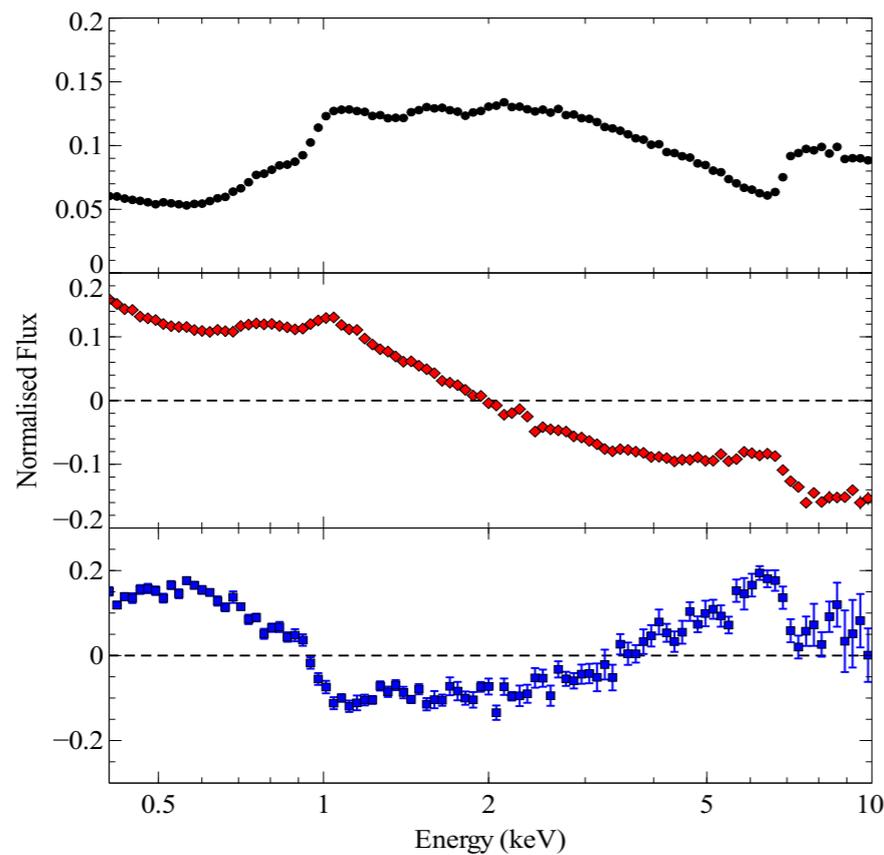
Components from NGC 3516



(1) Spectral variability library (Parker+ 2015)

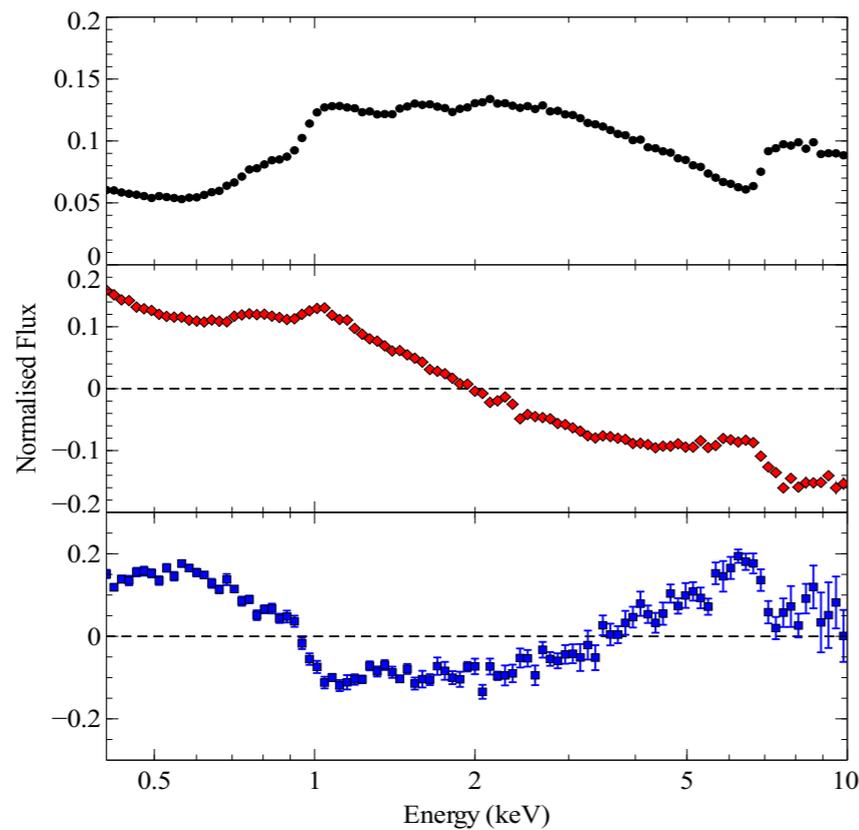
Simulated components from power law
varying in normalization and photon
index + blurred reflection component

Components from NGC 4051

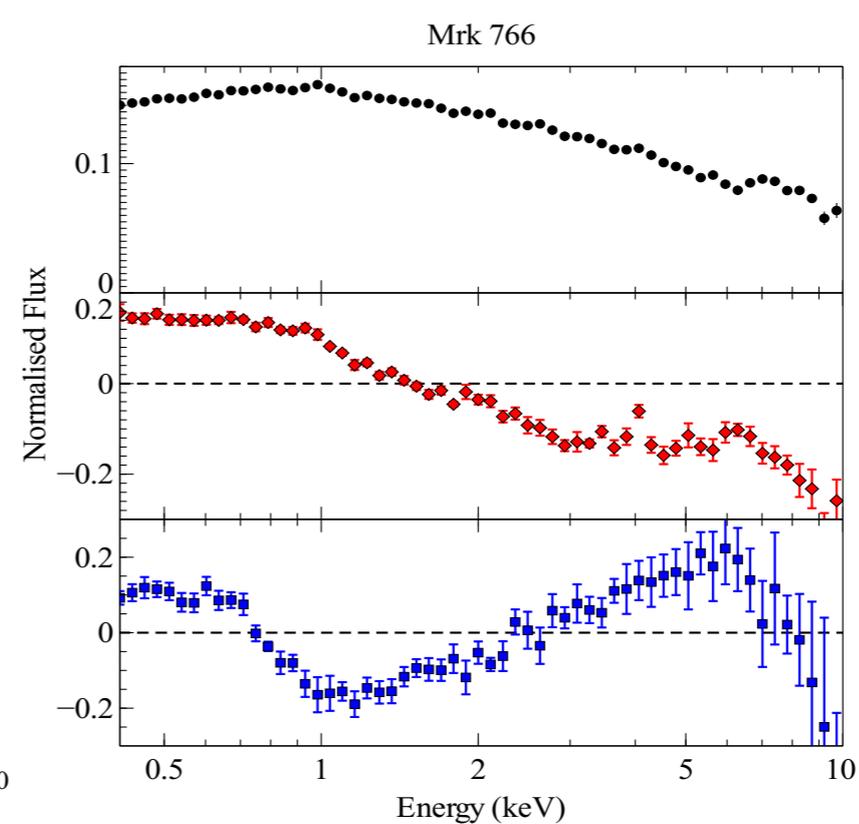


(1) Spectral variability library (Parker+ 2015)

Simulated components from power law
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index + blurred reflection component



Components from Mrk 766



(1) Spectral variability library (Parker+ 2015)

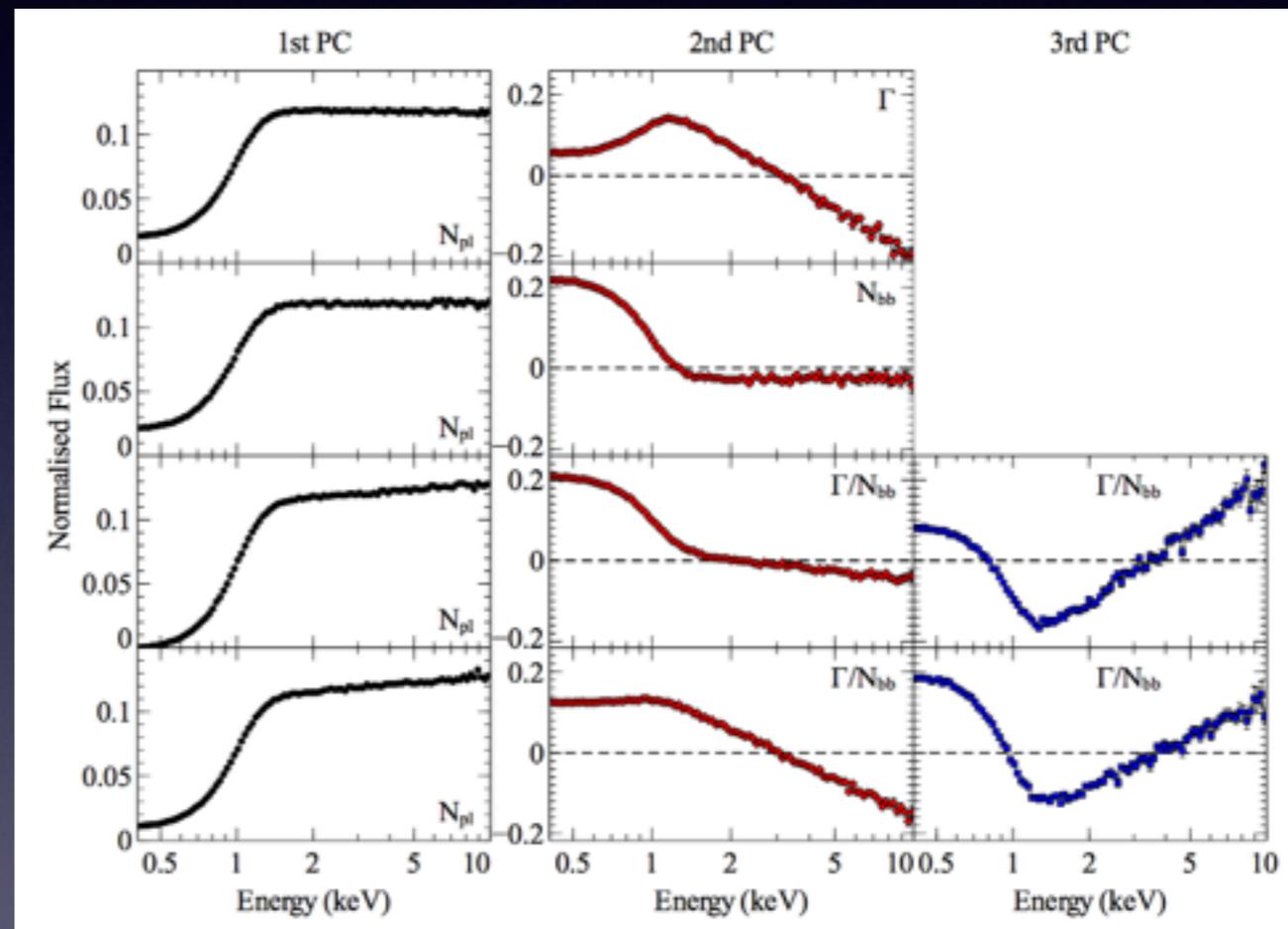
variable power law + blackbody starts to produce trouble. The arrival of correction factors.
Variability of a single spectral component is split into several principal components

Variable PL norm/index, constant BB norm

Variable PL norm, BB norm, fixed index

Variable PL norm/index, BB norm,
strong BB

Variable PL norm/index, BB norm,
weak BB



(1) Spectral variability library (Parker+ 2015)

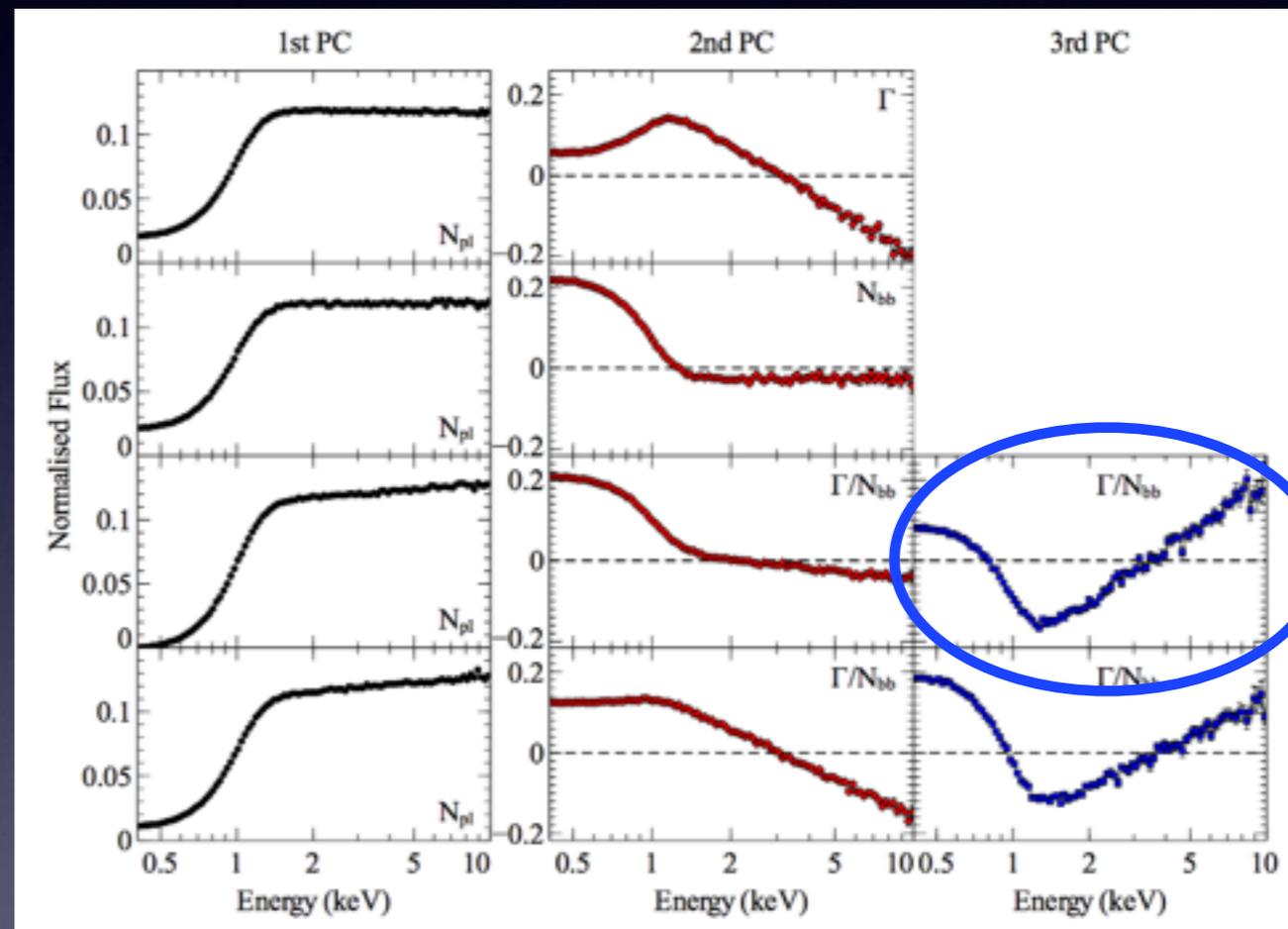
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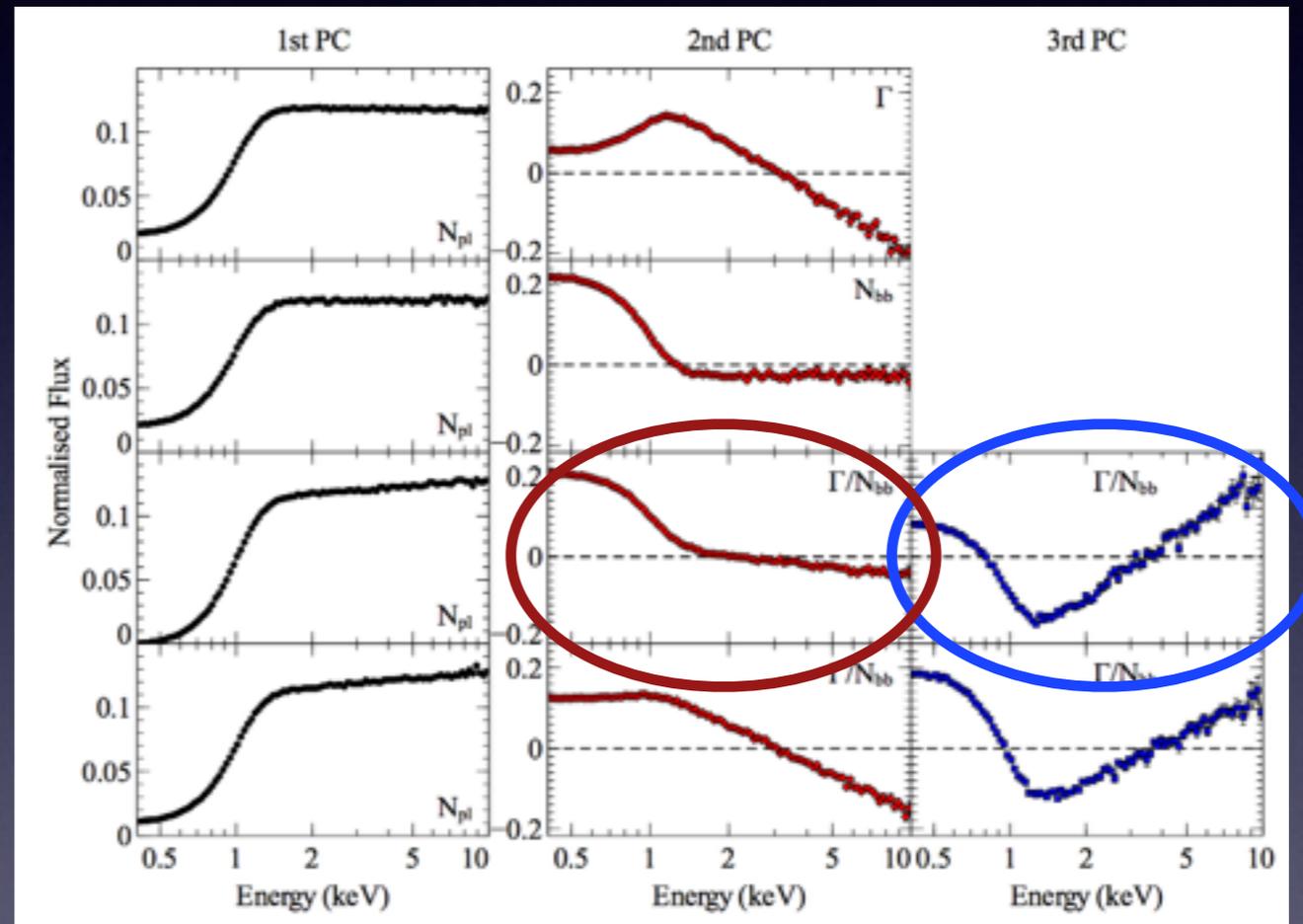
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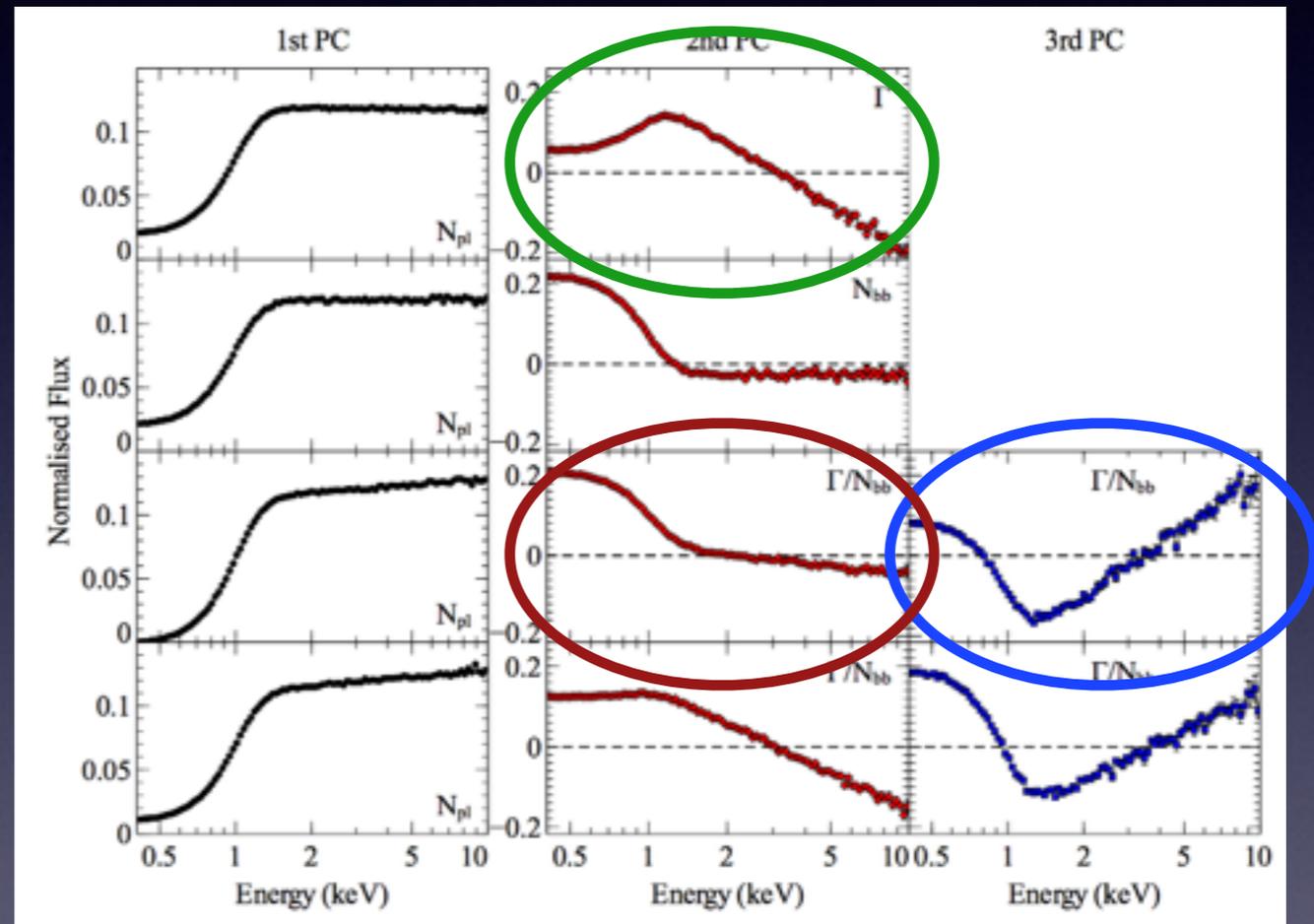
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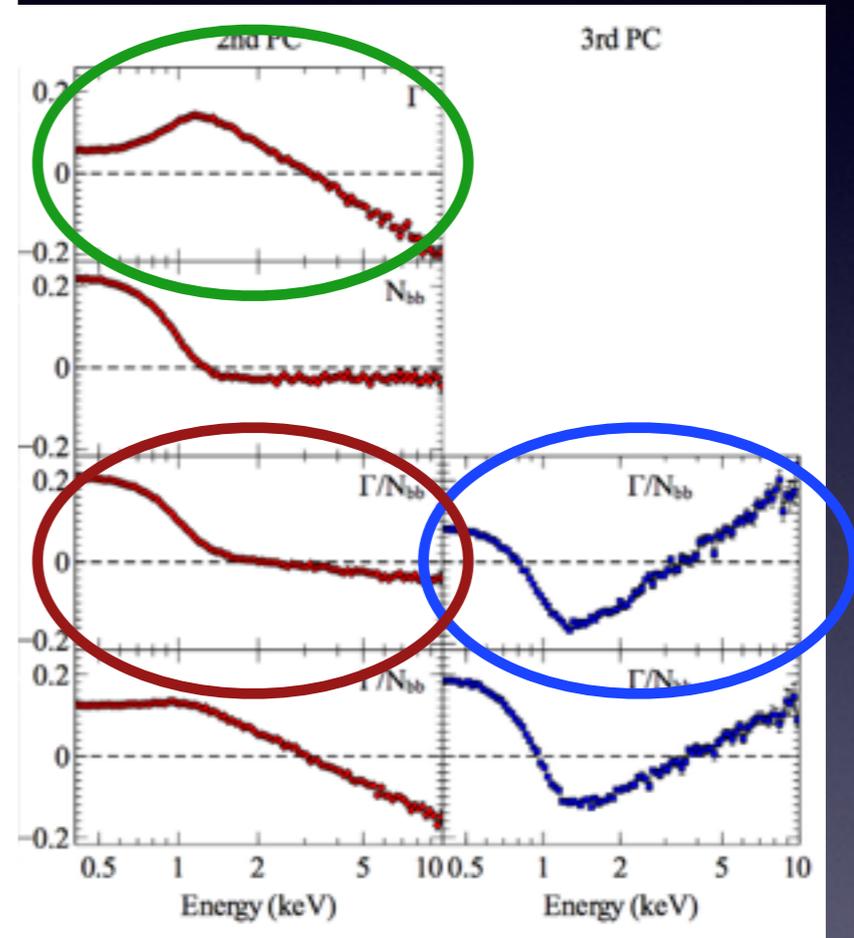
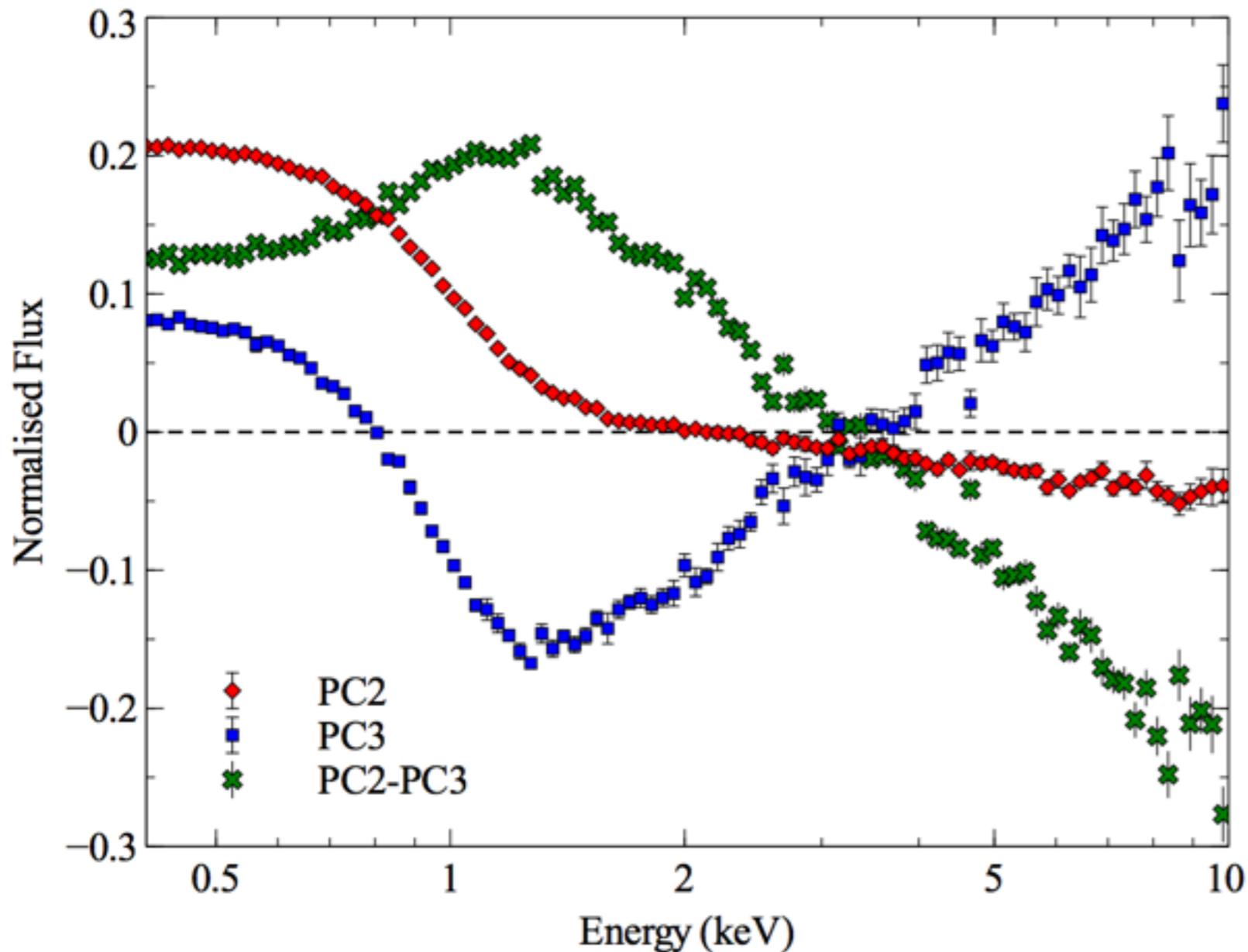
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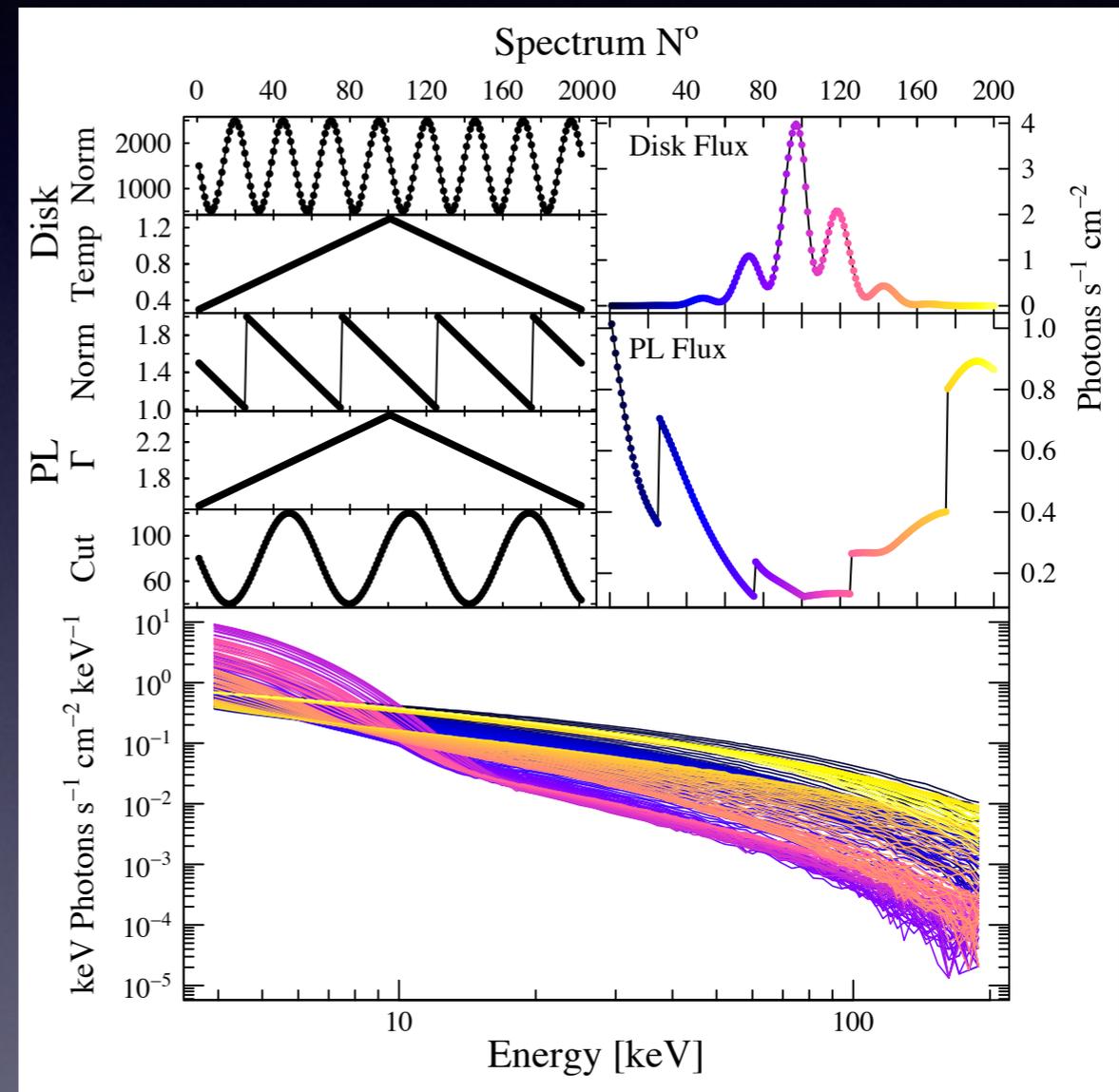
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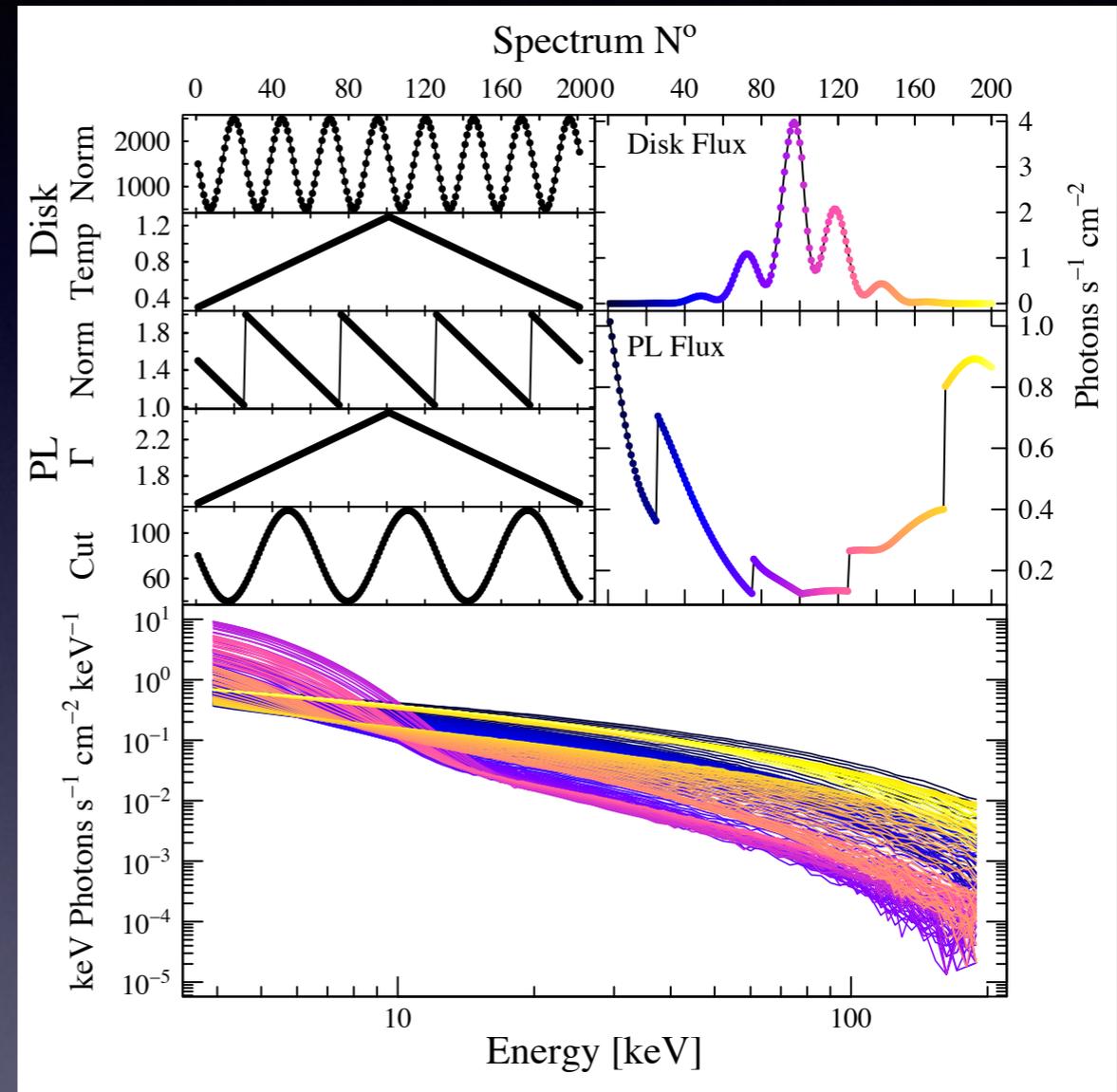
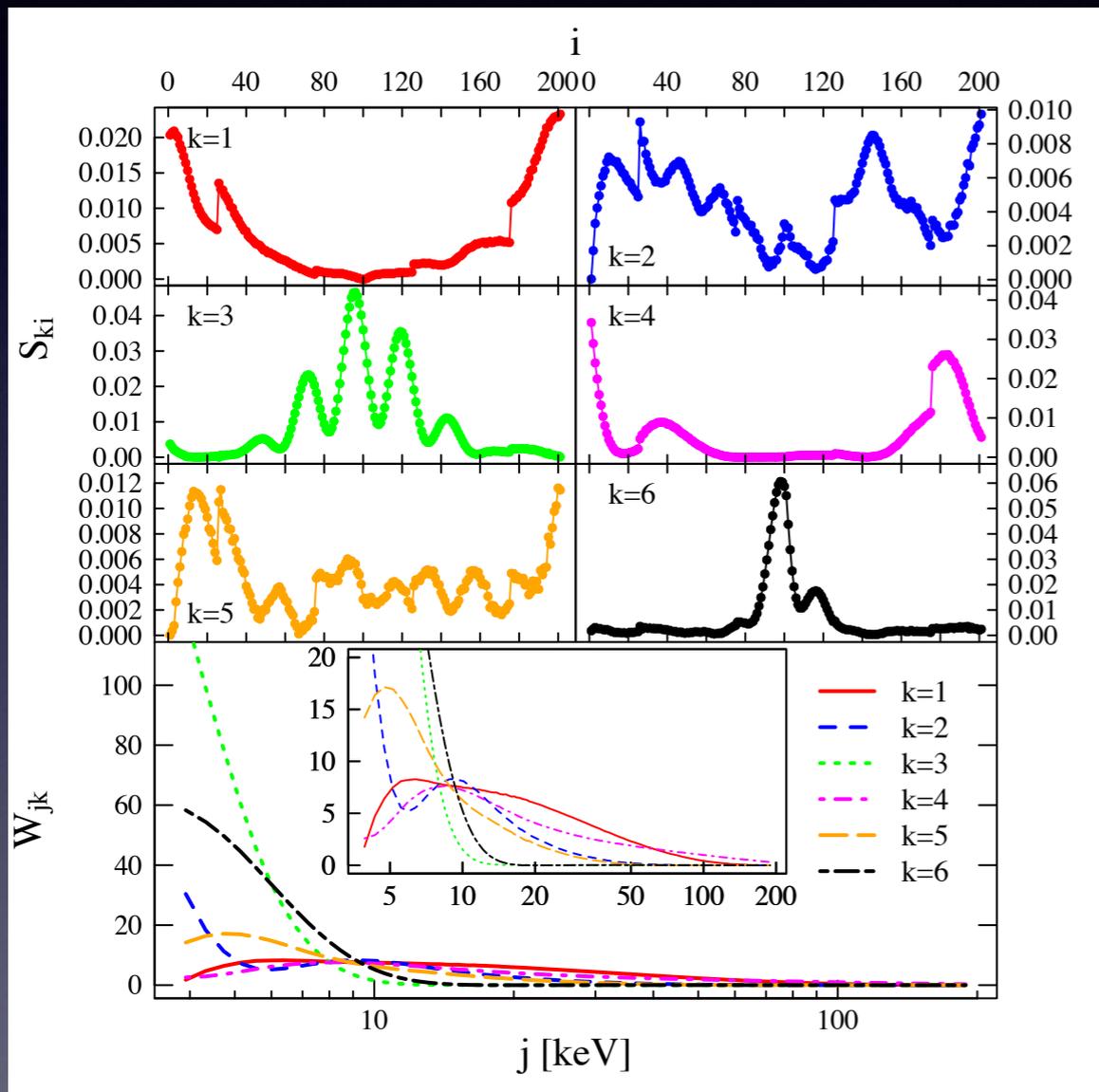
(2) Summing components based on their weight (Koljonen 2015)

- Non-linear changes in the spectral shapes
- Mimics the spectral effects that are present in the usual X-ray data of XRBs:
 - variable absorbed disc black body + cutoff power law
- Sine and saw waves as “spectral pathways”
- Soft and hard X-ray states
- We use ISIS (Houck & Denicola 2000) to fake 200 spectra with an exposure of 5 ks



(2) Summing components based on their weight (Koljonen 2015)

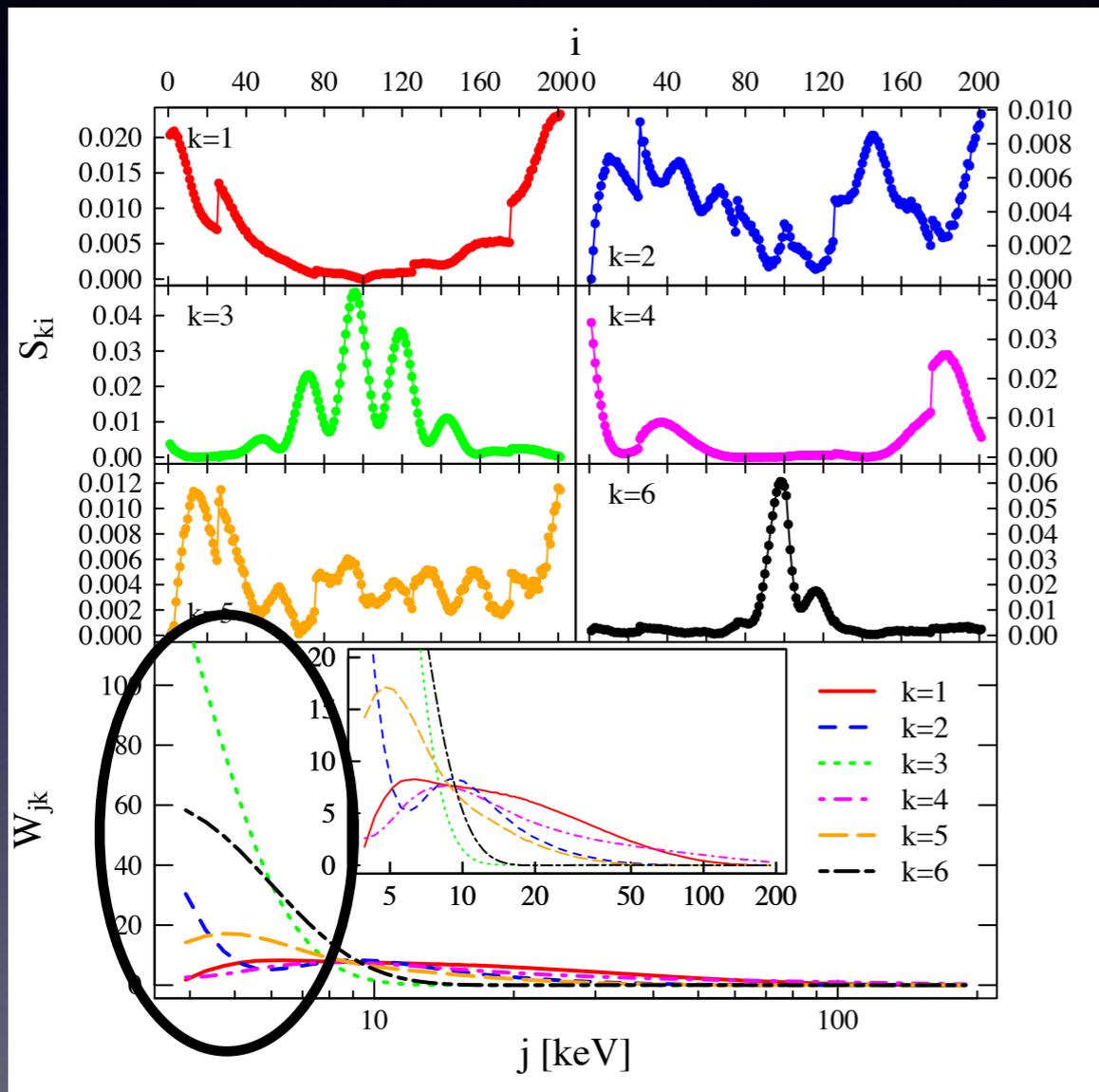
Decomposition using NMF



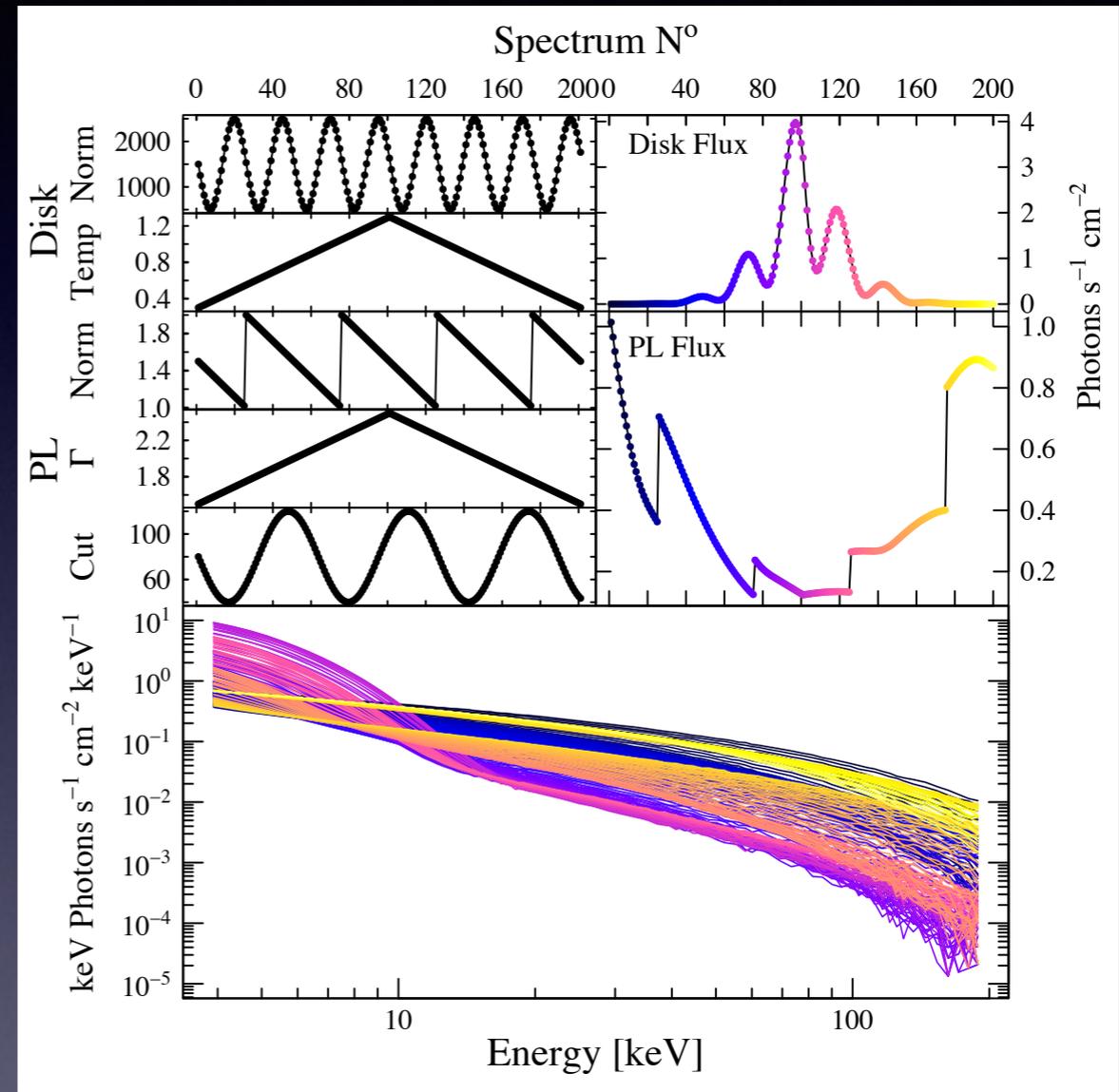
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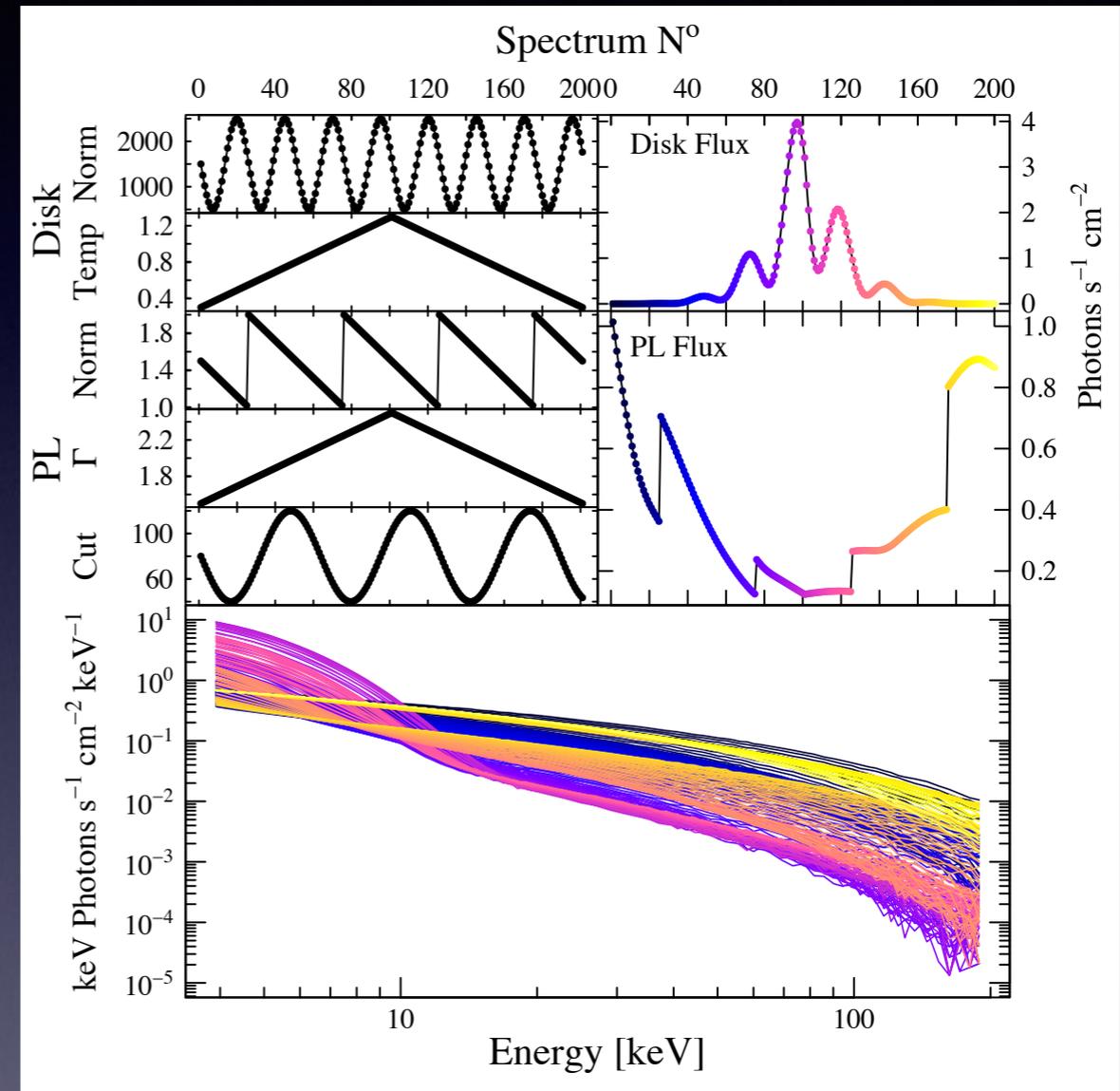
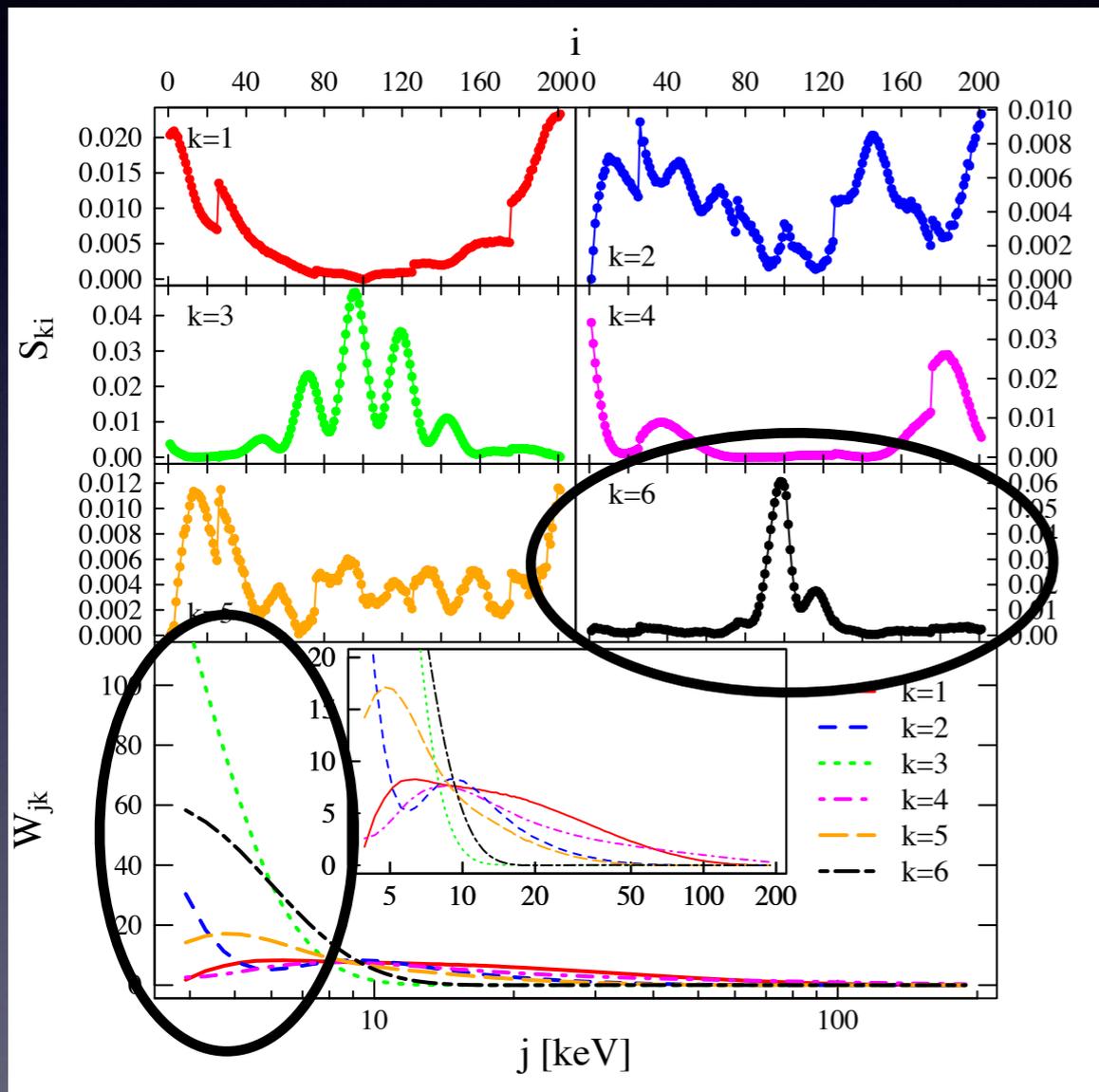


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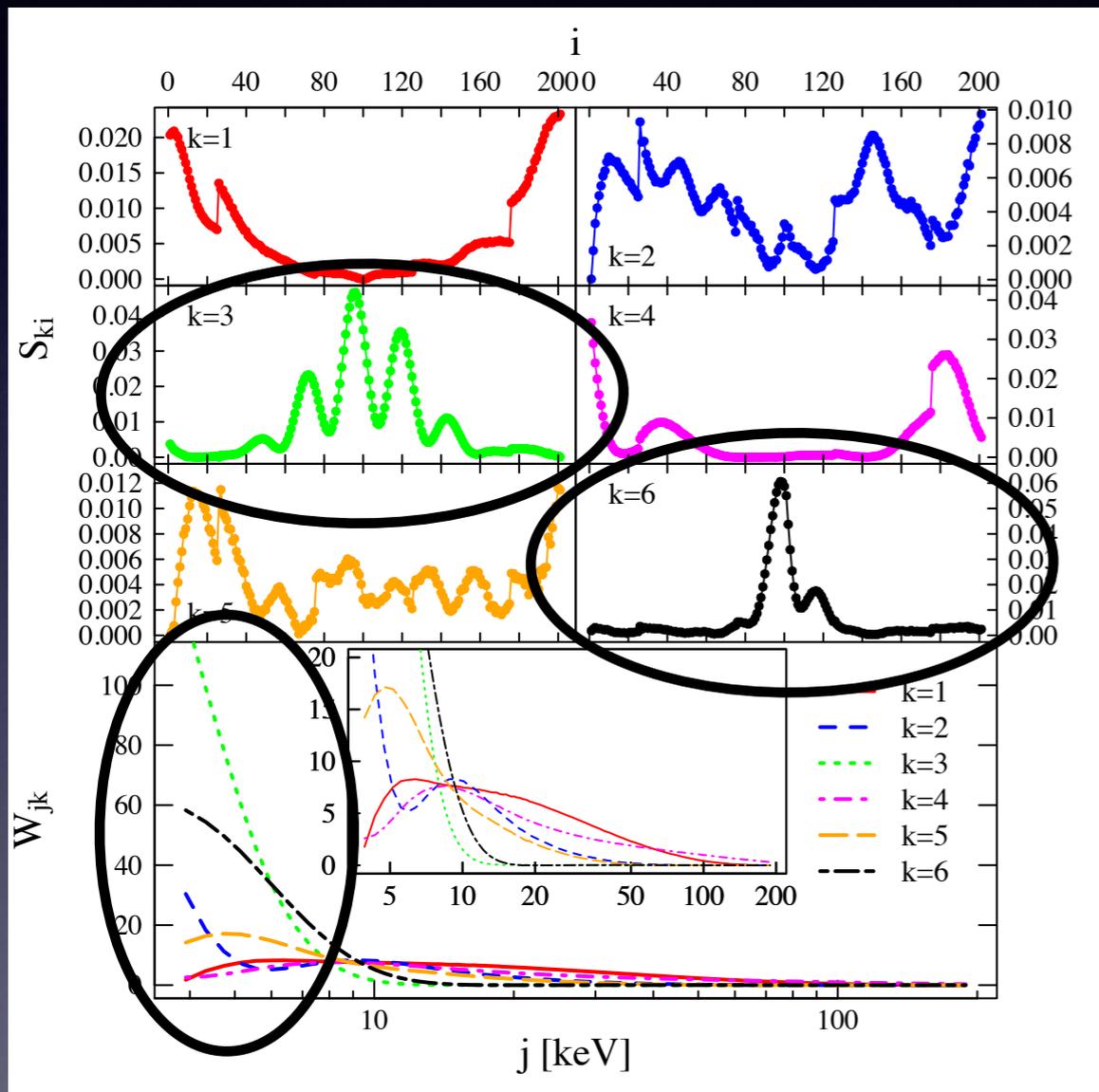
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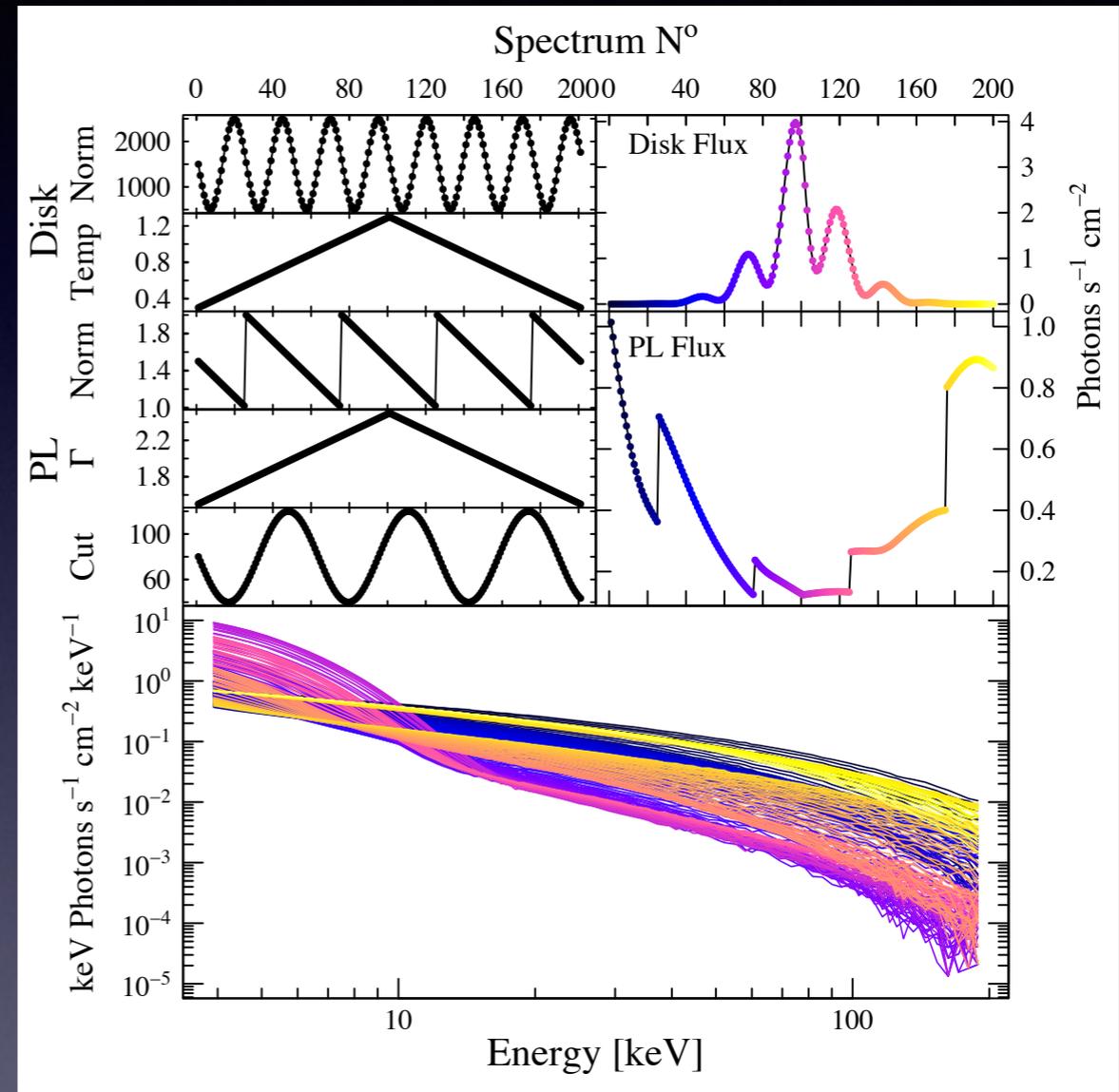
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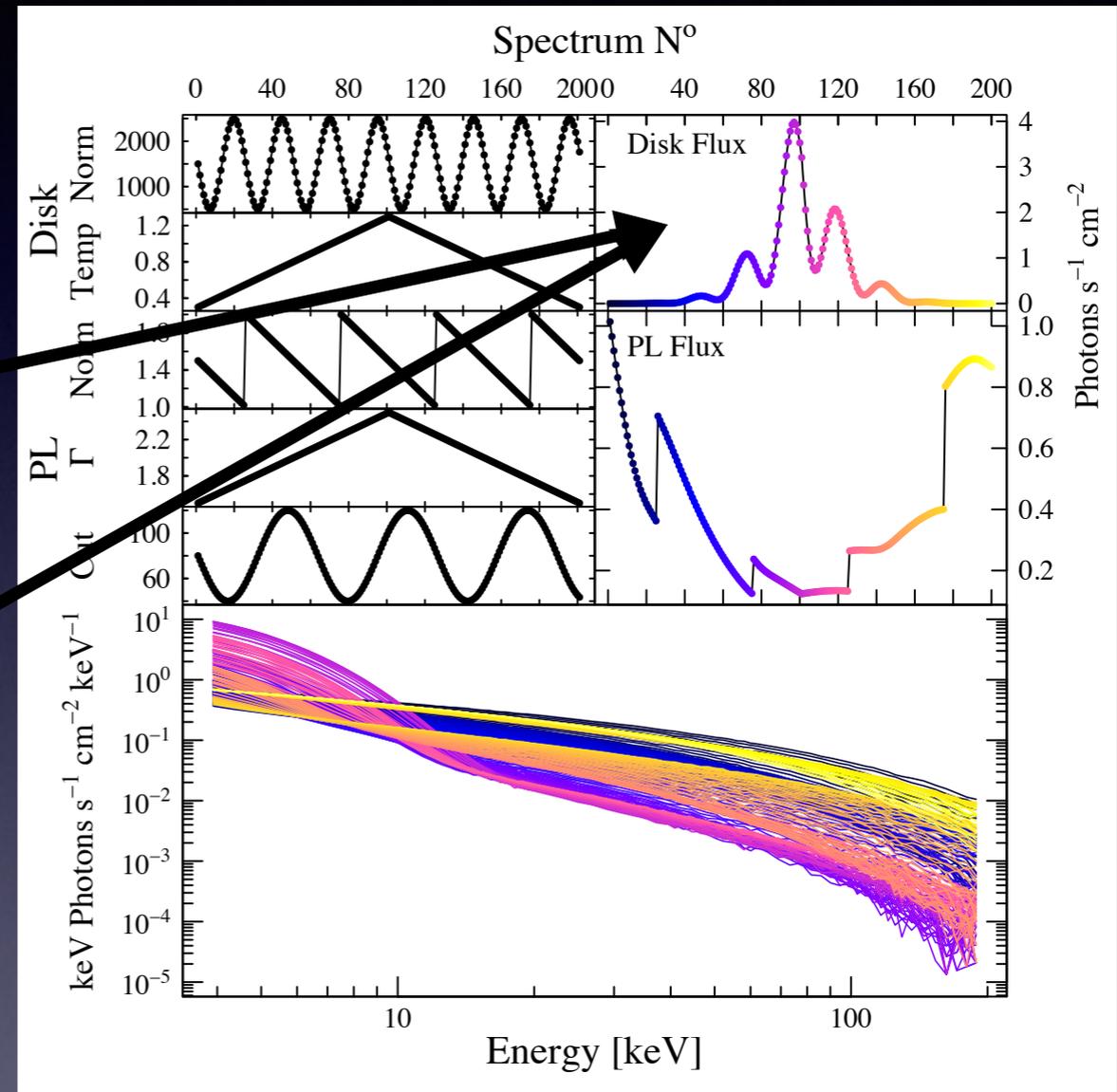
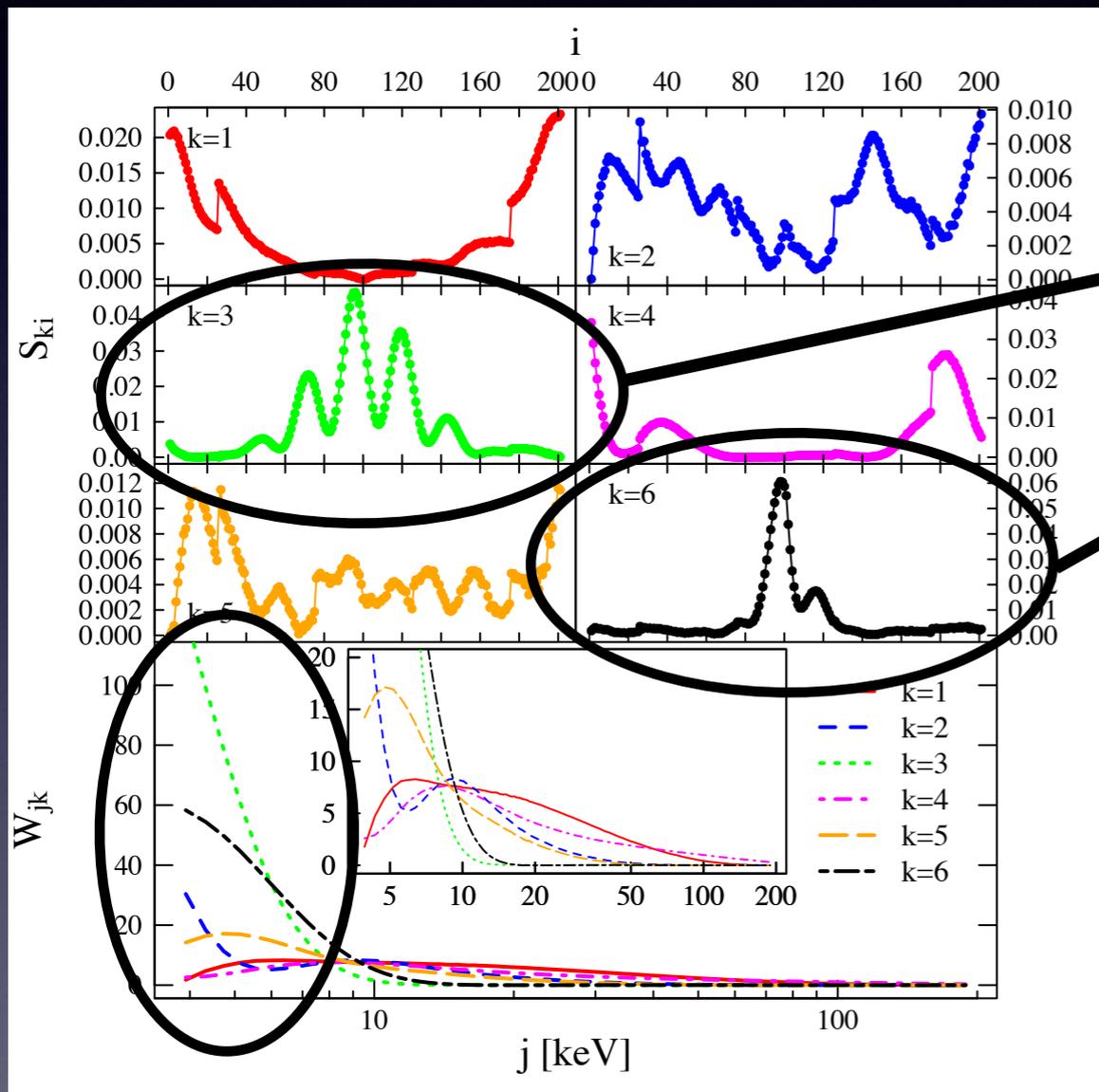


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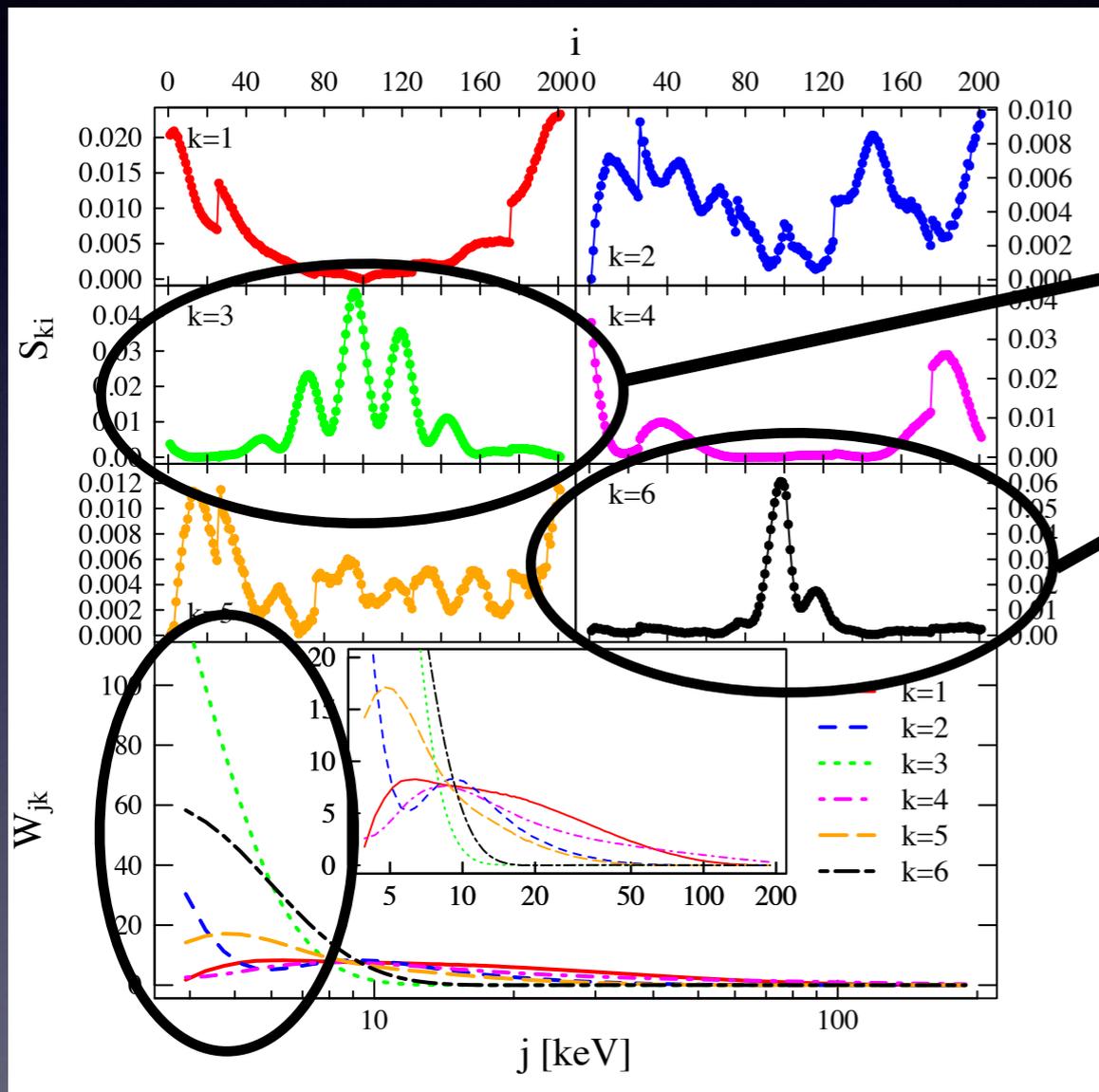
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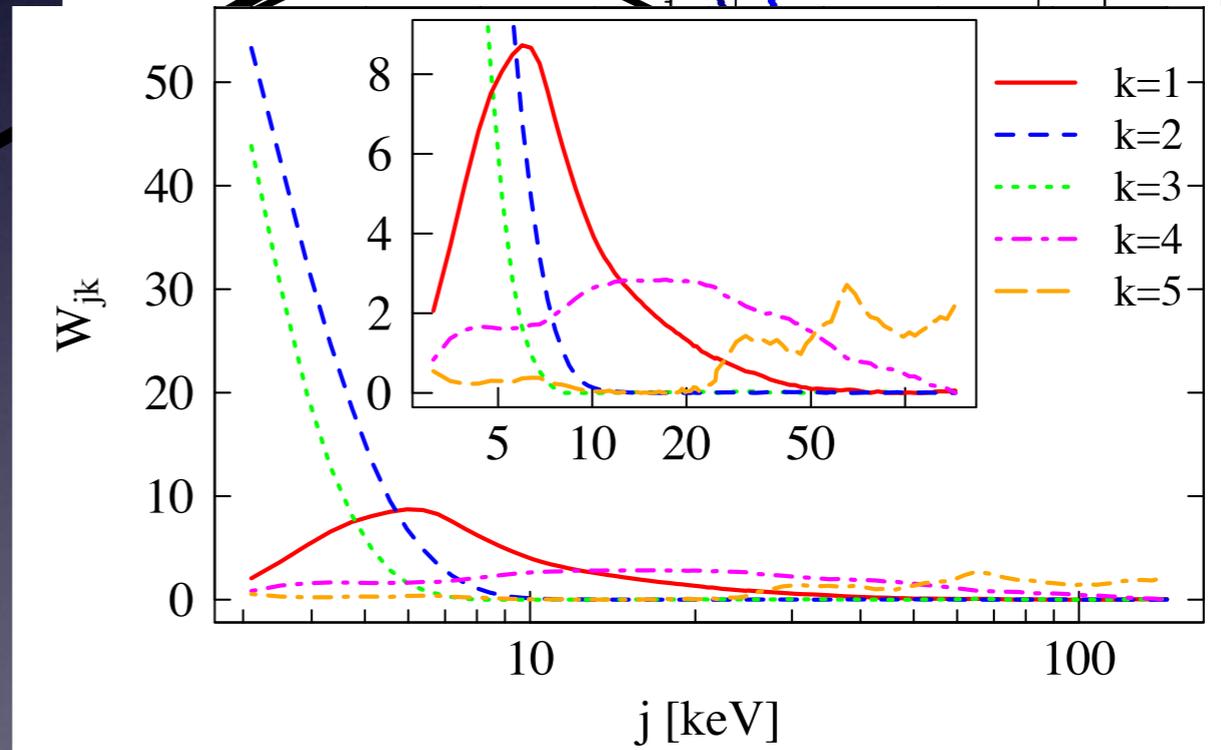
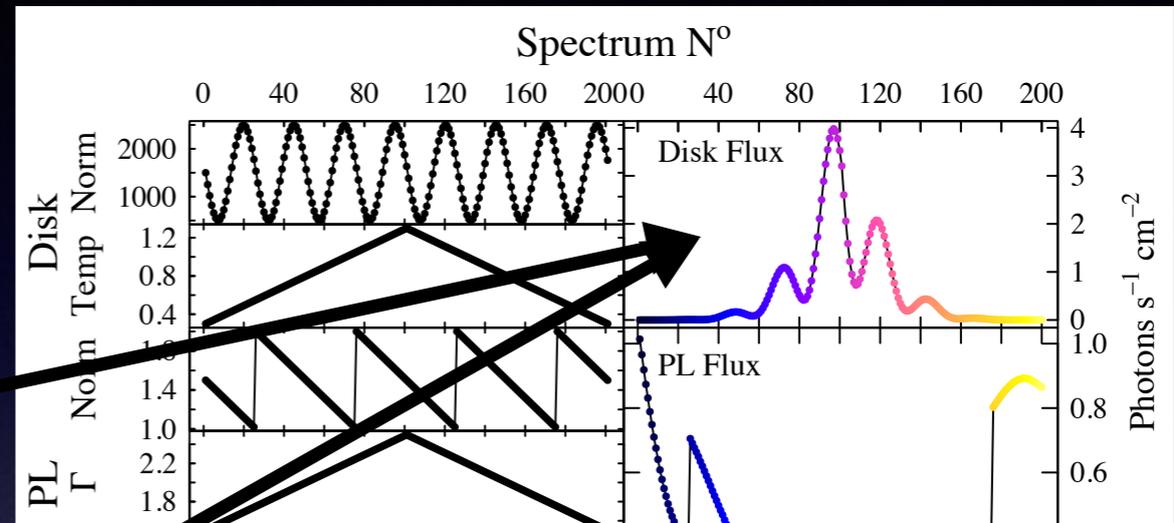
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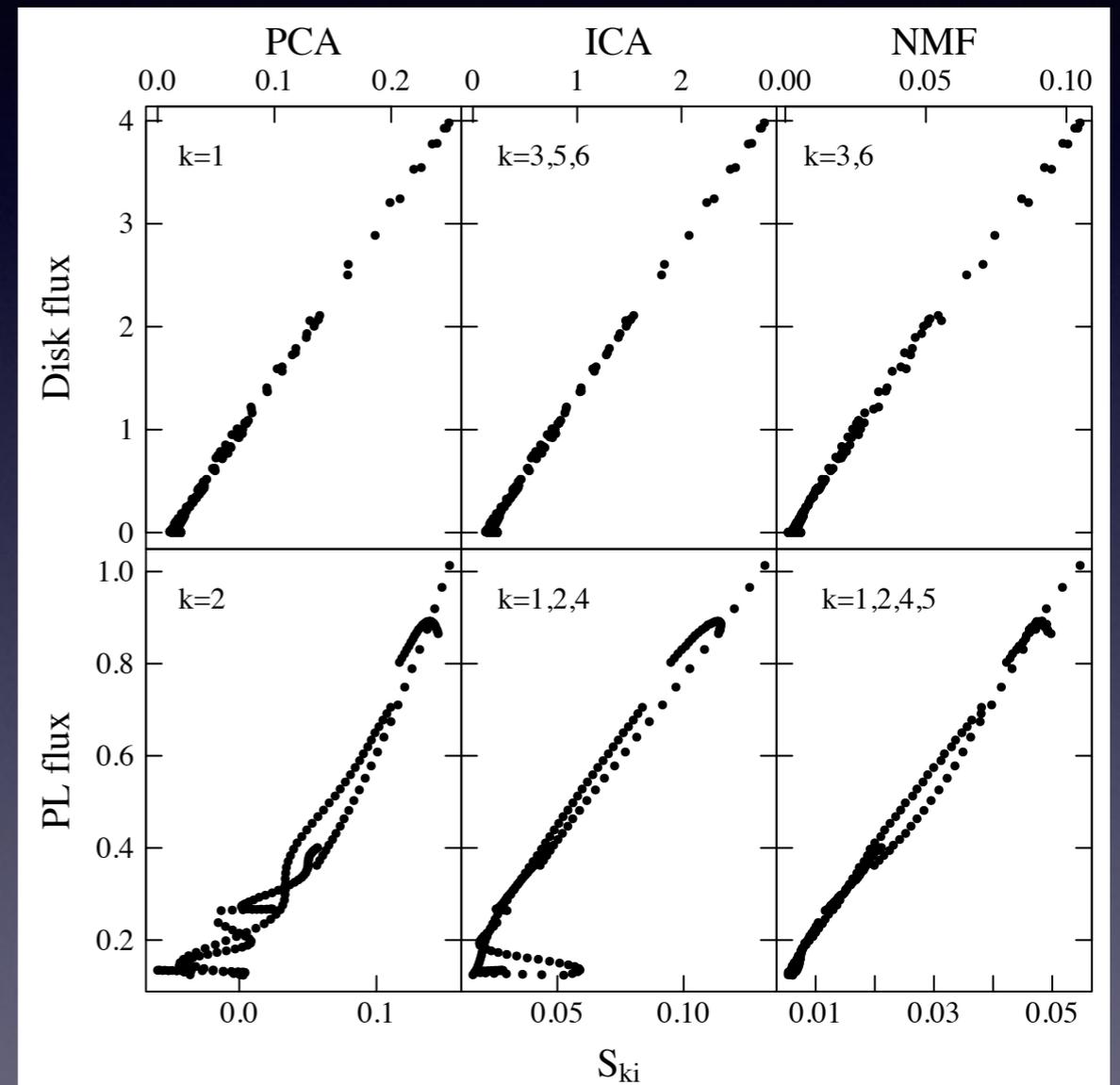


Decomposition of all RXTE observations
of GX 339-4

(2) Summing components based on their weight (Koljonen 2015)

Non-linear effects in the spectra caused by the parameter evolution (e.g. power law index, cutoff energy) can be taken into an account by adding factorised components together **based on their weights**.

NMF performs the best in separating the disc and power law emission.

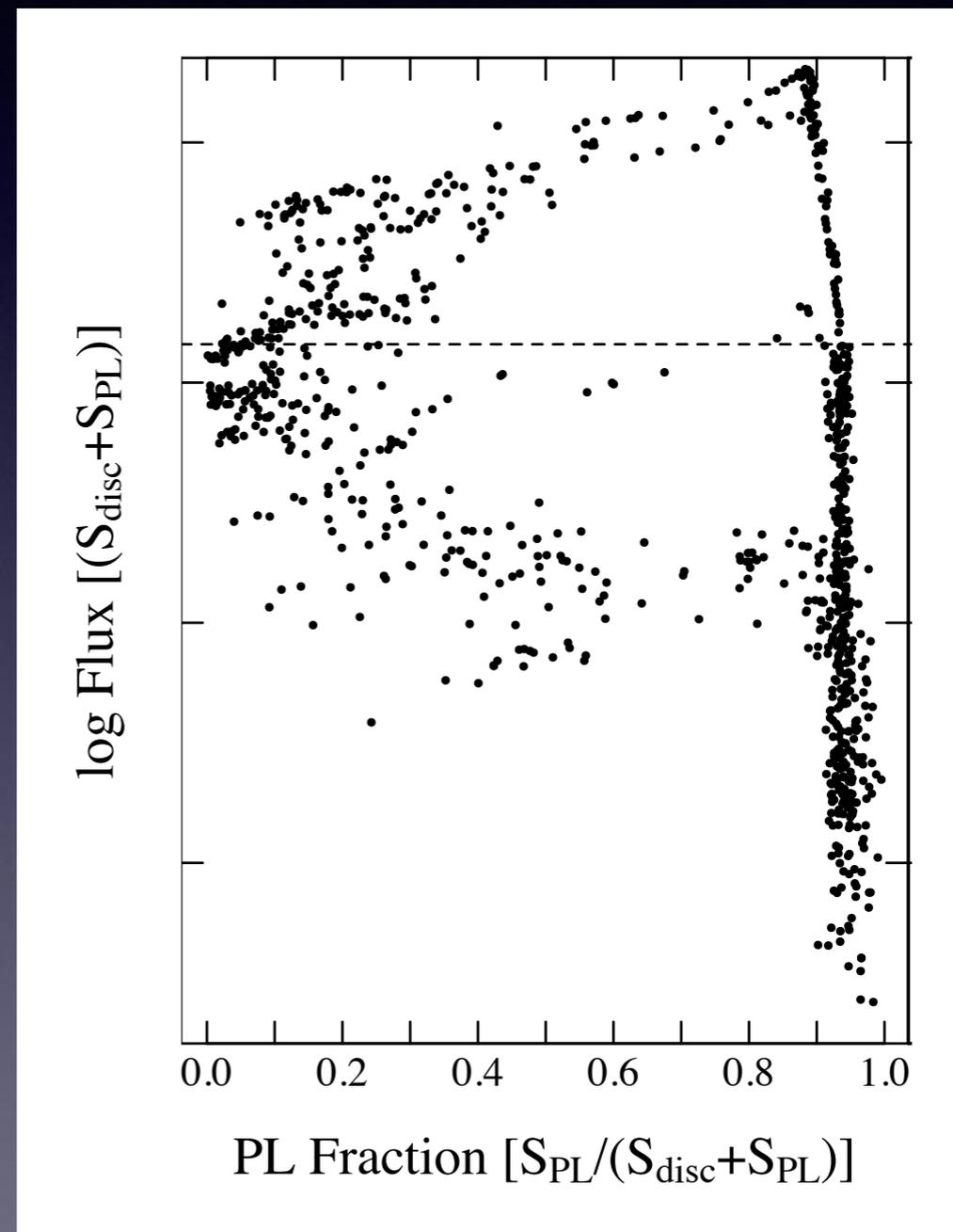


(2) Summing components based on their weight (Koljonen 2015)

All RXTE observations of GX 339-4

Disc/PL fraction
luminosity diagrams:
studying the disc and PL
without any spectral
fitting

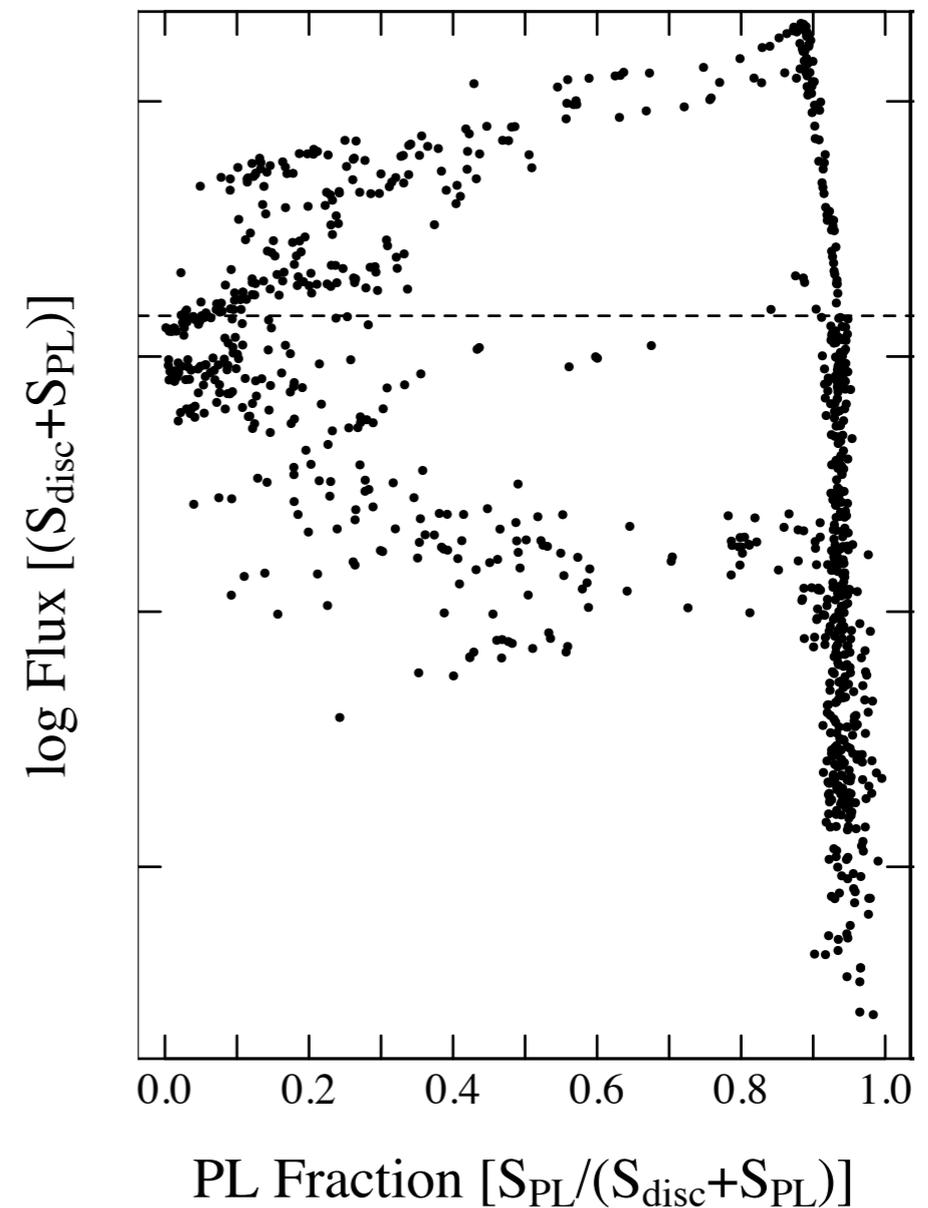
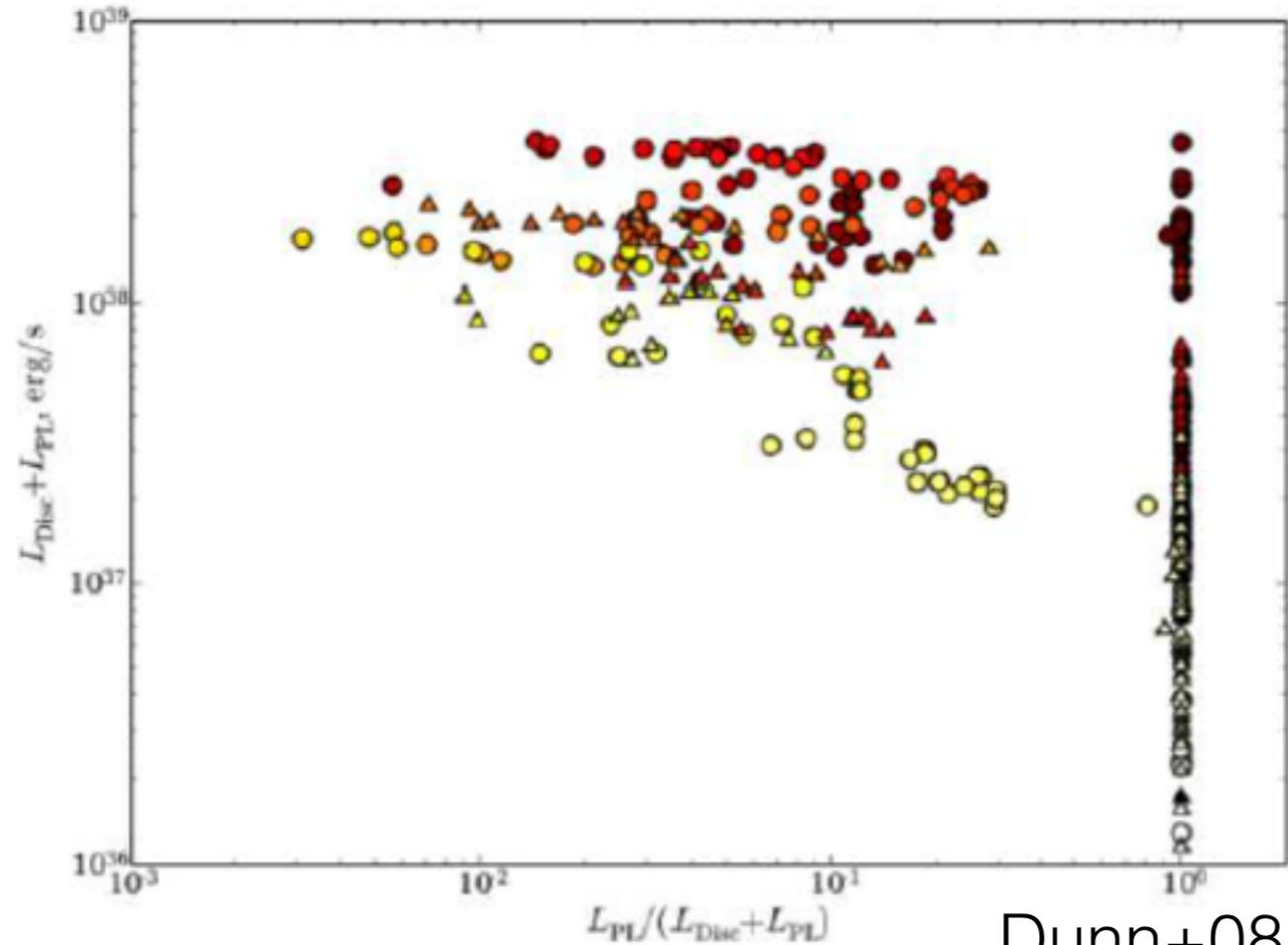
Step-up from hardness
ratios: takes into account
the whole RXTE spectral
band of 3-200 keV, but
no reliance on spectral
modeling



(2) Summing components based on their weight (Koljonen 2015)

All RXTE observations of GX 339-4

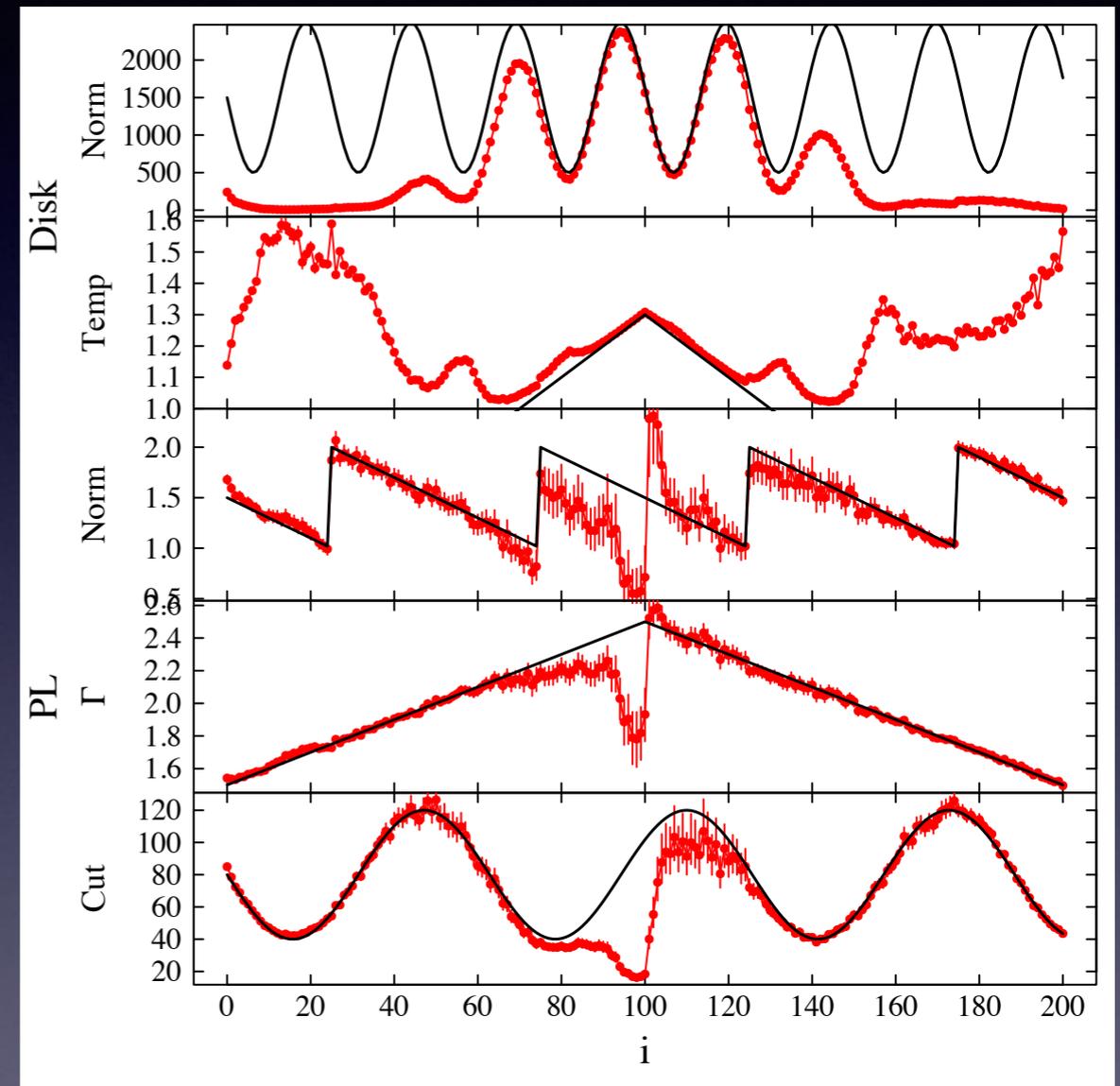
Disc/PL fraction



(2) Summing components based on their weight (Koljonen 2015)

Based on the non-negative nature of NMF the factorised disc and power law components can be fed separately for spectral fitting to obtain values for different spectral parameters.

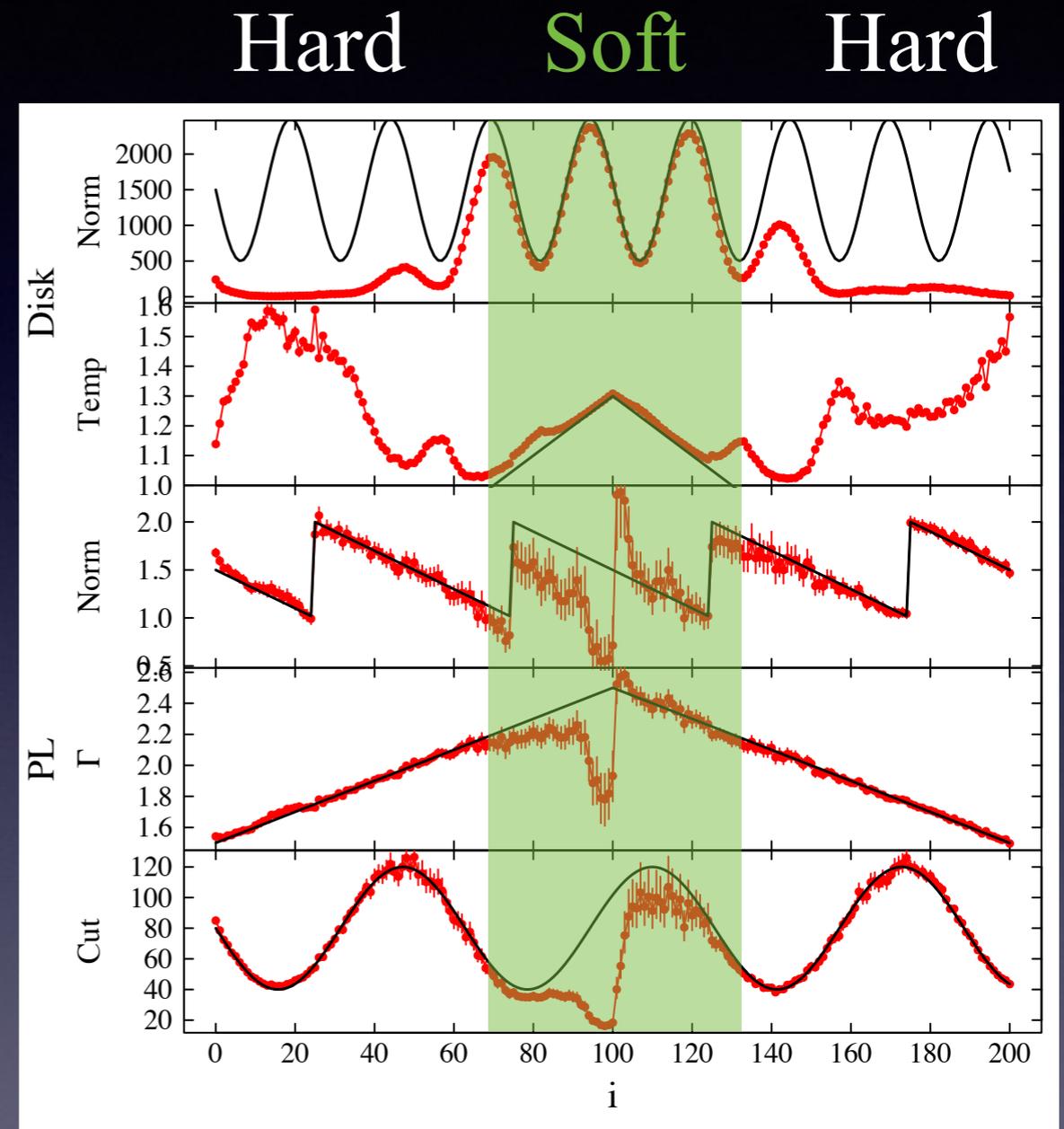
The parameters of the spectral components are fairly well constrained in high flux regimes.



(2) Summing components based on their weight (Koljonen 2015)

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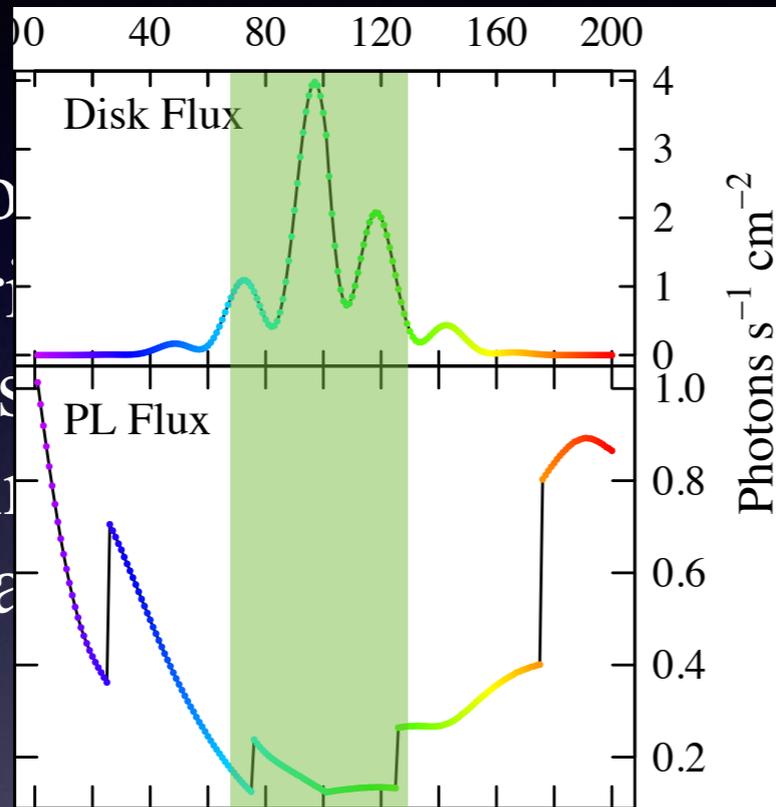


(2) Summing components based on their weight

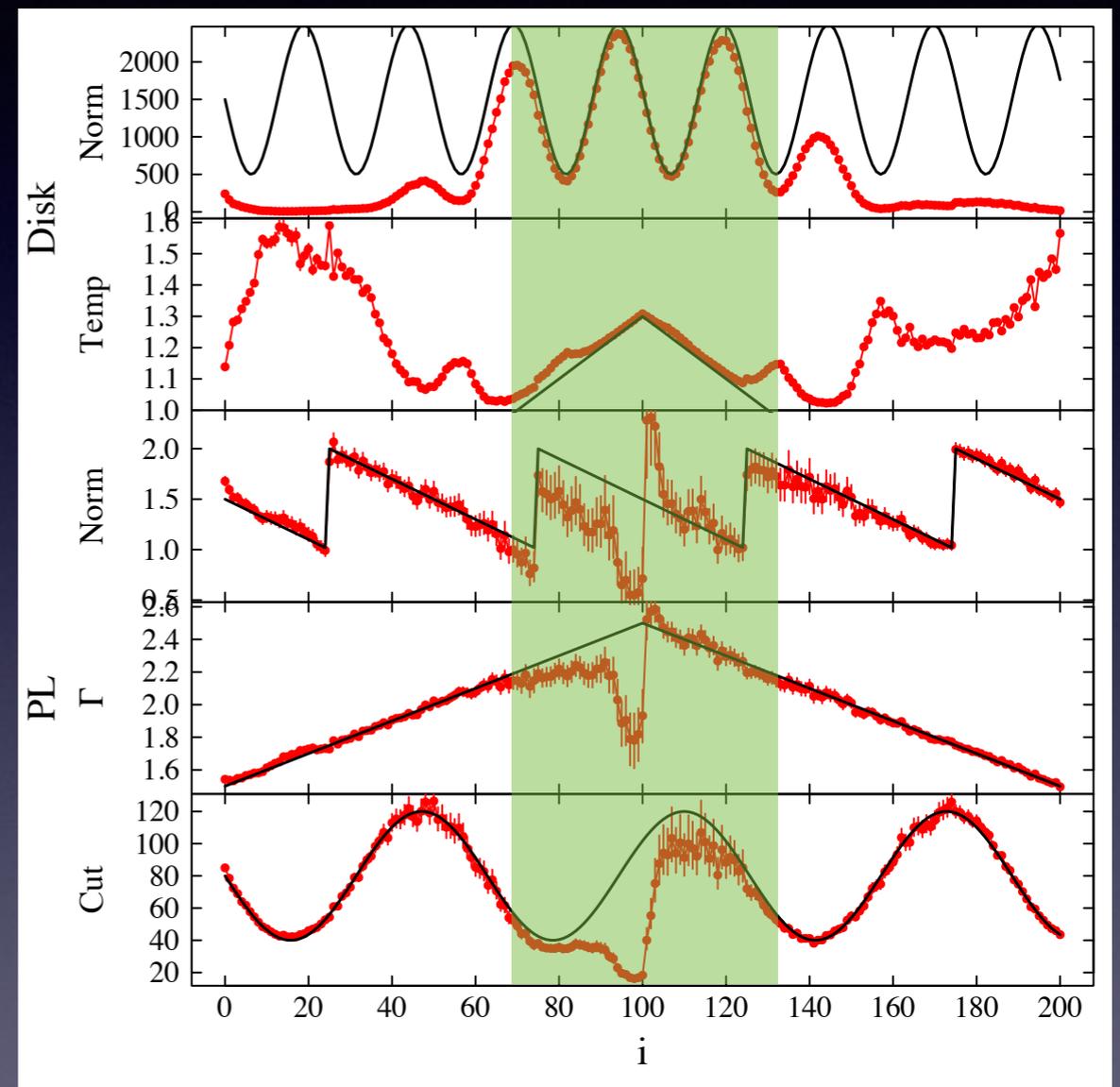
(Koljonen 2015)

Based on the non-negative matrix factorization (NMF) the factors are power law components for spectral fitting different spectra

The parameters of the components are fairly well constrained in high flux regimes.

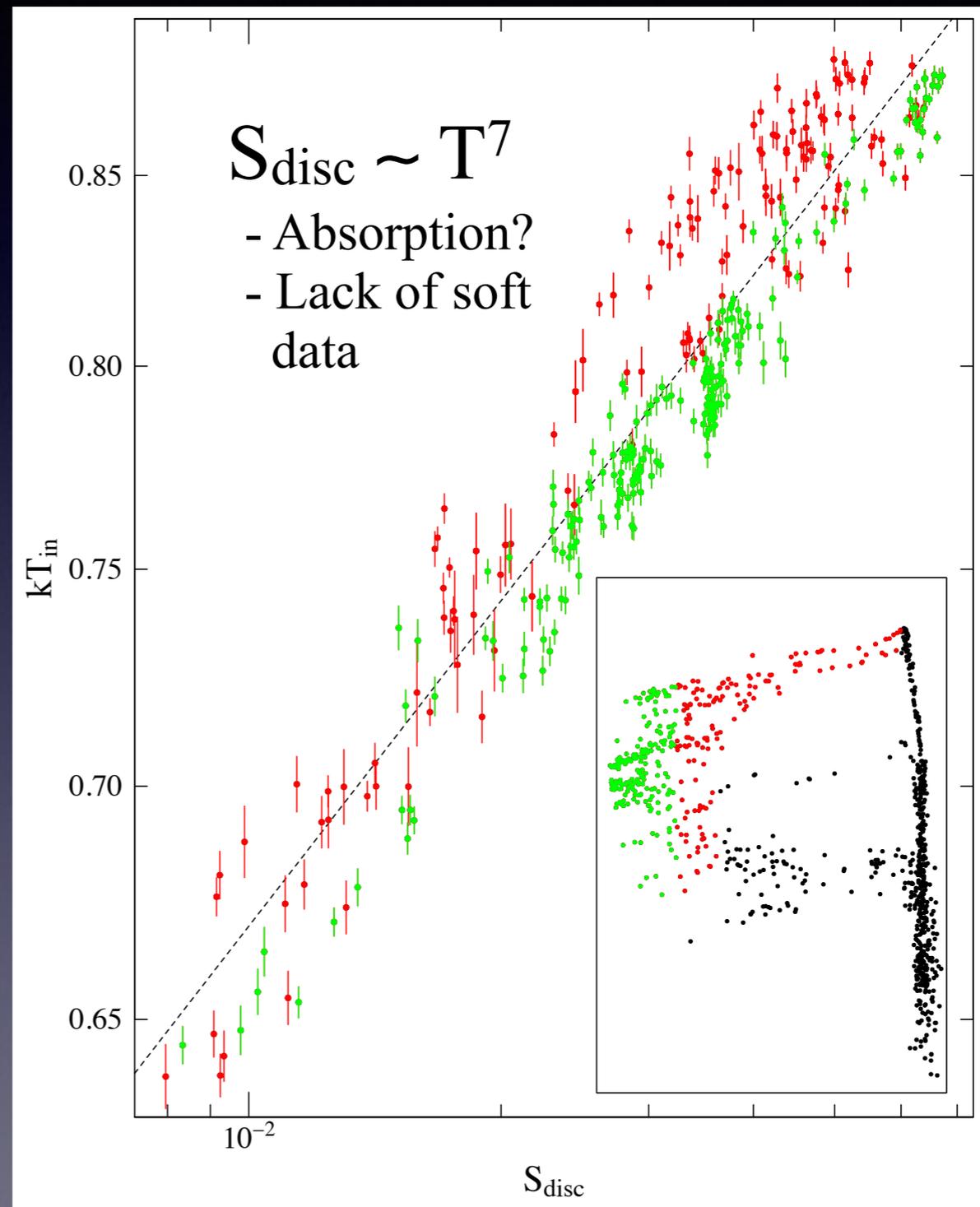


Hard Soft Hard



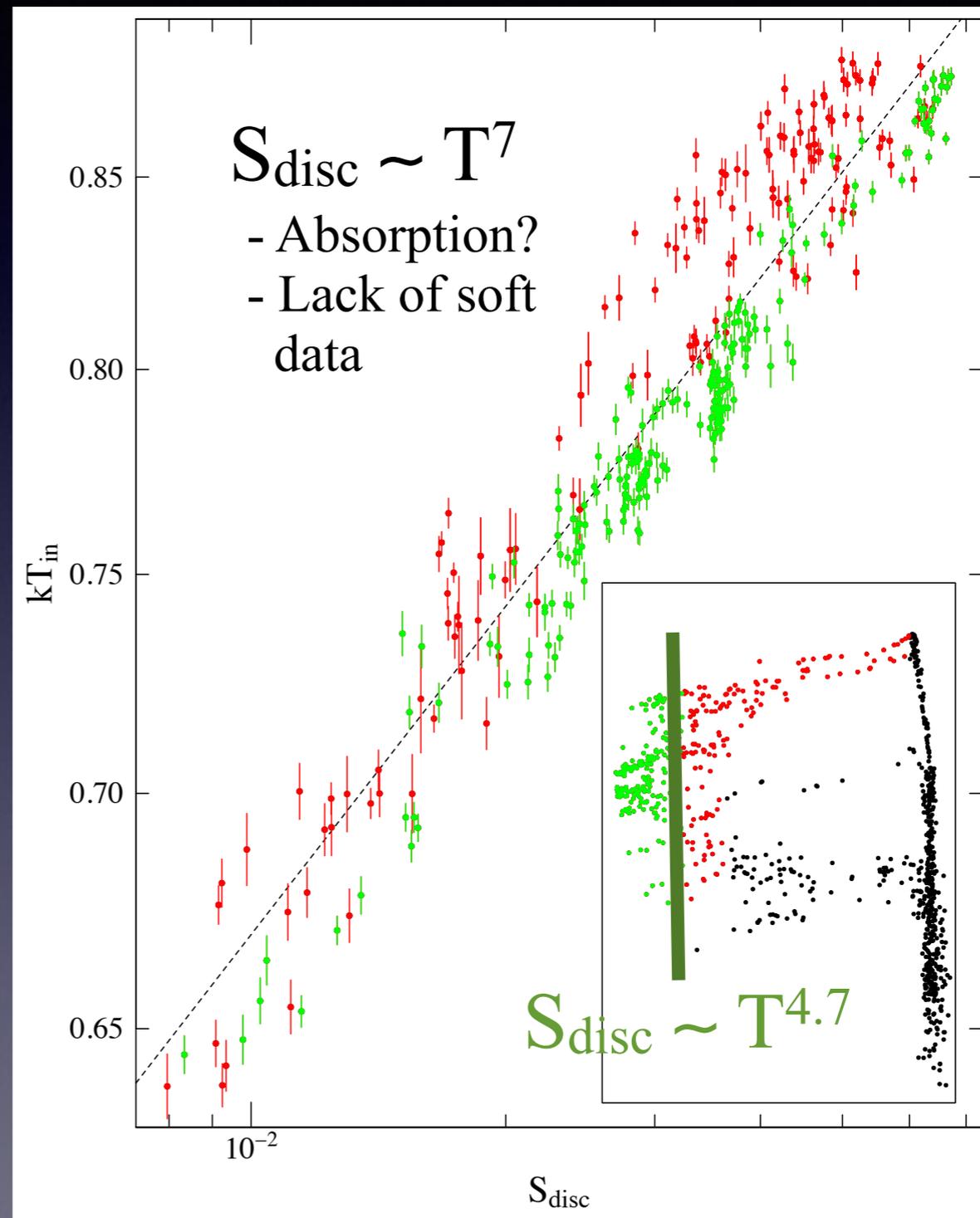
RXTE decomposition of black hole XRB GX 339-4

- At the soft state the disc luminosity is driven by the $S_{\text{disc}} \sim R_{\text{in}}^2 T^4$ -relation.
- It is usually assumed that this relation is achieved when the inner disc is located at the ISCO, though a multiple factors can affect this scaling (Salvesen et al. 2013).
- Here $S_{\text{disc}} \sim T^7$, but is affected by absorption and the lack of data softer than 3 keV (e.g. Dunn+08 show $S_{\text{disc}} \sim T^{4.7}$, for PL fraction < 0.2 , when extending the model to lower energies and removing the absorption).
- The disc-temperature relation starts right after the transition to the soft state, i.e. the inner disc seems to be at the ISCO in the beginning of the intermediate state.



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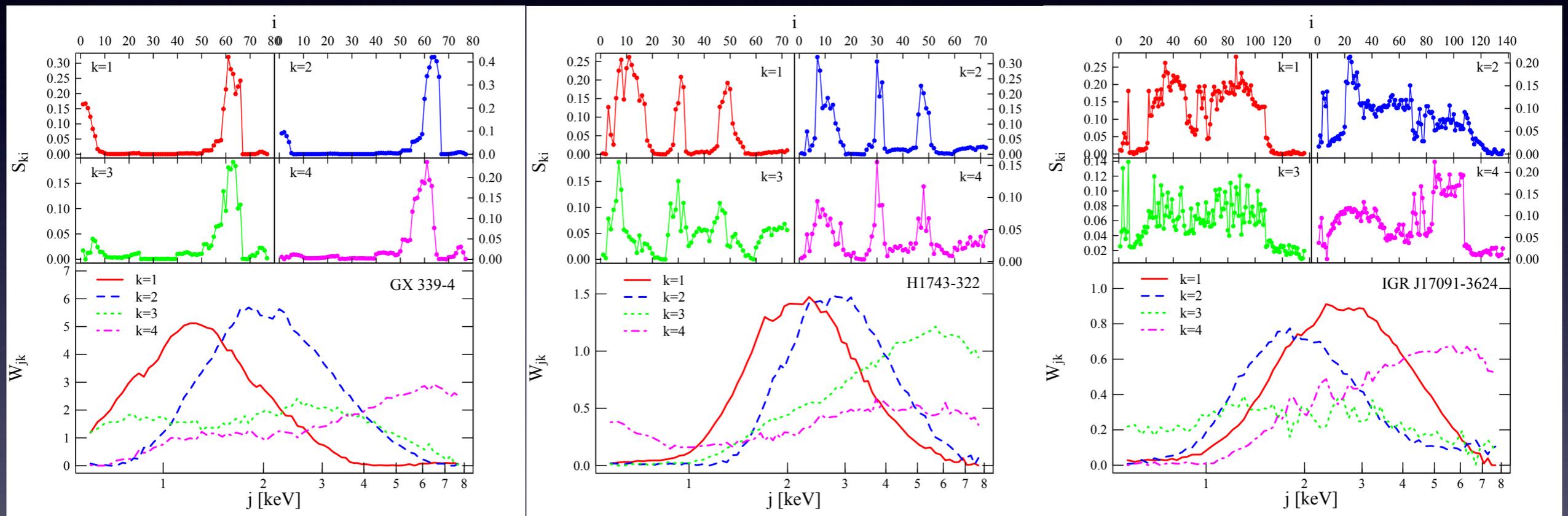


Swift/XRT decomposition of black hole XRBs

GX 339-4

H1743-322

IGR J17091-3624



Koljonen 2015, in prep.

The NMF component layout for individual S_{ki} (top four panels) and W_{jk} (bottom panel) that are sufficient to explain most of the variability and X-ray spectra of each source. $k = 1, 2$ can be clearly designated as the disc component, and $k = 3, 4$ as the power-law component in all sources.

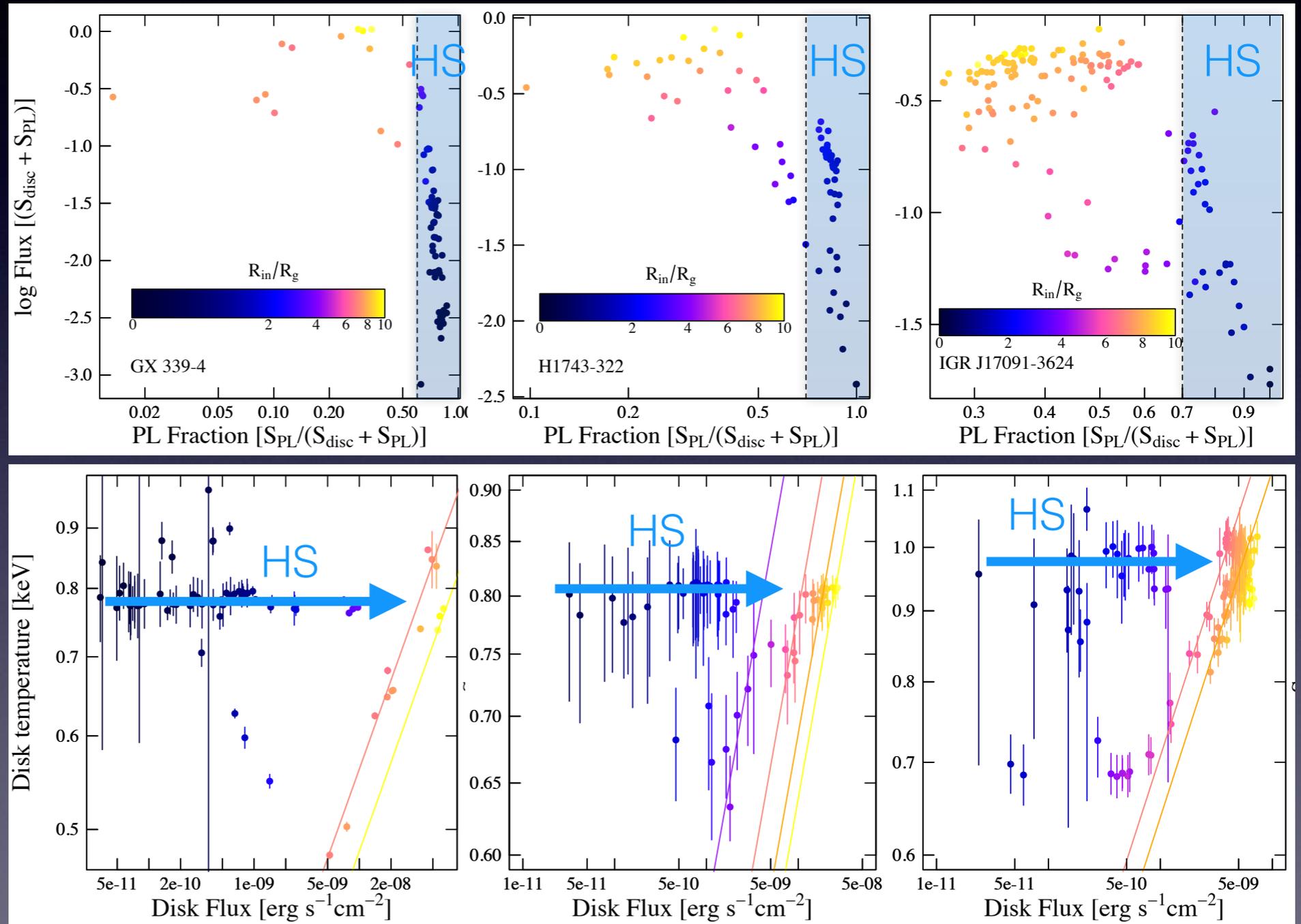
Swift/XRT decomposition of black hole XRBs

GX 339-4

H1743-322

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Inner radius of the accretion disc @ ISCO, as marked by the $L \sim T^4$ relations (jumps likely caused by variable color correction factor, inner disk radius, or different conditions in the individual outbursts), in all intermediate and soft states.



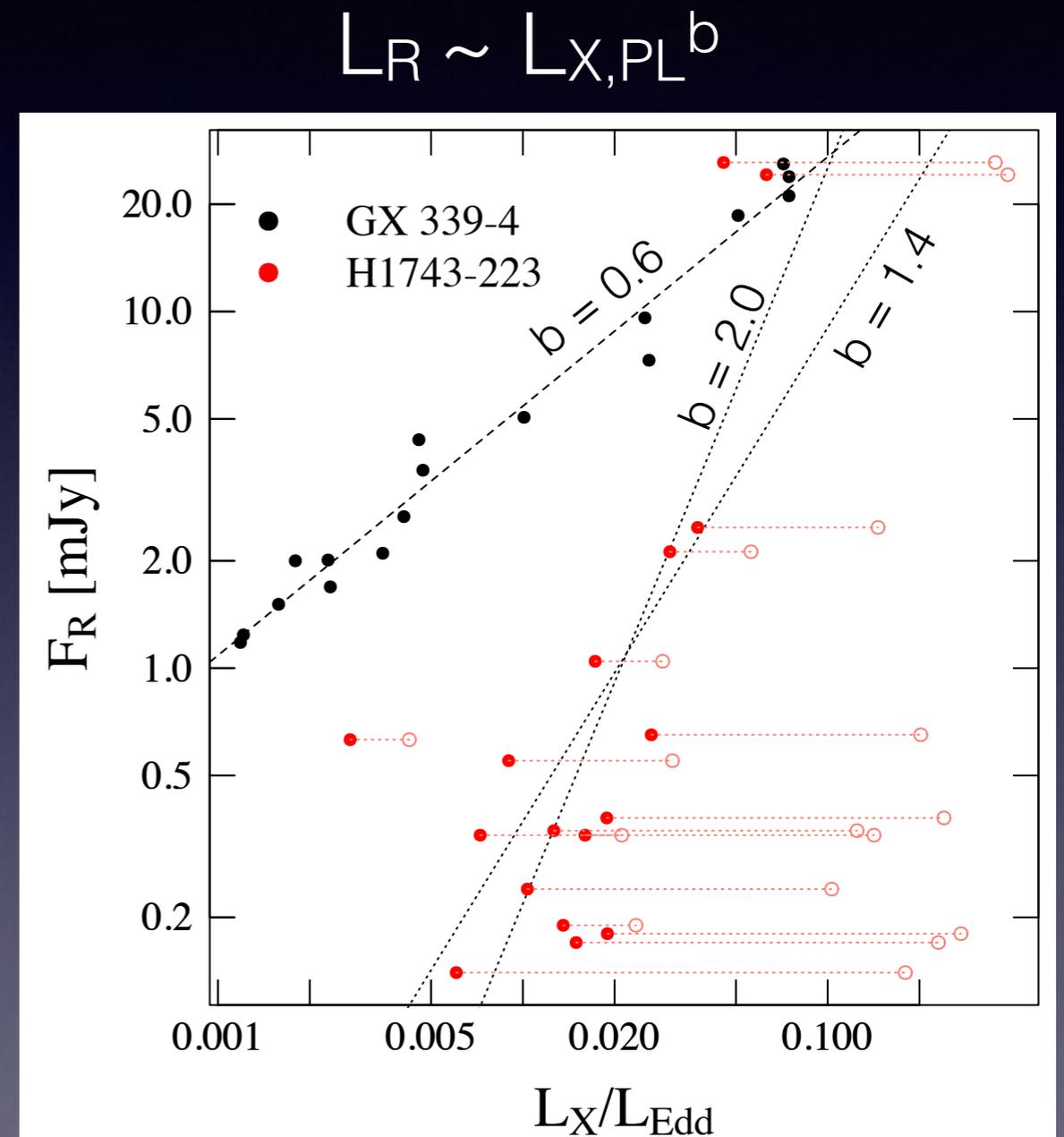
Koljonen 2015, in prep.

Swift/XRT decomposition of black hole XRBs

L_X/L_R -relation $\rightarrow L_{X,PL}/L_R$ relation

Radio data from Corbel+13, Miller-Jones+12, Coriat+11

In the soft state the PL flux traces the same radiatively efficient accretion $L_R \sim L_{X,PL}^{1.4-2.0}$ track as in the hard state.



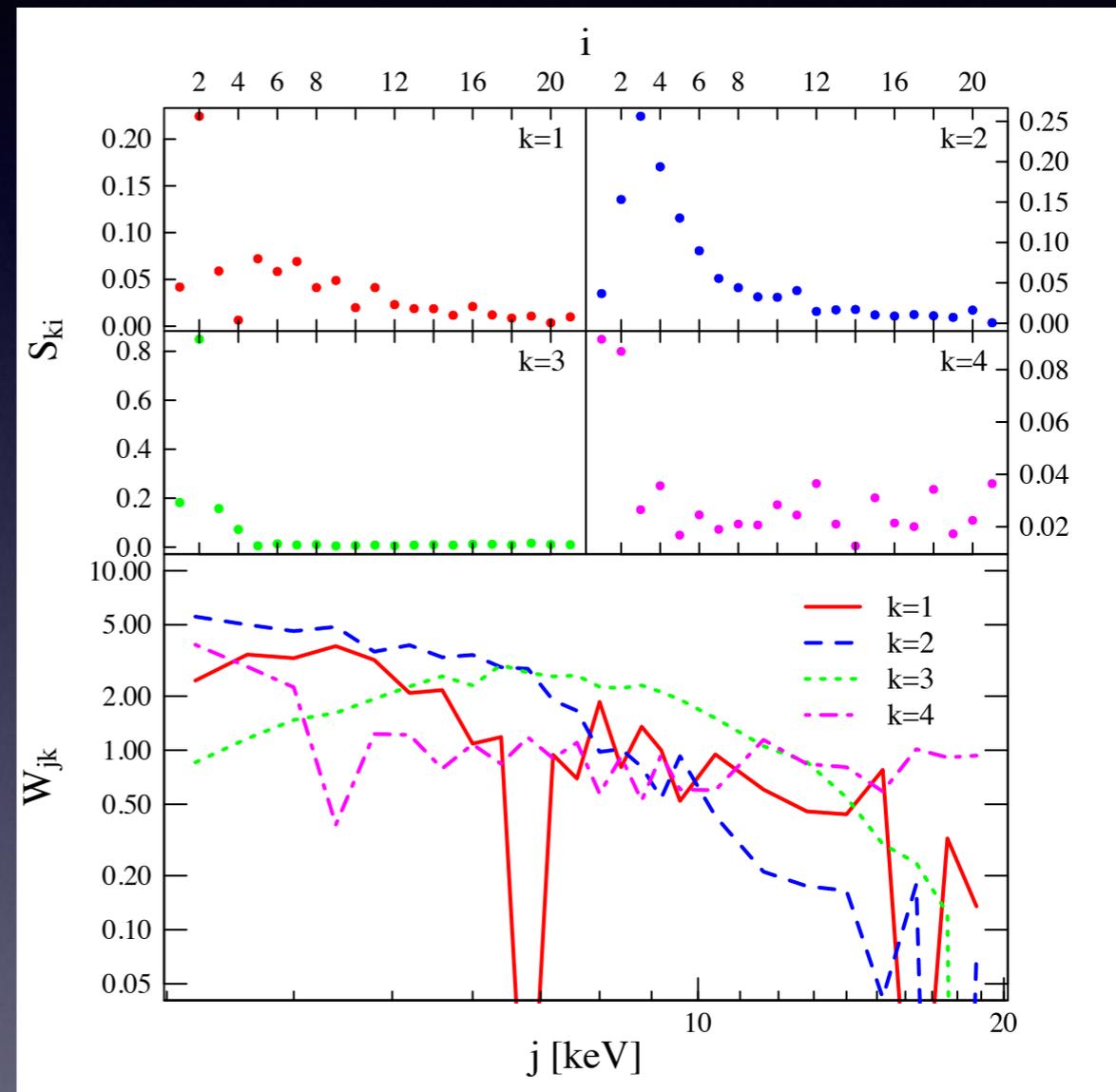
Koljonen 2015, in prep.

Thermonuclear X-ray burst in 4U 1608-52

The burst spectra can be explained by four NMF components.

$k = 2, 3$, show curvature in weights (W_{jk}), and strong signal (S_{ki}). Can be fitted with a blackbody model of temperature 1-2 keV = burst spectrum.

$k = 1, 4$, show flat weights and weak signal. Can be fitted with a power law model with index ~ 2 , consistent with the pre-burst accretion emission.



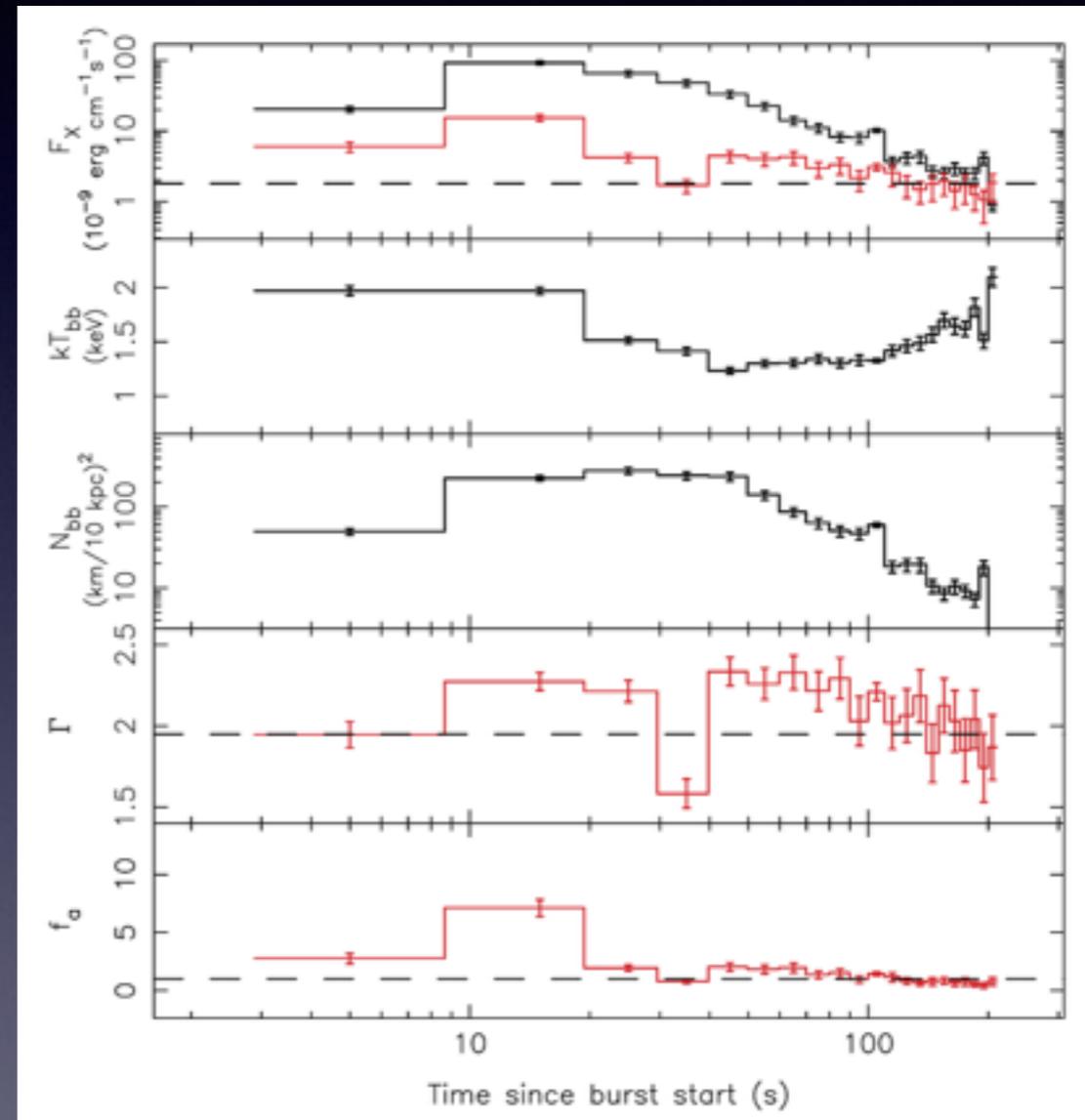
Degenaar, Koljonen, et al. 2015, in prep.

Thermonuclear X-ray burst in 4U 1608-52

Enhancement and change in the shape of pre-burst spectrum.

—> Pre-burst spectrum is not constant!

—> Softening of the pre-burst spectrum by Compton scattering burst photons indicates interaction between the two components



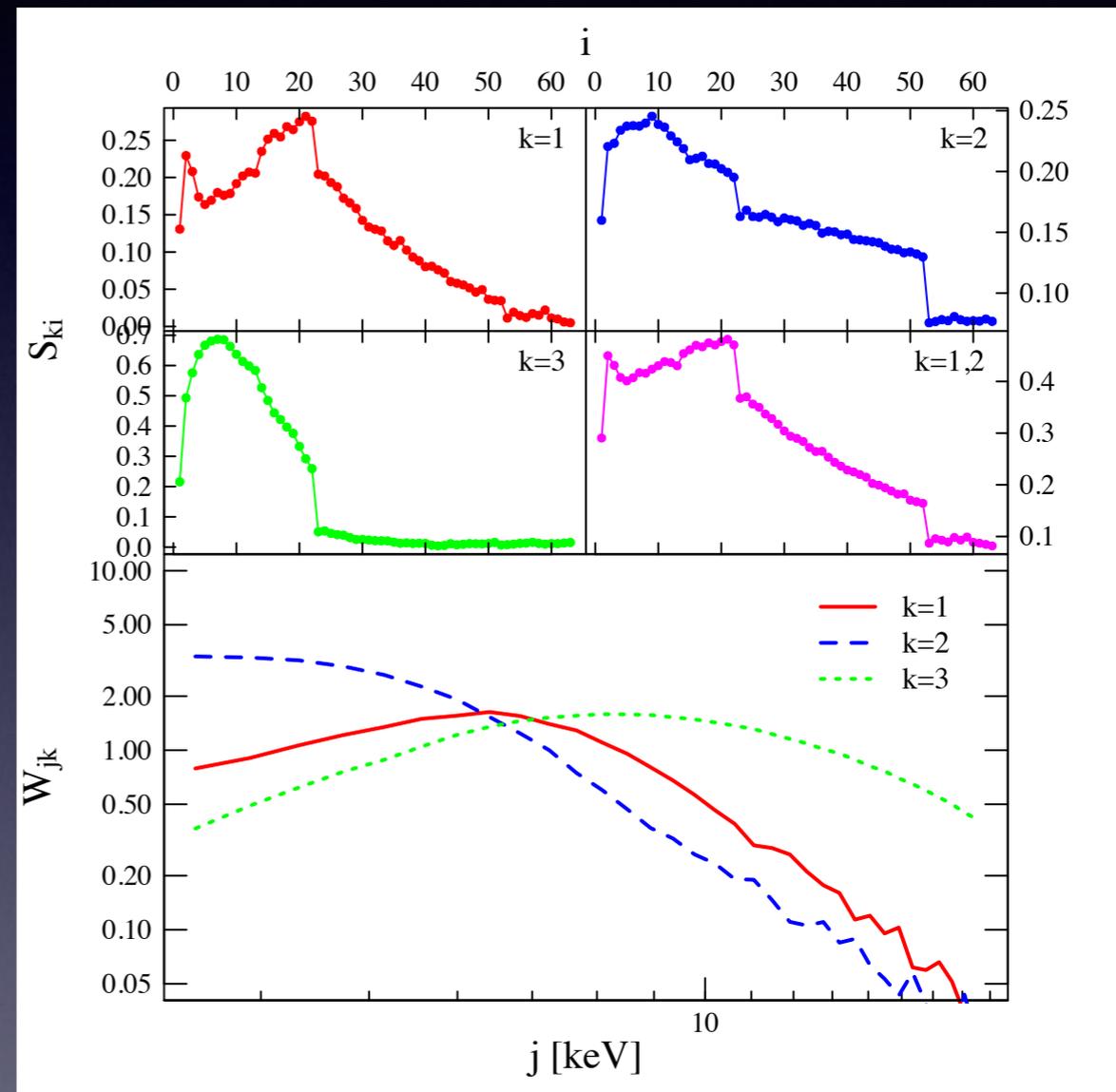
Degenaar, Koljonen, et al. 2015, in prep.

Thermonuclear superburst in 4U 1636-536

The superburst spectra can be explained by three NMF components.

$k = 3$, is constant and can be fitted approximately by a blackbody with temperature 2.4 keV \rightarrow Boundary/spreading layer! (Revnivtsev et al. 2006, Inogamov & Sunyaev 1999). BL/SL brightens by a factor of 30 during the burst. More accurate BL/SL model with `comptt`.

$k = 2, 3$, is the burst spectrum. Can be fitted with blackbody with changing temperature and reflection features (iron line, edge).

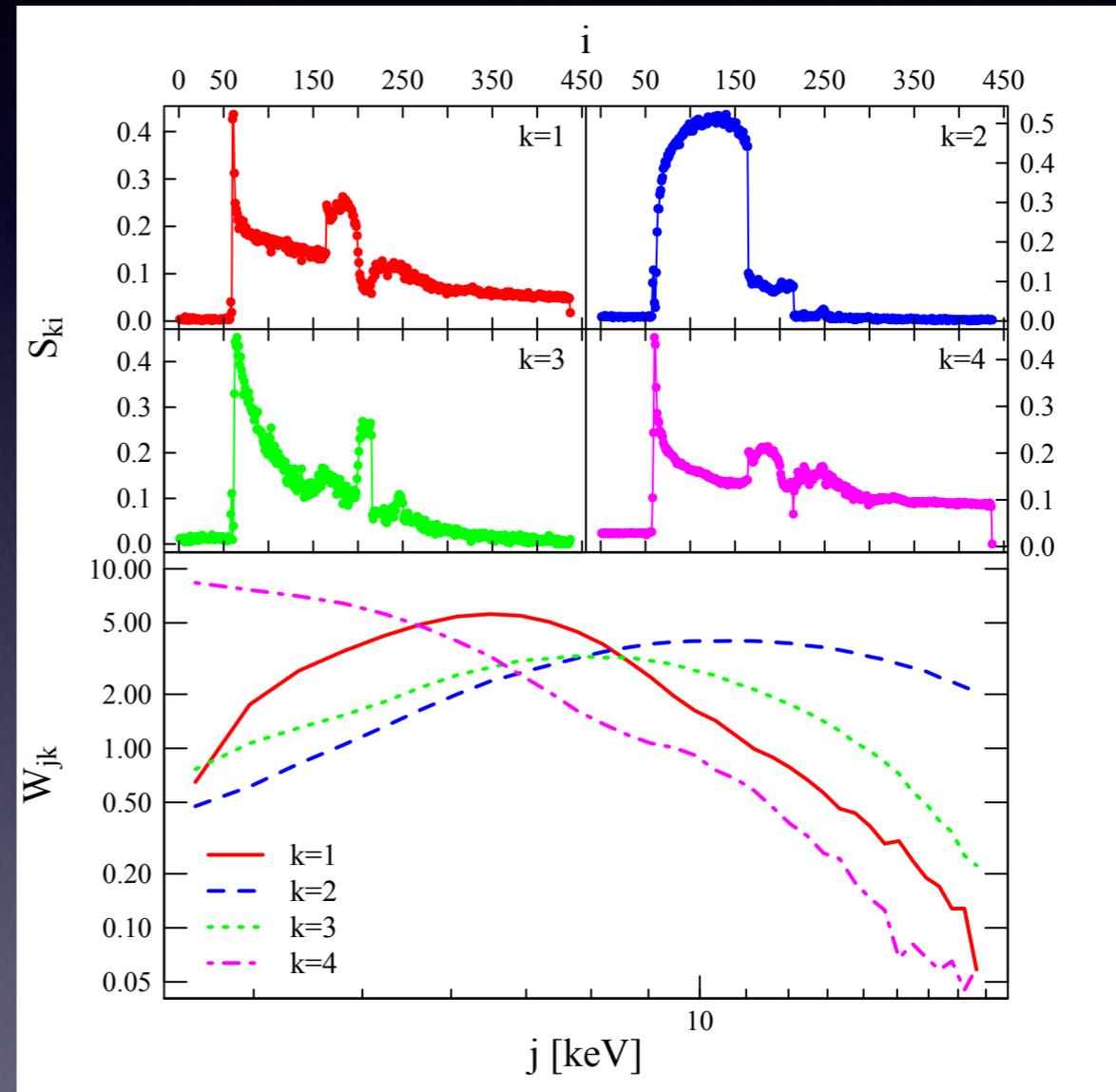


Koljonen, Kajava, et al. 2015, in prep.

Thermonuclear superburst in 4U 1820-303

The superburst spectra can be explained by four NMF components.

Similar to 4U 1636-536 with burst spectrum ($k=1,3,4$) and SL/BL ($k=2$). Differences arise from being ultra-compact binary, and exhibiting photospheric radius expansion (PRE). In the tail also interesting flickering.



Koljonen, Kajava, et al. 2015, in prep.

Conclusions

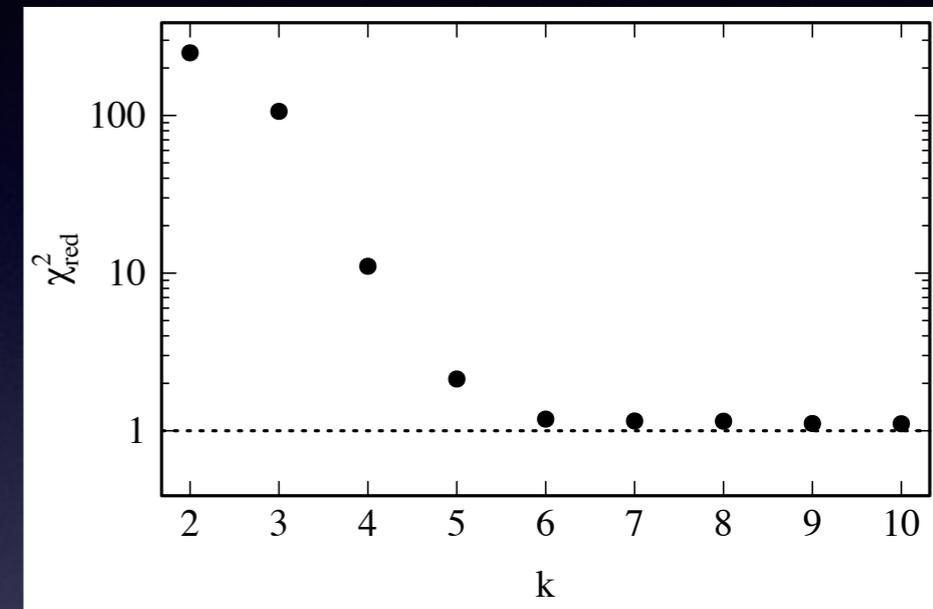
- Spectral degeneracy is a problem in many situations despite excellent quality of the data.
- Unsupervised linear spectral decomposition methods are powerful tool to examine spectral variability and can be used to follow the evolution of distinct spectral components (given that they present a measurable effect to the fluxes).
- The non-linearities present in the original spectral components can be taken into an account by adding multiple linear components together based on their weights across the spectral energies.
- An analysis of a large sample of bright variable AGN has revealed a large number of different variability patterns. These patterns can be matched to the predictions from simulations to unambiguously determine the nature of the variability in each source
- Analysis of XRB data indicates that the inner edge of the disk is at the ISCO during soft and intermediate X-ray states.
- Analysis of thermonuclear superburst revealed BL/SL emission with constant spectrum but varying in normalization by a factor of 30, and a pre-burst spectrum

Determining the degree of factorisation

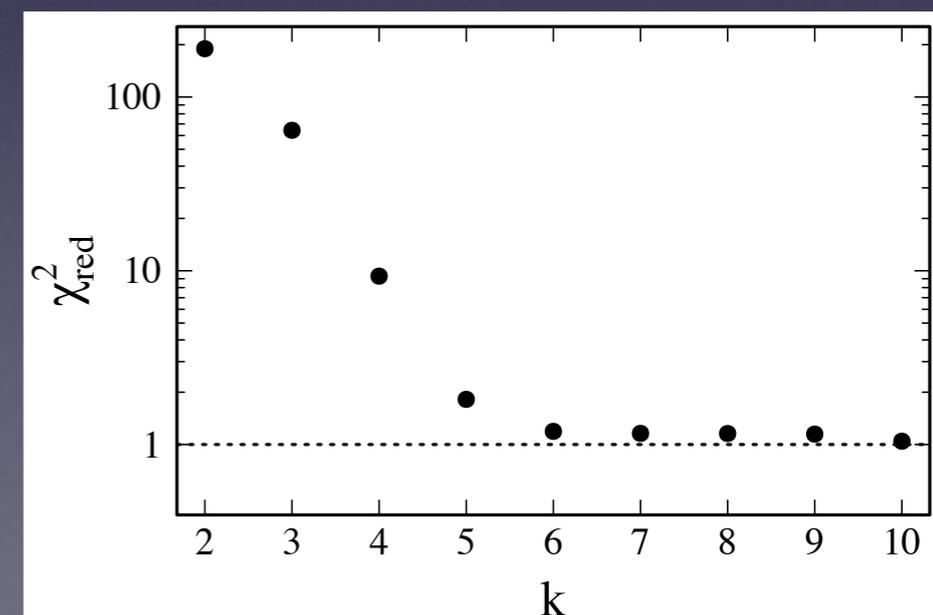
- How many components?
 - PCA: Fraction of variance (LEV diagram), χ^2 -diagram
 - ICA: χ^2 -diagram
 - NMF: χ^2 -diagram
- All agree on 6 components

$$\chi_{red}^2 = Mdn \left\{ \sum_i \left[\left(X_{ji} - \sum_k W_{jk} S_{ki} \right) \times \sigma_{ji}^{-1} \right]^2 \times (\max(j)-k)^{-1} \right\}$$

ICA



NMF



PCA

