

**Title of the submission:**

**Space Project for Astrophysical and Cosmological Exploration  
(SPACE)**

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*Credit: NASA, ESA and the Hubble Heritage Team (STScI/AURA)*

# 1. Extragalactic Astrophysics and Cosmology (writers: Andrew Bunker, Denis Burgarella, Hakim Atek, Chris Pearson, José Miguel Rodríguez Espinosa, Rychard Bouwens, Daniel Schaerer, Karina Caputi, David Alexander, David Rosario, David Sobral)

Our main objectives for extragalactic astrophysics are two-fold: the birth of the first galaxies and AGN; and the rise of the metals in the Universe.

## 1.1. Introduction

### 1.1.1. When and How Did Galaxies Form?

The SPACE mission will achieve photometric identification of star-forming primordial galaxies at  $10 < z < 15$ , i.e. less than 0.3 - 0.5 Gyr after the Big Bang, over much larger volumes than available to HST or JWST. The galaxies will be selected through the Lyman break technique: intervening neutral hydrogen will absorb all light at wavelengths shortward of Ly $\alpha$ 1216 in the rest-frame, causing the highest-redshift objects to “drop out” of visible and near-infrared images. The expected density of these galaxies at  $z > 14$  is estimated to be  $\sim 1 \text{ deg}^{-2}$  at  $m_{AB} = 28$ . To detect a statistically significant sample at the highest redshifts, an imaging survey of, about  $200 \text{ deg}^2$  is necessary. The number of sources ( $\sim 10^5$  at  $z = 10$  and several hundred sources are needed so as to determine the luminosity function and its evolution with redshift (particularly the shape at the bright end to probe the effects of feedback at early times). This sample of luminous galaxies will be the largest one obtained with any facility because: (1) JWST is unlikely to build surveys much larger than  $\sim 1 \text{ deg}^2$ , i.e., HST-like which means that the probability of detecting such luminous galaxies is low at  $z > 12$  and, (2) detecting these primordial galaxies requires any facility to have at least 2 bands at  $\lambda > 1.3 \mu\text{m}$  (at  $z = 10$ ) and  $\lambda > 2.0 \mu\text{m}$  (at  $z = 15$ ), to provide robust colours at wavelengths beyond the Lyman break for photometric redshifts. To date, only JWST and SPACE have a  $\lambda$  range extending beyond  $2.0 \mu\text{m}$  with the required sensitivity.

### 1.1.2. Spectroscopic detection and identification of photometrically faint emission line galaxies via a blind spectroscopic survey (with integral-field spectroscopy)

Imaging surveys allow to detect galaxies with a strong continuum. However, an important subset of galaxies, younger and undergoing strong star-bursting events, are optimally detected via spectroscopic surveys aiming at strong emission lines. VLT MUSE's results (Bacon et al. 2015) discovered many Ly $\alpha$  emitting galaxies that are completely undetected in the HST deep images down to  $I(F814W) > 29.5$ . These sources that have no HST counterparts represent 30% of the entire Ly $\alpha$  emitter sample. A blind and relatively wide-field integral-field spectroscopic (IFS) survey is the unique way to detect these objects – this provides a great sensitivity advantage over “slitless” spectroscopy, even from space, where each pixel records background noise at all wavelengths. No other current or planned space facility will feature an IFS instrument with such a large field-of-view. SPACE's IFS will build a survey via parallel observations and reach magnitudes as deep as the widest JWST NIRSpec survey but over a much larger area of more than  $1 \text{ deg}^2$  (ten times the area over which the anticipated NIRSpec surveys will draw their targets from). Moreover, JWST/NIRSpec will not obtain spectroscopy over its entire field of view: a prior photometric detection is needed to define the slits for the micro-shutter arrays (and the alternative IFS mode on NIRSpec covers  $3 \times 3 \text{ arcsec}^2$ , only 0.03% of the full field of the NIRSpec micro-shutter array). IFS with SPACE will look for signatures of the first generation of Pop III stars in the earliest galaxies, chart the evolution of metal enrichment and the assembly of mass in galaxies over cosmic time. It will use rest-frame optical emission lines to estimate the escape fraction of ionizing photons from high redshift galaxies (a key unanswered question in understanding the role of galaxies in the reionization of the intergalactic medium).

### *1.1.3. The first quasars and massive black holes*

The density of very high redshift ( $z > 6$ ) luminous quasars is very low, with only a handful currently known at  $z > 7$ , although the very existence of supermassive black holes at these early times presents strong challenges to seed formation and black-hole growth models. Crucially, SPACE has the wavelength coverage to identify quasars out to high redshift, and SPACE's field-of-view is large enough to directly detect  $\sim 100$  quasars at  $z > 8$  that we will explore down to fainter luminosities than current surveys. Moreover, we will provide sufficient time in SPACE's observation schedule to observe photometrically and spectroscopically these quasars into the rest-frame optical. This will provide unique information on the early co-evolution of galaxies and super-massive black holes but also, this will allow to study the intergalactic medium along the line of sight. The IFS will be very valuable to try and detect the environment of these early super-massive black holes.

### *1.1.4. The birth of metals*

Big bang nucleosynthesis creates hydrogen and helium, but all elements heavier than beryllium are formed later in stars and supernovae. Hence the "metallicity" (the enrichment of cosmic gas by heavy elements) acts as a clock - the elemental abundances are built up with time with successive generations of star formation. Emission line diagnostics have been used at intermediate redshifts to determine the metallicity of the star-forming gas, and a key probe of galaxy evolution is the mass-metallicity relation (e.g. Tinsley 1980), which indicates how star formation and chemical enrichment proceed in galaxies as a function of galaxy stellar mass. Good determinations have been made at low redshift (e.g. SDSS, Tremonti et al. 2004) and more recent work at intermediate redshifts (e.g. Zahid et al. 2011 at  $z \sim 1$ ). Metallicity measurements are currently limited to  $z \lesssim 3$  due to spectral coverage from the ground, and there exists a small number of  $z \sim 3$  estimates (e.g. Maiolino et al. 2008). The slope and offset of the mass-metallicity relation will evolve with redshift if the characteristic timescale (or efficiency) of chemical enrichment depends on the stellar mass. At the higher redshifts there appears to be significant differences between the current small number of observations and theoretical predictions from chemical evolution models (e.g. Taylor & Kobayashi 2016, Davé et al. 2011).

## **1.2. Detailed science case**

### *1.2.1. The First Galaxies*

Our understanding of how galaxies form and evolve over the last 13 Gyrs of cosmic time has increased dramatically over the last decades (e.g. see reviews by Robertson et al. 2010, Dunlop 2012; Madau & Dickinson 2014). Tremendous effort has been invested into finding and studying the most distant sources, particularly since they offer stringent constraints on galaxy formation, allowing us to test models of early galaxy formation and evolution (e.g. Vogelsberger et al. 2014; Schaye et al. 2015; Lacey et al. 2016), along with studying the epoch of reionization (e.g. Shapiro et al. 1994; Furlanetto et al. 2004; Iliev et al. 2006; Dijkstra 2014). Nevertheless, some fundamental questions remain regarding the very early Universe. Where and when did the first stars and black holes form? What were their properties? Can we finally identify and characterise them, thus confronting state-of-the-art predictions and pushing models much further? While ultra-deep surveys with HST have been extremely successful, most of the small number of current  $z = 10$  candidates have not been found in the Hubble Ultra Deep Field but in wider-field, shallower HST surveys. We now have growing evidence that the bright end of the luminosity function is evolving less rapidly than the faint end (e.g. Bowler et al. 2014), which is not well captured or reproduced in current models. This motivates a search for bright galaxies out to high redshift to accurately determine this evolution. The unique combination of depth, area, and wavelength coverage provided by the imaging camera on SPACE will provide the first significant searches for very luminous  $z > 10$  galaxies (potentially revealing hundreds of high redshift sources with star formation rates greater than  $100 M_{\odot} \text{yr}^{-1}$ ), for the first quasars at  $z > 7$ , and for massive evolved galaxies at  $z > 6$ . With these searches, we can constrain unexpected physics and test the  $\Lambda$ CDM paradigm. Complementary to this imaging, the wide-field integral field spectroscopy

(IFS) capability of SPACE offers an unprecedented opportunity to study low-mass star-bursting proto-galaxies in the early Universe, to search for the elusive first generation of stars (Pop III), and to chart the chemical enrichment history and mass assembly of galaxies at early times.

**The high redshift frontier:** The brightest most massive galaxies at high redshift occupy a unique region in parameter space which provides a new test of galaxy formation physics in a different regime to that probed by the small-area surveys of HST and JWST. At  $z = 13-15$ , the universe will have an age of only 250 - 300 million years. These early times are as yet unexplored, and it is unlikely that JWST will survey sufficient area to identify even one such galaxy near the bright end of the luminosity function (LF), while surveys like Euclid or WFIRST are limited to  $\lambda < 2\mu\text{m}$  and do not have sufficiently red filters to identify such galaxies at all (Fig. 1). Pushing to these hitherto-unexplored highest redshifts is key to understanding when the first stars and galaxies formed.

**Large samples of robust candidates at  $z \sim 11-12$ :** SPACE is also likely to provide the community with the largest and most complete sample of ultra-bright galaxies at  $z = 11-12$ . While sources at these redshifts can, in principle, be selected based on the WFIRST survey (the EULID survey will likely be too shallow at  $z > 10$ ), rare  $z \sim 11-12$  galaxies will be difficult to distinguish from the much more ubiquitous contaminant population due to the lack of filters beyond  $2\mu\text{m}$  (and hence restricted colour information), making any confirmation campaign extremely time expensive. The SPACE mission overcomes these significant issues with contamination and expensive follow-up confirmation.

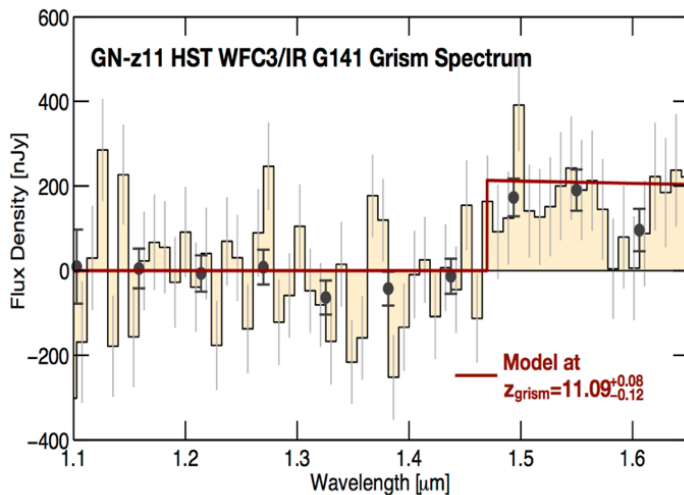
Much of the high redshift objects lacks spectroscopic redshifts, with the luminosity functions derived just from LBG candidates identified in images. Accurate spectroscopic redshifts are critical, since the interloper fraction of lower-redshift sources is very uncertain. The literature is full of high redshift candidates which turned out to be low redshift. Critically, the inferred luminosity function depends strongly on the calculation of the volume sampled, which in turn depends on the selection and completeness (Fig. 2). These are strongly affected by the redshift distribution and spectral slopes of the Lyman-break galaxies.

In order to effectively select Lyman break galaxies at high redshift, we will use optical imaging to reject low redshift red contaminants in our fields (which would be detected at shorter wavelength, unlike the highest redshift objects). The planned LSST deep-drilling fields will cover  $\sim 50-100 \text{ deg}^2$  and could be expanded further to match our intended survey area. The anticipated depths of  $m_{\text{AB}} = 28$  at  $5\sigma$  (equivalent to a  $2\sigma$  upper limit of  $m_{\text{AB}} = 29$ ) are sufficient to cleanly select Lyman break galaxies with  $m_{\text{AB}} = 28$  at wavelengths beyond Lyman-alpha, particularly when the ugrizy images are co-added for greater depth. Alternatively, the existing HyperSuprimeCam on Subaru will reach comparable depths in g and r band in the planned Ultra Deep survey (2.4 nights per pointing). A survey in a single band with Subaru over SPACE's  $200\text{deg}^2$  area would take about 260 nights.

Making use of published predictions from Mason et al. (2015), Behroozi & Silk (2015) and Mashian et al. (2016), we predict we would find  $\sim 5000$   $z \sim 13$  galaxies,  $\sim 200$  at  $z \sim 14$  galaxies, and still a few tens galaxies at  $z \sim 15$  (in redshift slices of  $\Delta z = 1$ ) down to our limiting magnitude of  $m_{\text{AB}} = 28$ , compared with at most a few tens of galaxies at this brightness for JWST and virtually none such sources from the EUCLID and WFIRST missions (Fig. 3). Several tens thousands  $z \sim 11$  and  $z \sim 12$  ultra-bright galaxies are also predicted for the SPACE mission, dwarfing what are likely to be limited samples with WFIRST+EUCLID + potential follow-up campaigns with JWST (Fig. 3). These numbers are uncertain given the current weak constraints on the luminosity function at high redshift, hence the need for a large SPACE sample to accurately determine the evolution of the LF. If the bright end evolves less rapidly than in these models, these predicted numbers will be lower limits.

The large samples of ultra-bright  $z = 11-15$  galaxies from the SPACE mission will be enormously valuable, allowing for a wide variety of follow-up endeavours (spectroscopy from ELTs, X-ray observations with Athena and radio/mm with SKA and ALMA). A recent work detected a candidate for the remote object in the universe, at  $z = 11.1$  (Oesch et al. 2016). This galaxy is remarkably, and

unexpectedly, luminous for a galaxy at such an early time but also very rare. If confirmed, this result implies that the best strategy to detect  $z > 10$  is wide fields, as featured by SPACE. With these samples, SPACE will provide the community with new constraints on the prevalence of ultra-bright  $z \sim 13$ -15 galaxies and more robust constraints at  $z \sim 11$ -12. This can be used to assess the general form of the UV luminosity function at  $z > 10$ . There is tentative evidence based on recent observations for the galaxy LF becoming a double power-law shape at  $z > 6$ , compared with a Schechter function with an exponential cut-off at redshifts lower than this (Bowler et al. 2014). One possible explanation is that the most UV luminous galaxies probed at the highest redshifts have lower stellar masses than the same UV luminosity galaxies at lower redshifts, due to both dust extinction and AGN feedback being lower at the high redshifts. This might result in the bright end of the UV LF at higher  $z$  increasingly resembling the mass function (a power law) rather than a Schechter function.



*Figure 1: The Spectral Energy Distribution of the galaxy at  $z = 11.09$  (Oesch et al. 2016) presents a break at  $\lambda = 1.5 \mu\text{m}$  due to the  $\text{Ly}\alpha$  forest absorption. To get a reliable estimate of the redshifts in the  $10 < z < 15$ , we must collect data above and below the break. The NIR+MIR range is needed. This is JWST's choice and also that of SPACE. Other facilities with limited capabilities beyond  $\sim 2 \mu\text{m}$  will not be suitable for this quest – two or more filters longward of the break are needed for secure identification.*

While such issues will almost certainly be resolved at  $z < 10$  by JWST, Euclid, and WFIRST, galaxy formation at  $z = 11$ -15 faces several unique challenges, the largest of which is the short time scale available for the build-up of the stellar population in a galaxy at such high redshifts. At these redshifts, there is only  $\sim 100$  million years (Myr) available from the formation of the first star to that galaxy forming tens of millions of stars per Myr. This is a very short time scale, particularly in relation to the time scale on which various physical processes operate, i.e., metal enrichment, feedback from supernovae, etc., and it is possible that galaxy formation proceeds differently in this regime. SPACE observations at these very high redshifts are crucial to constrain this.

The unique samples of ultra-bright  $z = 11$ -15 sources discovered by SPACE will have utility that goes well beyond just studies of their prevalence, as they are extremely high value follow-up targets. Targeting very high redshift galaxies from SPACE with NIR imagers on the next generation of Extremely Large Telescopes will give us a direct view of star formation in the most significant mass reservoirs in the early universe, measuring their size and structural properties. Ultra-bright galaxies out to  $z \sim 7$  have been shown to have a complex, multi-component morphology suggesting merging activity, and it would be extremely interesting to know if such phenomena would also be present in ultra-bright  $z \sim 11$ -15 galaxies building up in  $\lesssim 100$  Myr. There are fascinating insights to be gained about the dark-matter – galaxy connection at the bright end through studying clustering. These bright galaxies are likely the sites of the highest overdensity peaks in the early Universe, and thus expected to be clustered. SPACE may find proto-clusters, the earliest large-scale structures to form.



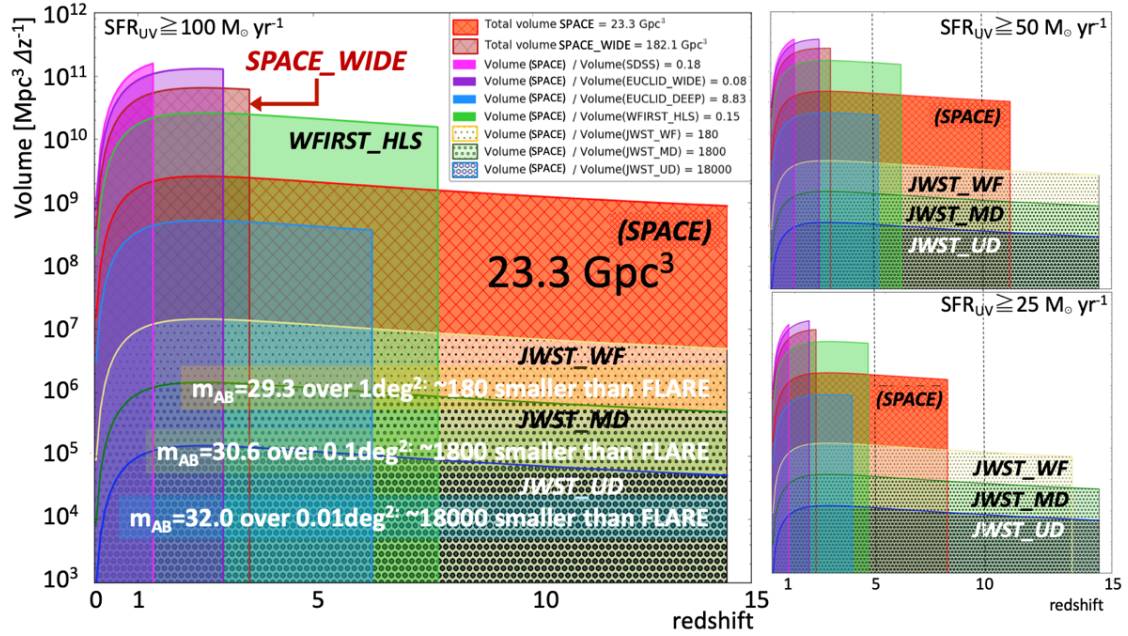


Figure 2: The volume of the universe for different projects in which galaxies with various star formation rate thresholds (from the rest-frame UV) can be detected. The legend gives SPACE's volume and the ratio of the other projects with respect to SPACE. None of the JWST surveys will have comoving volumes comparable to lower redshift ones ( $z < 6$ ). On the other hand, SPACE's  $200 \text{ deg}^2$  survey at  $z > 8$  will reach about 10% the volume of the EUCLID WIDE and WFIRST HLS surveys at much lower redshift. Even at lower SFRs, i.e. normal star-forming galaxies, SPACE will be able to cover a huge volume unreachable for any other survey.

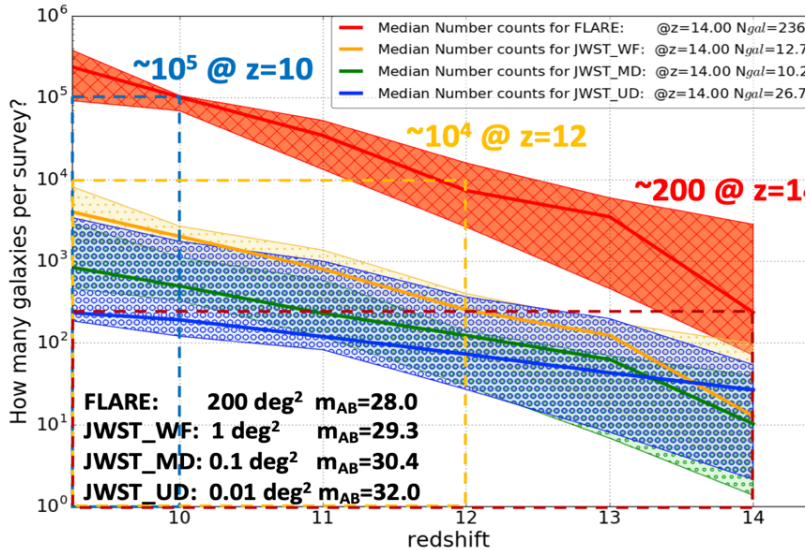


Figure 3: Number of galaxies to  $z = 14$ , detected in SPACE  $200 \text{ deg}^2$  and the three JWST surveys over  $1 \text{ deg}^2$ ,  $0.1 \text{ deg}^2$  and  $0.01 \text{ deg}^2$  (as defined in Mason et al. 2015). The combined depth / area gives about the same number of objects for each of the JWST surveys. With the same assumptions, SPACE will provide about 240 objects while JWST surveys would have about 20 each. This is a huge gain to understand these galaxies in a statistical way.

Follow-up spectroscopy of very high redshift galaxies only discoverable by SPACE (including with the SPACE integral field spectrograph \_ or multi-object spectrograph\_ and from NIR spectrographs on ELTs) will enable us to determine the physical state of ionized gas (the gas-phase metallicity, electron density and ionizing flux). This is critical, since very little is known about the physical conditions of star formation in the early universe. While huge progress will be made in probing these conditions in lower luminosity galaxies with JWST, JWST will likely not look at many ultra-bright galaxies at  $z > 12$  with  $\text{SFR}_{\text{UV}} > \text{a few tens } \text{M}_\odot \text{ yr}^{-1}$  due to the challenge in finding them prior to SPACE.

### 1.2.2. The birth of metals

A key probe of galaxy evolution is the mass-metallicity relation (e.g. Tinsley 1980), which indicates how star formation and chemical enrichment proceed in galaxies as a function of mass. If the characteristic timescale (or efficiency) of chemical enrichment depends on the stellar mass, then one would expect the shape (slope and offset) of the mass-metallicity relation to change with redshift. Good determinations have been made at low redshift thanks to the SDSS (e.g. Tremonti et al. 2004) and more recent work at intermediate redshifts (e.g. Zahid et al. 2011 at  $z \sim 1$  from DEEP2 on Keck). A small number of galaxies at  $z \sim 3$  also have metallicity estimates (e.g. AMAZE on VLT, Maiolino et al. 2008). At the higher redshifts there appear to be significant differences between the current small number of observations and theoretical predictions from chemical evolution models (e.g. Taylor & Kobayashi 2016, Davé et al. 2011). It is important to improve the observations (in both number and the mass range covered) and to extend the samples to higher redshifts. SPACE will achieve these observations and be critical in constraining galaxy evolution models (in particular feedback).

The fine structure lines in the mid-IR and far-IR are very powerful to measure the metallicity of massive and metallic galaxies (e.g., Fernandez-Ontiveros et al. 2017). They do not suffer from the dust extinction and from the degeneracy observed for metallic galaxies when using rest-frame optical lines. However, galaxies are expected to have lower masses and low metallicities at  $z > 5$  (e.g. Torrey et al. 2018). If we want to focus on the rise of metals in the universe at  $z > 5$ , these IR fine structure lines are not good tracers for low-metallicity galaxies. This is a direct implication of the galaxy formation process in the  $\Lambda$ CDM cosmology:

- Galaxies build stellar mass with time
- Higher redshift galaxies have lower mass ( $M < 10^{10.5} M_{\odot}$ )
- We know there is a mass – metallicity relation
- Lower mass galaxies have lower metallicities ( $Z < 0.2 Z_{\odot}$  or  $12 + \log(O/H) < 8.4$ )
- Higher redshift galaxies have lower metallicities

If we cannot use the fine structure line, what are the options? We can utilize the strong power of the bright rest-frame optical lines and (tentatively) make use of the  $3.3\mu\text{m}$  PAH feature:

- a. Traditionally, emission line diagnostics have been used at intermediate redshifts to determine the metallicity of the star-forming gas. For many years a widely-used indicator for the O/H abundance has been “R23” (Pagel et al. 1979), which uses [OII], [OIII] and  $H\beta$  as lines which are reasonably close in wavelength (and hence minimizes the effect of differential dust extinction) and accounts to first order for ionization by using two species of oxygen (see also the updated O/H method of Curti et al. 2017). We can determine  $R_{23}$  out to  $z \sim 9$  with SPACE. However, there is a well-known “double fork” in the plot of  $R_{23}$  against metallicity, but the [NII]/ $H\alpha$  line ratio can be used to break this degeneracy and determine if a galaxy lies on the upper or lower branch (Fig. 4). With NIRSpec, we can track [NII]6583Å out to a redshift of  $z \sim 7$ , much further than the current  $z \sim 2.5$  limit. Our SPACE spectroscopy will access several emission lines, enabling us to use “BPT” diagrams (Baldwin, Phillips & Terlevich 1981) to address the nature of the photoionization in individual galaxies (i.e. star formation vs. AGN). The line ratios will also probe the ionization parameter, and recent work has suggested that this rises with redshift (Kewley et al. 2015 at  $z \sim 0.6$ ).
- b. We will also be sensitive to the poly-aromatic hydrocarbon (PAH) features in galaxies at high redshift. The PAH are destroyed in low-Z environment by the UV radiation field, which propagates more easily due to the lower dust content for galaxies with lower metallicities (Galliano et al. 2003; Boselli, Lequeux & Gavazzi, 2004; Engelbracht et al. 2005; Gordon et al. 2008). The PAH3.3 $\mu\text{m}$  could be an excellent vector to measure the metallicity over a very wide range of redshifts, using the same indicator. The low thermal background of SPACE permits much deeper observation in the MIR at  $\lambda > 13\mu\text{m}$  than JWST-MIRI. In this range, we observe a strong and linear decrease of  $q_{\text{PAH}}$ , the mass fraction of PAH (Fig. 5) that seems to be correlated to the metallicity of the observed galaxies. Several interpretations

for this effect exist. But the leading one is that PAH are destroyed in low-Z environment by the UV radiation field, which propagates more easily due to the lower dust content for galaxies with lower metallicities (Galliano et al. Boselli et al. 2004, Madden et al. 2006; Engelbracht et al. 2005; Gordon et al. 2008). The PAH3.3 $\mu$ m will be an excellent vector to measure the metallicity over a very wide range of redshifts, using the same metallicity indicator.

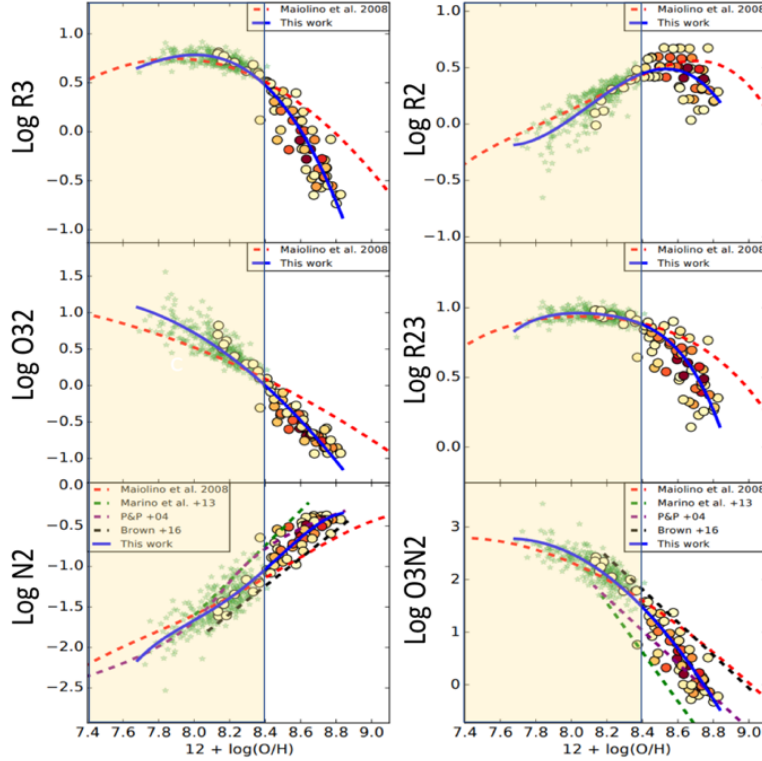


Figure 4: This plot extracted from Curti et al. (2017) shows the diagnosis using strong optical lines as a function of the oxygen abundance. Using these lines, a mid-IR spectrograph would be able to measure the metallicity of galaxies with the same tracer from  $z = 0$  to  $z = 10$ . Some of the tracers show degeneracies while other, involving  $H\alpha$  do not. The preferred tracers for the low-mass, low-metallicity galaxies (yellow region) would be O32 ( $[\text{OIII}] \lambda 5007/[\text{OII}] \lambda 3727$ ), N2 ( $[\text{NII}] \lambda 6584/H\alpha$ ) and O3N2 ( $([\text{OIII}] \lambda 5007/H\beta)/([\text{NII}] \lambda 6584/H\alpha)$ . This means that we need to be able to observe enough galaxies (mapping efficiency) in the good wavelength range, accounting for the redshift.

Of course, there is not just one “number” which describes the chemical enrichment of an individual galaxy. We can improve on R23 metallicity by measuring abundances of different elements. This would provide strong constraints on galaxy evolution, and in particular the relative contribution to chemical enrichment from core-collapse supernovae (SNaE) and asymptotic giant branch (AGB) stars (e.g. Nomoto et al. 2013), which have different timescales and hence the abundance patterns should evolve strongly with redshift. The N/O ratio can be determined using the  $[\text{SII}]$ ,  $[\text{NII}]$ ,  $H\beta$  and  $[\text{OIII}]$  lines to constrain the ionization parameter (Dopita et al. 2016), and similarly the  $\text{CIII}]1909$  and  $\text{CIV}$  lines provide the carbon abundance (Amorin et al. 2017). Among AGB stars, nitrogen is produced from relatively massive stars ( $\sim 5M_{\odot}$ ), while carbon is produced by the low-mass end ( $1 - 3 M_{\odot}$ ) with longer lifetimes. Oxygen is produced very promptly from core-collapse SNaE (with  $>8M_{\odot}$  progenitors). The relative abundances probed by JWST tell us about the galactic archeology, and potentially any evolution of the stellar initial mass function. For the brighter sources at the medium spectral resolution ( $R = 600\text{-}1000$ ) we may be able to detect absorption lines – this may constrain the stellar metallicity (rather than gas phase) and be less affected by the ionization parameter and dust. However, many of the absorption lines arise from the interstellar medium (ISM) rather than from stars. This gives information on the velocity of outflows (the feedback from star formation).



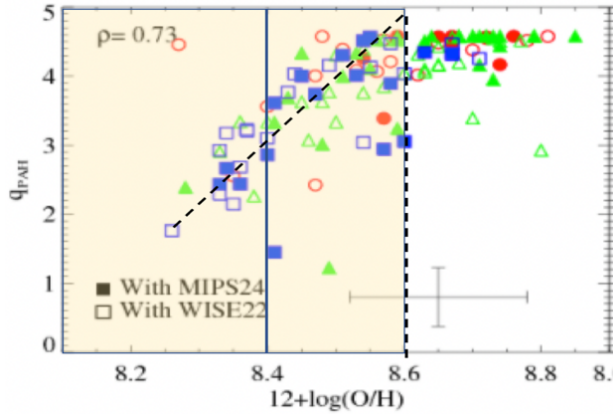


Figure 5: this plot, extracted from Ciesla et al. (2017) suggests that PAH are destroyed by the UV radiation field in the specific metallic environment of low-metallicity galaxies in the local universe and probably also in the early universe. Following up the PAH3.3  $\mu\text{m}$  feature with JWST will allow to better understand this behavior and to apply it to very high-redshift galaxies to measure the metallicity of these objects, with the goal of charting the rise of metals in the early times.

Metal enrichment and supernovae at high redshift: Probing the metallicity in ultra-bright galaxies will be key to understanding their evolution, as it could provide us with earliest high-quality information about the chemical enrichment occurring in the most massive reservoirs of star formation in the early universe. Such probes of the metallicity are likely to be very interesting as they could provide us with information on the nature of some of the first SNe that occur in the universe and enrich the interstellar medium. This subject has huge potential, as it is thought that many of the first stars, being metal free and massive (with masses of the order of  $>40M_{\odot}$ , given the limited cooling of molecular hydrogen e.g., Bromm & Larson 2004), undergo pair-instability supernovae (PISNe). In this regard, ultra-bright  $z \sim 14$ -15 galaxies - due to both their brightness and very young ages ( $< 100$  Myr) - arguably provide us some of the best targets to look for evidence of enrichment from such SNe in the early universe. By observing fields repeatedly, SPACE may also be able to directly detect these supernovae.

By observing fields repeatedly, SPACE may also be able to directly detect these SNe. PISNe produce huge amounts of iron (from Nickel-56) with a long plateau, and thus can be detectable even at high redshifts. The cosmic rate of PISNe depends on the formation rate of stars in the mass range  $40$ - $300M_{\odot}$ . In other words, the detection or non-detection of PISNe can constrain theoretical models. Whalen et al. (2013) estimate magnitudes for PISNe at  $z = 20$ -30, which can rise as bright as  $m_{AB} = 26$  mag at  $2\mu\text{m}$  and  $m_{AB} = 27$  mag at  $4.4\mu\text{m}$ . These are within reach of the proposed SPACE survey to  $m_{AB} = 28$ , and hence SPACE has both the sensitivity and the large area mapping capability to search for PISNe. JWST will lack the field of view to make detecting this population a realistic proposition, and LSST will be limited in sensitivity at  $2\mu\text{m}$  and beyond, limiting its redshift range. The light curve decline will be very long due to the time dilation at these redshifts, and we will adapt our survey strategy to re-visit target fields with the appropriate cadence (spanning months and years).

### 1.2.3. The Assembly of Stellar Mass in Galaxies

Parallel to the quest for the highest redshift star-forming galaxies, the SPACE imaging survey will also detect the redshifted starlight from the most massive galaxies over a wide range of redshifts ( $4 < z < 10$ ), where the wavelength coverage of SPACE extends to the rest-frame optical. The presence of massive galaxies, i.e.  $M_{\text{star}} > 5 \times 10^{10} M_{\odot}$ , at early cosmic times constitutes a fundamental constraint for galaxy formation models. According to these models, no such massive galaxies are expected to have formed at  $z > 6$ , when the Universe was less than a billion years old and should become common only much later in cosmic time. This is a consequence of our current understanding of how galaxy formation proceeds in the  $\Lambda\text{CDM}$  cosmological model. However, different observational results are starting to challenge these predictions with the discovery of a few rare massive galaxy candidates at high redshift (e.g. Duncan et al. 2014; Caputi et al. 2015; Grazian et al. 2015; Steinhardt et al. 2016). There is some evidence that the stellar mass – halo mass relation evolves, such that star formation is more efficient at high redshift (Behroozi 2013, Finkelstein et al. 2015, Harikane et al. 2016). But the samples are very small, and clearly much larger samples are required. This will provide crucial insights into the feedback mechanisms which regulate the assembly of stellar mass in dark matter haloes.

Given the rarity of these objects, deriving a useful constraint for galaxy formation models requires the analysis of deep galaxy surveys over wide areas of the sky covering at least several tens of square degrees. No current or forthcoming telescope can provide deep infrared images over such large areas. SPACE will be the only telescope able to quantify the presence of massive galaxies at early cosmic times, resulting in crucial constraints for galaxy formation theories. SPACE will provide excellent stellar masses for huge numbers of objects in the fields, over much larger areas than JWST will be able to address. Indeed, by extrapolating the results of current galaxy surveys over small areas, we expect SPACE to find around 30,000 massive galaxies ( $> 10^{10} M_{\odot}$ ) in a  $\sim 200 \text{ deg}^2$  survey at  $z = 6$  (in a redshift slice of  $\Delta z = 1$ ), and potentially 5,000 at  $z = 7$ , along with more than 100,000 massive galaxies at  $z \sim 4-5$ . These unprecedented statistics will allow us, for the first time, to study galaxy clustering in the early Universe with the same level of detail as we currently do at  $z < 1$  (Zehavi et al. 2011) and only start doing at  $z \sim 3$  (Durkalec et al. 2015a,b). SPACE will open up a new era of 'precision cosmology' at high  $z$ , only limited by the accuracy of photometric redshifts (which will be self-calibrated with the thousands of spectroscopic redshifts that SPACE itself will provide). There are no current constraints on the number of massive galaxies at higher redshift, but if we assume the same shape of the galaxy stellar mass function as at lower redshift, we would expect SPACE to find 1,500 massive galaxies at  $z \sim 8$  ( $> 10^{10} M_{\odot}$  and  $\Delta z = 1$ ) and 50-100 at  $z \sim 9-10$ . The distinctive wavelength coverage of SPACE ( $1 - 28 \mu\text{m}$ ), which goes beyond that of any other planned wide-field facility, is uniquely able to determine the stellar masses of all these high- $z$  galaxies. The information on  $M_{\text{star}}$  will provide a unique opportunity to study the growth of baryonic structures from the early Universe to the present day.

$z \backslash m_{\text{AB}}$	25	26	27	28	29
5	6741	257674	2.8E+06	20084	73187
6	54	12773	307300	3873	20241
7	0	325	23164	532	4282
8	0	4	1165	55	730
9	0	0	44	5	96
10	0	0	1	0	13

*Table 1: Number of galaxies will be detected by the two WFIRST Deep (yellow) and Wide (blue) surveys at  $5 < z < 10$ . SPACE will be able to estimate  $M_{\star}$  for a representative sample of at least 10 galaxies in the bins where WFIRST detected objects. WFIRST will provide the SFR from the rest-frame FUV.*

More specifically, SPACE will provide a unique way of following-up WFIRST-Deep and WFIRST-Wide surveys. After WFIRST, no projects will allow to measure the star formation rate (SFR), the stellar mass ( $M_{\star}$ ), and the dust attenuation using these two WFIRST surveys associated to SPACE photometric capabilities. The rest-frame UV spectrum will provide an access to young stars that are likely to be predominant at  $z > 5$  but, if we want to perform a complete census, including potential older stars, we need the rest-frame optical and near-IR. To follow up WFIRST's objects at  $z > 5$ , after JWST, we need a wide-field NIR+MIR instrument. From these unique data, we will be able to measure the evolution of the mass function (cosmic mass assembly), the SFR density, average dust attenuation for a representative sample of galaxies at  $5 < z < 10$ . In 2035+, a number of facilities will have surveyed the sky to identify galaxies before and slightly after the end of reionization. JWST will certainly open up this era but galaxies are expected to be very rare and faint at  $5 < z < 10$ . WFIRST's Deep and Wide surveys will provide a unique opportunity to build statistical samples (Tab. 1).

#### 1.2.4. Formation and Growth of the First Super-Massive Black Holes

All massive galaxies today appear to harbour a central super-massive black hole. A key question is how the first massive black holes form and grow? This can be addressed by searching for Active Galactic Nuclei (AGN) at high redshift. The most massive black holes at  $z \sim 6-7$  are  $\sim 10^9-10^{10} M_{\odot}$  which already places stringent constraints on their formation mechanism and their rate of growth from the first black-hole seeds to just  $z \sim 6-7$ . This will be achieved from SPACE by providing

measurements of the quasar luminosity function out to  $z \sim 10$ -12 for luminosities  $\sim 5$  magnitudes below  $M^*$  through photometric identification with broad-band colours.

Spectroscopic follow-up of these candidate quasars (potentially with the SPACE integral field or multi-object spectrograph) will measure the black-hole accretion properties, such as the Eddington ratio (using virial estimators from broad emission-line components), as well as the metal enrichment from the strength of metal lines. A puzzling fact about the highest-redshift quasars currently known is that they are all universally metal rich, suggesting that they have already undergone a substantial amount of evolution. Spectroscopy of quasars from SPACE at even higher redshifts and fainter magnitudes will address whether this persists out to yet higher redshifts and for lower-luminosity systems. A drop in metal richness will have implications for the fraction of obscured systems since the obscuration is dominated by metals and we may therefore expect an increasing population of dust-free and unobscured quasars at very high redshift. SPACE imaging of fields surveyed by Athena (for X-ray sources) and SKA (for radio sources) will determine fraction of obscured/unobscured systems. These properties may be connected to the host-galaxy environment and the large-scale environment within which quasars reside, and the large-scale quasar environment can be measured using the large SPACE IFU through the identification of associated galaxies and AGN with the quasar. The predictions shown in Fig. 6 suggest that SPACE will detect in a  $200 \text{ deg}^2$  survey a few hundred quasars at  $z > 6$ , up to  $\sim 100$  at  $z > 8$ , and  $\sim 5$  -  $10$  at  $z > 10$ . We note that currently there is only 1 single QSO known at  $z > 7$  (Mortlock et al. 2011).

We also note that in a  $200 \text{ deg}^2$  survey with Athena, the predicted numbers of  $z > 8$  and  $z > 10$  AGN with an X-ray luminosity  $L_X > 10^{43} \text{ erg/s}$  (broadly equivalent to the rest-frame  $1450\text{\AA}$  depth of SPACE) are similar to those of SPACE. Although we would expect significant overlap in the identified source populations between Athena and SPACE, they will also be very complementary, with Athena being more effective at detecting the obscured systems but unable to measure redshifts (and hence source properties) for the majority, which will require SPACE. The timescale of Athena is well suited to follow-up SPACE detections, and as well as AGN Athena could potentially target clusters of galaxies at  $z > 2$  discovered by SPACE to measure the temperature of the intra-cluster medium (and hence the total cluster mass). Note that SPACE will also feature a wide survey to study the Milky Way. Although this survey will suffer from high MW extinctions since it is, by design, close to the disk of our galaxy, it might provide an additional sample of bright quasars.

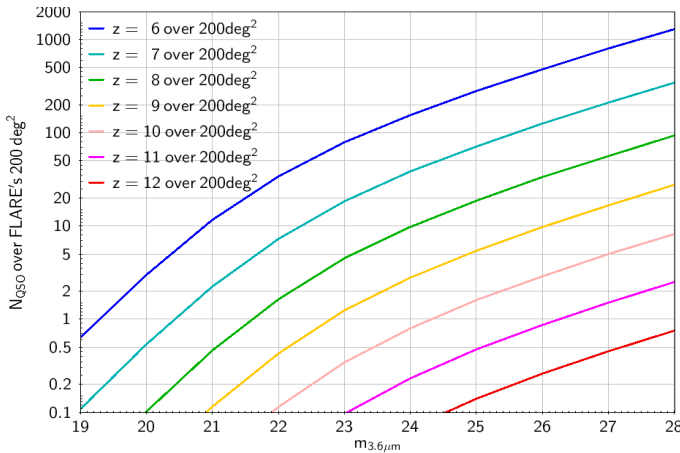


Figure 6: Basic predictions calculated by David Rosario using the Venemans et al. (2013) quasar luminosity function and the SDSS composite quasar spectrum for a  $200 \text{ deg}^2$  survey. SPACE limiting magnitude will be  $m_{AB} = 28$ .

Deep, broadband, wide-field photometric surveys such as the one presented in Section 2, provide a wealth of information on galaxies. However, this photometric information is not sufficient to gather a complete view of the formation and evolution of galaxies. Some physical information, such as the kinematic state of the galaxies and their chemical abundances, cannot readily be extracted from photometric data: spectroscopic observations are required. Furthermore, while continuum-bright galaxies can easily be detected using broad bands, part of the galaxies is missed by these surveys:

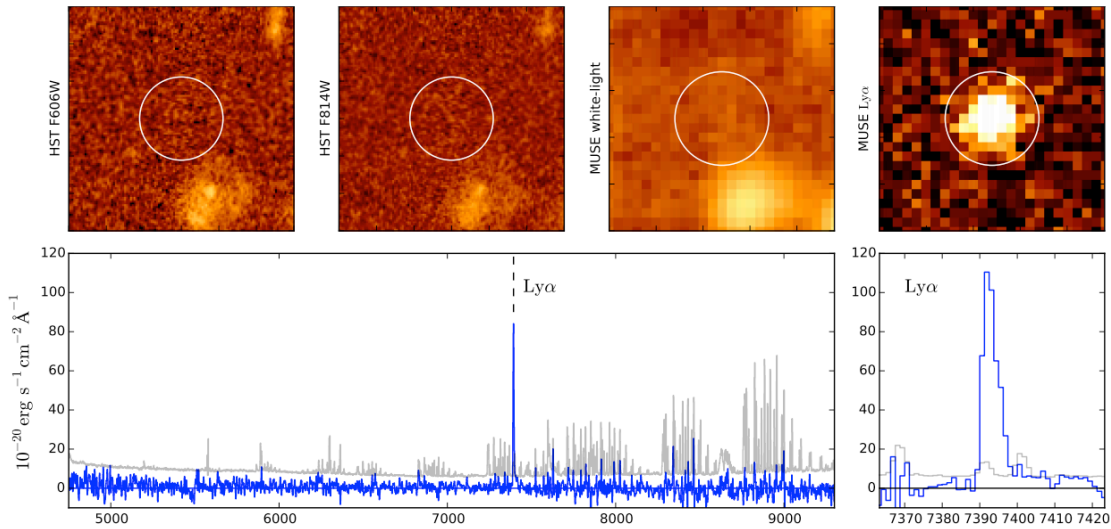
spectroscopic surveys without any prior selection do not demonstrate the same redshift distribution. Even spectroscopic follow-up of imaging surveys cannot provide a complete census of star-forming galaxies as some galaxies with extremely high equivalent width emission lines are undetected in broad-band imaging even with the deepest facilities (as shown by Bacon et al. 2015).

#### 1.2.5. A wide-area integral field spectroscopic survey with SPACE: emission lines from high redshift star forming galaxies (with integral-field spectroscopy)

The SPACE IFU will allow for the first time an unbiased deep survey for emission line sources over an area of  $\sim 1.5 \text{ deg}^2$  in total, many orders of magnitude larger than will be surveyed with the JWST NIRSpec IFU. Thanks to the NIR+MIR coverage,  $\text{H}\alpha$  can be traced out to  $z \sim 7$ ,  $[\text{OIII}]\lambda 5007$  up to  $z \sim 9$ , and  $[\text{OII}]\lambda 3727$  in principle to  $z \sim 12$ . The well-known rest-UV lines of  $\text{Ly}\alpha$ ,  $\text{HeII}\lambda 1640$ ,  $[\text{OIII}]\lambda 1663$ ,  $\text{CIII}\lambda 1909$  and  $\text{CIV}\lambda 1550$  can be observed for high-redshift sources ( $z > 5-7$ ) and up to the largest distances (e.g.  $z \sim 25$  for all the above lines), where no galaxies have yet been found.

#### 1.2.6. An Emission Line Survey at $z > 7$

Optical emission lines provide invaluable information on the chemical composition, ISM properties, nature/hardness of the ionizing source and on the instantaneous SFR, stellar age and related properties. For sources with magnitudes brighter than  $m_{\text{AB}}=28$  (detected in the continuum survey), the IFU survey will detect  $[\text{OIII}]\lambda 5007$  emitters with rest-frame Equivalent Widths (EW) $\sim 300\text{\AA}$  ( $360\text{\AA}$ ) and higher at  $z \sim 7$  (9). Since the typical strength of  $[\text{OIII}]$  in star-forming galaxies at high- $z$  is  $\sim 1000\text{\AA}$  according to current estimates (Smit et al. 2014, Khostovan et al. 2016), SPACE should detect this line for all sources to the depth of the continuum survey ( $m_{\text{AB}} = 28$  for the SPACE imaging), corresponding to several hundred sources with  $[\text{OIII}]$  at  $z \sim 7$  (Fig. 8). Furthermore, since fainter less massive galaxies generally have stronger emission lines, the IFU survey should discover pure emission lines sources undetected in the continuum surveys. This point is illustrated in Fig. 7 where a  $\text{Ly}\alpha$  galaxy at  $z = 5.08$  does not show any HST counterparts in the broad F606W and F814W bands. As many as 30% of entire  $\text{Ly}\alpha$  emitter sample have no HST counterparts with  $I_{814} > 29.5$ .



*Figure 7: Integral-field spectroscopic observations with MUSE on the VLT (Bacon et al. 2015) in the Hubble Deep Field South showed that as many as 30% of entire  $\text{Ly}\alpha$  emitter sample have no HST counterparts with  $I_{814} > 29.5$ . For instance, for this  $z = 5.08$  object, HST broad bands are shown (top left), the MUSE reconstructed white-light and  $\text{Ly}\alpha$  narrow band images (top right). The 1-arcsec radius circles show the emission line location. The full spectrum (in blue), smoothed with a  $4 \text{ \AA}$  boxcar, and its  $3\sigma$  error (in grey) are displayed below. A zoom of the unsmoothed spectrum, centred around the  $\text{Ly}\alpha$  emission line, is shown (bottom right).*



The rest-UV emission lines of star-forming galaxies have lower equivalent widths than the optical lines. The strongest ones are Ly $\alpha$  (up to  $\sim 200 - 300\text{\AA}$  for normal populations and higher for “exotic” cases, e.g. Pop III) and CIII]1909 with EW up to  $\sim 20\text{\AA}$  (e.g. Stark et al. 2016). If  $\text{EW}(\text{CIII}]1909) \sim 10\text{\AA}$  is typical, CIII] can be detected in fairly bright galaxies ( $m_{\text{AB}} \sim 26-26.5$  or brighter) at  $z \sim 7$  to 9 with SPACE, and all the sources whose UV lines will be detected in the spectroscopic IFU survey (down to fluxes  $\sim 10^{-18} \text{ erg/cm}^2/\text{s}$ ) will also be detected in the continuum survey. For these sources the line detection will provide spectroscopic redshifts and a key insight into the physical properties of galaxies including the hardness of the radiation field, chemical composition, ionizing photon production and others, as shown e.g. by recent pilot studies using ground-based near-IR spectroscopy (Stark et al. 2014, 2016) at  $z \sim 7$  and recent models (e.g. Feltre et al. 2016, Nakajima et al. 2016).

### 1.2.7. The Evolution of the Star Formation Rate Density at $z = 2-7$

Selecting on H $\alpha$  emission has been shown to be by far the most complete way to select star-forming galaxies. Due to its redder wavelength and typically high luminosity, selecting galaxies by their H $\alpha$  emission can efficiently recover a large range of star-forming galaxies, from very blue to the most dusty/Sub-mm galaxies (e.g. Oteo et al. 2015). Furthermore, the H $\alpha$  emission line may turn out to be even more important as a star formation indicator at higher redshift if star formation histories are significantly bursty.

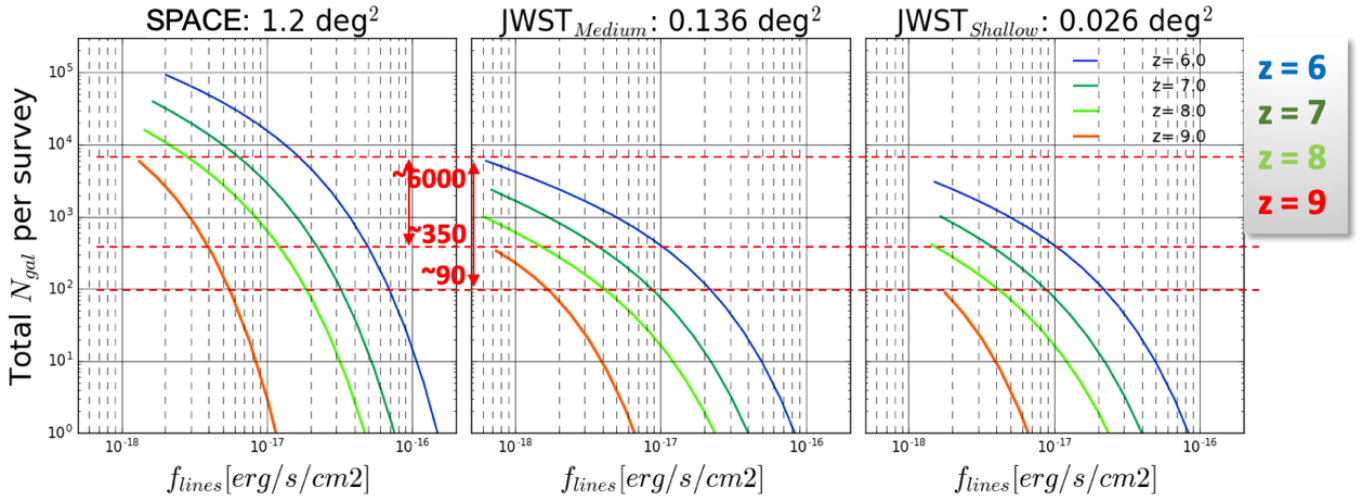


Figure 8: Using UV luminosity functions, we can estimate the number of galaxies that could be detectable by SPACE and by the JWST surveys ( $R > 1000$ ). JWST Deep Spectroscopic survey is not plotted here because not in the same range. For the medium and deep spectroscopic surveys, we present the ratio of galaxies detected via their [OIII]5007 line (the same ratio is found for H-alpha and [OII]3727 but the redshift range is linked to the rest-frame wavelength of the line). At any redshift, SPACE will detect more than 10 times the number of objects that JWST could collect.

SPACE will be the first mission to measure the H $\alpha$  luminosity function, and its evolution beyond  $z \sim 2$  and out to  $z \sim 6-7$ . Most importantly, the spectroscopic/IFU capabilities mean that SPACE will be able to do this on a large volume ( $\sim 1.5 \text{ deg}^2$ ), but also in a completely unbiased way without any pre-selection on galaxies being Lyman-break galaxies. SPACE will provide a unique insight into the overlap of purely H $\alpha$  selected galaxies up to  $z \sim 7$  and those selected based on imaging and with the Lyman-break technique. How much star-formation are we missing? Are H $\alpha$  and UV star formation rates consistent? Furthermore, H $\alpha$  selected galaxies will also be covered on other emission lines, key to understanding the properties of high redshift galaxies. For these unique samples, the IFU will allow SPACE to also obtain spatially resolved information, including line ratios (for metallicity and dust extinction measures) and to obtain the dynamics (and hence masses) of high redshift galaxies.



### 1.2.8. Searching for the First Generation of Stars with SPACE Spectroscopy

While several simulations and predictions have been made regarding the first generation of stars and black holes, including their hosts and redshift distribution (e.g. Schaerer 2003; Tornatore et al. 2007; Raiter et al. 2010; Stacy et al. 2016), observational evidence has been lagging and failing to provide direct tests. This is essentially due to the lack of current instrumentation and telescopes to truly test them at high enough redshift. One needs to simultaneously probe high enough redshift, probe large enough volumes, have the sensitivity in the NIR, but also conduct surveys with an inclusive enough selection function such that extreme/interesting objects are not wrongly classed as stars or low redshift interlopers.

In practice, in order to truly make progress on what are expected to be rare, short-lived sources residing at very high redshifts, one clearly needs: i) near- to mid-infrared coverage, ii) imaging and spectroscopic coverage and iii) capacity to cover the large enough volumes/areas that go significantly beyond the current state-of-the-art in an unbiased way. SPACE is the only mission that can combine all the necessary capabilities to make revolutionary discoveries on this end.

Prior to SPACE, JWST will have a very important role in further pushing this and providing the need to further develop tools to understand likely extreme stellar populations. However, during its limited, highly subscribed life-time, it will mostly conduct spectroscopic follow-up of Lyman break selected galaxies. While some of those may turn out to be exciting sources, it is unlikely to be able to find the rare sources which only SPACE has the large enough volume to find. Furthermore, it is already clear that the search for pristine and/or extreme sources is not going to be simple at all. For example, one cannot simply detect high enough H $\alpha$  equivalent width and be sure of the pristine nature of the source (e.g. Sobral et al. 2015; Hartwig et al. 2016). Regardless, it is also clear that the selection function may be key, and having an unbiased, blind IFU selection function in the key observable window (NIR and MIR) will provide the unprecedented survey capability to uncover tens to hundreds of sources similar to, e.g., CR7.

On the other hand, several studies (e.g. Tornatore et al. 2007; Visbal et al. 2016) show that Pop III star formation, and direct collapse black holes (DCBH) form and remain well within the observable capabilities of SPACE. However, since we do not know their intrinsic spectra, nor their diversity (and that of their hosts), only a blind spectroscopic survey can truly find and study them (since some may have strange colours which may not fit into the standard broad-band Lyman break selection). SPACE will probe other lines apart from H $\alpha$ , which is key to unveil the metallicity and physical conditions.

Within SPACE's IFU survey, and with the current estimates for number densities of extreme sources based on either CR7 or e.g. Visbal et al. 2016 one would expect number densities around  $10^{-5}$  to  $10^{-6}$  Mpc $^{-3}$  around  $z \sim 7$  but rising at higher redshift. This means SPACE should be able to detect a truly statistical sample of  $\sim 100$  of such sources without any pre-selection and to provide unique constraints on their nature directly by means of all the rest-frame UV lines detected.

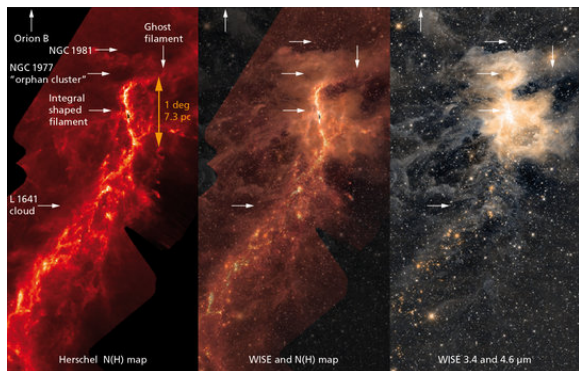
## 2. ***Milky Way Studies: from the Global Structure to Dust Characterization*** (writers: Laurent Pagani, Julien Montillaud, Céline Reylé, Nadège Lagarde, Sylvie Cabrit, Isabelle Ristorcelli)

Stars form from interstellar cloud material. Massive stars form only in giant molecular clouds while solar-mass stars form in both small clouds and giant ones. Herschel has confirmed the prevalence of filaments inside interstellar clouds, along which prestellar cores and protostars could be preferentially seen (Fig. 9 and André 2017; Stutz & Gould 2016). However, in detail we still do not understand a number of facts such as the role of the galactic environment on the formation and dynamics of molecular clouds ; the interplay between gravity, turbulence, and magnetic field in shaping the prestellar cores from cloud fragmentation ; the net effect of star-formation feedback due to supernovae, far-UV fluxes, and stellar winds from young (proto-)stars ; the relation between the

masses of the clouds, of the cores and of the newborn stars, the formation of planets, the role of dust and ices in the clouds and in the water enrichment of the Earth, etc. Progressing on those open questions requires a multi-scale observational approach to characterize the interactions from the Galactic-structure down to the protostellar-disk scales, revealing dust properties and ice coverage in all clouds. With a large field of view, a reasonably high angular resolution, a near-to-far IR SPACE (Space Project for Astrophysical and Cosmological Exploration) will provide an opportunity for such multi-scale analysis on statistical grounds.

At large scales, the 3D distribution of the molecular clouds in the Galactic plane is challenging to infer. It is critical to understand the environmental effect on star formation, such as the impact of spiral arms or of the galactic bar on the molecular cloud formation and evolution. Moreover, distance estimates are essential to derive the cloud masses and identify the associated populations of young stellar objects (YSOs). Although the 3D structure of the ISM has already been explored, most methods rely on too simple assumptions and loosely-constrained ancillary data that limit the distance estimate, like the Galaxy rotation curve for kinematic distances or the dust temperature for inversion of dust emission maps.

The 3D structure of the ISM in the Galaxy will benefit from SPACE using a method free of the gas and dust biases mentioned above. The comparison of the star photometry with a model of stellar population synthesis (such as the so-called Besançon Galaxy model) permits to assign a distance and a reddening value to each star. The reddening is directly related to the column density which eventually yields a large-scale 3D map of the ISM. Previous attempts to build 3D extinction maps of the Galactic plane using 2MASS led to 10 arcmin resolution maps for the inner plane. Higher resolution can be achieved by including Gaia data, but only for the first few kiloparsecs, due to the strong extinction in the Galactic disk in the visible domain. Attempts to reach a higher spatial resolution with the deeper IR survey UKIDSS failed because in the near-infrared spectral range the giant and dwarf stars cannot be separated upon their colour if they are too faint. SPACE with its 3 to 28  $\mu\text{m}$  range and exquisite sensitivity will allow us to separate the two stellar populations and therefore build much better extinction maps. Deep infrared data from 3 to 28  $\mu\text{m}$  will allow to improve the spatial resolution to the sub-arcmin scale, reaching the arcsecond scale for clouds seen in absorption against the bright background in the mid infrared range, and probe lines of sight longer than 15 kpc. Such a map will be a goldmine for star formation investigations and more generally for Galactic structure studies.



*Figure 9: Images of the Orion A star-forming cloud, showing the integral-shaped filament, the two star clusters outside the filament, and the cloud L1641 to the South. Left: (column) density map reconstructed from Herschel data, right: infrared image taken with the WISE space telescope (Lang 2014), center: combination of the two. Credit: A. M. Stutz / MPIA*

The study of star-forming regions (SFReg) spans many scales, from the molecular complex scale (a few tens of pc for the most massive ones), to the filament (a few pc), core ( $\sim 0.1$  pc) and disk ( $\sim 0.001$  pc) scales. It is a key to the understanding of star formation. Indeed, massive SFRs play a pivotal role in the evolution of the Milky Way, and more generally of disk galaxies, since they are responsible for the formation of most stars in the Galaxy and are the only places where massive stars form. It has been understood early that the evolution of massive SFRegs is driven by the complex interplay between gravity, turbulence and magnetic fields, under the ambiguous influence of massive stars. The discovery of the ubiquity of filaments in the interstellar medium (ISM) has made it clear that these

structures play a central role in how the gas flows from the diffuse large scales to the dense, star-forming cores. However, a major bottleneck is to disentangle the contributions of each physical process, because gravity and turbulence are multi-scale processes, and because they feed each other. Great progress was permitted in the last decade by numerical simulations, but a real breakthrough can only arise from a statistical comparison with observed SFRs. However, observations remain hampered by the limited resolution and sensitivity of past and current facilities, which only enable one to fully characterize a handful of massive SFRs. At  $8\mu\text{m}$ , the 4m mirror of SPACE and its wide-field capabilities will enable us to map all the local MSFR (Ménard, Scranton, Fukugita, & Richards 2010 @  $<2$  kpc) down to disk scales, and any SFR<sub>reg</sub> of the Galaxy at resolutions  $< 0.1$  pc.

In the mid-IR domain, surface brightness observations of massive SFRs will reveal the surface of clouds that are irradiated by local stars and by the interstellar radiation field (ISRF). Low-resolution ( $R\sim 100\text{--}300$ ) spectroscopic data in the  $3\text{--}28\ \mu\text{m}$  range will cover the emission of polycyclic aromatic hydrocarbons (PAHs) and very small grain (VSGs) which are mostly excited by far-UV photons. Past IR observatories have enabled us to show, on bright and nearby star-forming clouds, that the ratios of the aromatic spectral bands reflect the properties of the impinging radiation field. Along with the detection of the mid-IR  $\text{H}_2$  excitation lines, stringent constraints can be put on the impact of nearby young and massive stars on their parent molecular cloud. SPACE's wide-field and high-sensitivity performances will be crucial to generalize such analysis for many SFRs, since only the statistical comparison with star-formation indicators (e.g., the number and distribution of YSOs) will reveal the circumstances where SF feedback is positive or negative. Mid-IR  $\text{H}_2$  excitation lines will also allow to study shocked regions and outflows that extend on scales beyond JWST mapping capabilities. These are important retroaction activities of star formation which are crucial to understand since they regulate the star formation efficiency of the galaxies.

Mid-IR point sources are goldmine for massive SFR<sub>reg</sub> studies. Colour-magnitude diagnostic tools, coupled with total extinction determined from Herschel far-infrared imaging from the Hi-GAL survey, can pinpoint age distributions in clumps via detailed comparison with model isochrones. Even simple colour-colour diagrams can be used for broad evolutionary classification of YSOs, determining the SF history in each stellar cluster. The power of SPACE in this respect is its ability to do this for tens and tens of degrees of the Galactic Plane, enabling critical comparative studies of young cluster formation as a function of location in spiral arms, inter-arm regions, proximity to star-formation triggering agents like HII bubbles and relatively evolved OB associations.

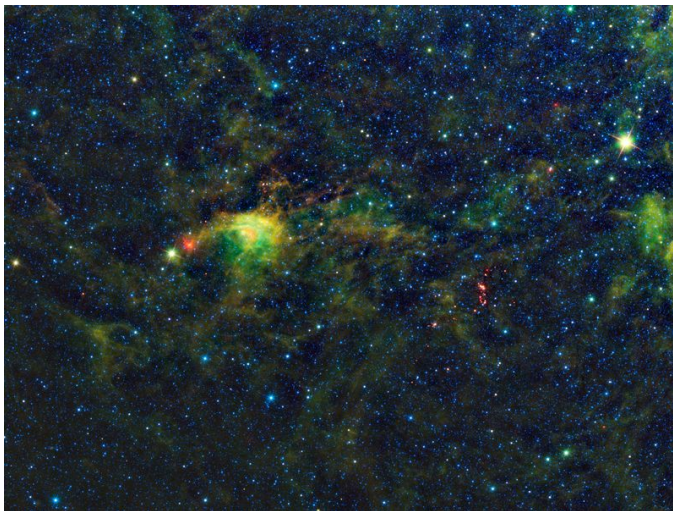
Analysing the number and colour distributions of point sources will also enable us to compute high-resolution extinction maps of massive SFRs. Used in combination with current (UKIDSS, VVV) and coming (WFIRST) near-IR deep surveys, the longer wavelengths of SPACE will make it possible to detect background stars through the high-column density parts of the molecular clouds. Absorption of the background light in the  $8$  to  $20\ \mu\text{m}$  range also allows to study the deeply extinct parts with a resolution only limited by SPACE capabilities.

Finally, point-sources will enable us to characterize the dense and populous stellar clusters that form in massive SFRs. The study of their mass spectrum as a function of the environmental conditions is key to understand which processes determine the mass and multiplicity of stellar systems, and the Galactic star-formation history. However, such studies are usually hampered by the incompleteness of the stellar census. The wide-field and high-sensitivity performances of SPACE in the mid-IR domain will guarantee the completeness of the stellar census and of the mass distribution while including the youngest and most deeply embedded YSOs that remain invisible at near-IR wavelengths (Fig. 10).

This will be possible down to the low-mass end. Low mass stars are the faintest but also most numerous objects in the Galaxy. Their large number makes them the most profuse planetary systems, and recent observational evidence tend to show that they preferentially harbour low-mass, Earth-like planets, rather than giant planets, including in their habitable zone. SPACE will be perfectly suited to detect these stars to complete their census down to the substellar regime and to the planetary mass

objects as well. The initial mass function is an important constrain of theoretical and simulation works, so that a fine characterization of the IMF is one way to address the long-standing debate on whether the fragmentation of interstellar clouds into prestellar cores is dominated by gravitational fragmentation or by turbulent fragmentation. Recent studies demonstrated that, due to the core external pressure resulting from turbulence, low-mass stars and brown dwarfs can be formed more efficiently in the case of turbulent fragmentation than with gravitational fragmentation. The high sensitivity combined with the mid-IR range is well adapted to construct a sample with good statistics of these faint and red objects. The large field of view will also allow to probe a whole range of environments for many clouds. It will enable us to see statistically if clouds with an active dynamic, (e.g. due to shock compression, or a high level of turbulence) is more prone to host low-mass object formation. Furthermore, the detection of the faint infrared excesses around cool stars and brown dwarfs is a great challenge for upcoming instrumentation. Debris discs orders of magnitude fainter should be detectable around low-mass stars and brown dwarfs, up to a few hundred parsecs away. These observations will open new frontiers and will drastically increase our knowledge of how these enigmatic objects are formed and what kind of planets they can harbour.

Grains have been shown to grow. Far infrared emission, compared to extinction, can better be explained if bigger grains are considered and the  $9.8\mu\text{m}$  silicate or the  $3\mu\text{m}$  water ice line widths seem to widen on some lines of sight, which is again attributable to grain growth. The most direct proof of this growth has been the discovery of *coreshine*, the scattering of MIR light in the  $3\text{--}5\mu\text{m}$  range from cloud cores, and beyond that, the scattering up to  $8\mu\text{m}$  that partly compensates the diffuse light extinction seen in that wavelength range towards cloud cores. Scattering is thought to be a strong tool to constrain grain properties, and it has become crucial to understand the optical behavior of dust from  $1\mu\text{m}$  to  $2\text{mm}$ . However, such models are still in their infancy and require deep and extensive data to be tested. Scattering is also seen as a tool to constrain the 3-dimensional (3D) structure of individual clouds when modelled from  $1$  to  $10\mu\text{m}$ , each wavelength revealing a different layer of the clouds.



*Figure 10: The Wide-Field Infrared Survey Explorer, or WISE, has uncovered a striking population of young stellar objects in a complex of dense, dark clouds in the southern constellation of Circinus. This mosaic from WISE covers an area on the sky so large that it could contain a grid of 11 by 7 full moons. The cloud itself is some 2,280 light-years away and spans more than 180 light-years across. SPACE will observe such fields at sub-arcsec resolutions. Image credit: NASA/JPL-Caltech/UCLA*

Grains get covered with ices as soon as the clouds start to be shielded from UV ( $A_V \geq 1\text{--}3$  mag). Water ice is the first to appear but among the abundant ices, CO and CO<sub>2</sub> are two important ones (Fig. 11). Today, we do not know how they evolve inside the clouds: whether they totally evaporate around the newborn star and if they do, up to which distance. They modify the grain properties and therefore have an impact on the measurement of the cloud mass in parallel with the grain growth, which they also facilitate by increasing the sticking efficiency and resistance to shocks in turbulent collisions.

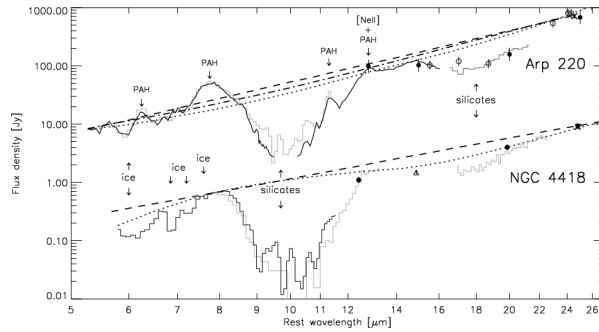


Figure 11: From Spoon et al. (2004). Comparison of the mid-IR spectra of Arp 220 (multiplied by 90) and NGC 4418. The positions of absorption and emission bands are indicated, as are several choices for the local continuum for each object.

It is now clear that progress in the cloud studies today need to constrain the grain properties in parallel with the cloud structure and mass. To achieve such progress both absorption and scattering in the near and mid infrared need to be measured with the highest possible sensitivity on large surfaces. Combining such observations with far infrared emission from Herschel observations, we will assess both the structure of the clouds and the grain composition and size distribution in 3D. This will open up 3D radiative transfer studies of molecular emission, 3D chemistry and dynamical modelling. Like the Gaia project which, by measuring the stellar astrometry feeds many branches of astrophysics in dire need of stellar distances, SPACE mapping of the interstellar clouds will provide the basic data we strongly need to get the basic knowledge on the clouds from which all the physics of interstellar clouds, including their chemistry, will be completely revisited.

In the coming years, before the launch of SPACE, such studies will be carried on with the material we can collect presently but neither near infrared data from ground-based telescopes nor Spitzer archive data are sensitive enough to allow for the kind of studies we want to carry in the end. We will certainly make strong progress on the grain properties and start to produce 3D cloud models but with a limited spatial resolution by lack of sensitivity. Gaia observations will also allow to trace the 3D structure of the outer parts of the clouds but since it is working in the visible, it will not go beyond a few magnitudes of extinction. Ground-based telescopes can hardly observe the brightest objects in the mid-infrared wavelengths and only in a few narrow windows. Spitzer is close to retirement and ground-based telescopes will always be limited by the sky glow. No improvement is expected before the launch of SPACE except WFIRST which will provide the NIR coverage and the latter is therefore not included in this project. The JWST is of course very sensitive but its field of view is far too small to allow for mapping thousands of square degrees.

### 3. Which Science Mission to Address the Science Questions?

#### 3.1. Summary of the Requirements for the NIR+MIR main instrument (Tab. 2).

Size of the primary mirror	4 – 6m
Wavelength range	3 – 28 $\mu\text{m}$
Pixel scale imaging	0.2 arcsec
Spectral resolution imaging	3 - 5
Instantaneous imaging field of view	0.5 – 1 sq. deg.
Limiting flux mAB (1h, @ 5 $\mu\text{m}$ , SNR = 5)	28 - 29
Pixel scale spectroscopy	0.4 arcsec

Instantaneous Spectroscopic field of view (MOS)	0.5 – 1 sq. deg.
Instantaneous Spectroscopic field of view (IFS)	1 sq. arcmin.
Spectral resolution (Low resolution)	20 – 50
Spectral resolution (Medium resolution)	500 – 1000
Limiting line flux (1h, @ 5 $\mu\text{m}$ SNR = 5)	$10^{-18}$ – $10^{-19}$ erg/cm <sup>2</sup> /s



### 3.2. Summary of the Requirements for the (sub-)mm optional spectroscopic instrument (Tab. 3).

The science described in Sects. 1 and 2 would greatly benefit from an optional sub-mm instrument. This range allows to see inside the dustier regions and therefore contains a wealth of information on star formation. An all-sky survey to  $3\text{--}5 \cdot 10^{-20} \text{ W/m}^2$  at  $1\sigma$  in the range  $300\text{--}1000\mu\text{m}$  would open a unique discovery space for a statistical study and to discover exotic objects. A similar instrument could perform a deep survey of about 1000 hours over our 200 sq. deg. with a sensitivity of about  $1.3 \cdot 10^{-20} \text{ W/m}^2$  at  $800\mu\text{m}$ .

Size of the primary mirror	4 – 6m
Wavelength range	500 – 1000 $\mu\text{m}$
Pixel scale spectroscopy	Diff. limited
Spectral resolution (Medium resolution)	300
Limiting line flux (1h, @ 800 $\mu\text{m}$ , SNR = 5)	A few $10^{-20} \text{ W/m}^2$

### 3.3. What can we do with an L Science Mission?

SPACE's scientific objectives would be better addressed if we assume an L mission with one imaging+spectroscopy instrument described in Sect. 3.1. However, given that we want to understand the formation and early evolution of galaxies, it would also be extremely useful to cover the sky in the sub-mm and mm range as described in Sect. 3.2. Such an instrument would allow to identify candidate very high redshift galaxies over the entire sky that we could follow-up with the NIR-MIR instrument or to observe in parallel in NIR+MIR while the telescope scans the sky.

Another option would be to build the mission in two phases. This would probably be more adapted to our science objectives. In the option described in Sect. 3.2, where we would share an L-mission with a project observing the sky in the (sub-)mm like, e.g., PRISM (Primordial Radiation Imaging and Spectroscopy Mission), an all-sky survey will be carried out first until  $T = T_0$ . Then at  $T = T_1$ , the mission will focus on the ecliptic poles to perform a deeper survey. The galactic disk might also be covered to shallower magnitudes. The alternative would be to observe everything in a parallel mode. SPACE would be one of the instruments.

### 3.4. What if we assume an M Mission?

*SPACE could also be a standalone M-mission. In this case, the requirements might need to be reviewed to stay within the M-sized mission cost cap. In this case, the NIR+MIR instrumental design would still be constrained by the wide-field requirement which is mandatory for our science case. We could also consider that SPACE could be co-funded by another space agency to reduce the total cost and finally meet the cost cap requirements.*

*One very important option that is also mentioned in another WP is that an instrument similar to SPACE (specifically MISC) could be an ESA contribution to a NASA project like the Origins Space Telescope (OST). Even though OST/MISC in the baseline design does not fully match our requirements, one of the OST up-scopes include most of what we need to carry out the science described in this WP.*

## 4. International Space Context

To our knowledge, no single planned project can directly address the scientific objectives detailed in this paper for several reasons:

- To carry out the rise of metals science case, we must observe in the range  $3 - 28\mu\text{m}$  to follow the bright rest-frame optical lines and/or the PAH $3.3\mu\text{m}$  feature. No other tracers are efficient to measure the metallicity of galaxies at  $z \gtrsim 5$  efficiently and reliably.
- For all the science case described in this WP, no planned instrumental project is optimal. We need a field of view much wider than the ones provided by e.g., JWST, SPICA/SMI and even the present

OST/MISC. On the other hand, AKARI and WISE all-sky surveys are not deep enough, and their angular resolutions are too low.

- Ground-based telescopes in the optical+NIR like the ELT and in the (sub-)mm like ALMA, NOEMA, LMT are not competitive because of the wavelength range (ELT), the field of view (ALMA, NOEMA) and because spectroscopy is not performant/developed (e.g. NIKA2, LMT).

## 5. Technology Challenges

Several technological challenges are identified to build a facility for SPACE's science. We provide details in the following of the section.

### 5.1. Wide-field NIR+MIR detectors

In the NIR, up to about  $5.3\mu\text{m}$ , we have now detectors that are quite efficient and space-qualified. For instance, JWST uses two different types of detectors: 4Mpixels H2RG detectors for the  $0.6\text{--}5\mu\text{m}$  NIR (Teledyne Imaging Sensors) and 1Mpixel Si:As detectors for the  $5\text{--}28\mu\text{m}$  MIR (Raytheon Vision Systems). Both are built in California. So, we need to explore potential providers in Europe.

### 5.2. *Lightweight Large Mirror (Marc Ferrari)*

For years the limit size for a lightweight space mirror, in the optical/infrared range, was considered to be around 1.5m - 2.0m in diameter, mainly due to the difficulty to maintain the right shape of the mirror with the required precision at these wavelengths. A  $2.0\text{m}+$  Ø rigid enough mirror has an important mass that is a real drawback for a space mission while an ultra-lightweight mirror is then too floppy to maintain the optical quality of the telescope after launch and during operations. During the last years, developments have been pursued world-wide to break this limit, mainly in two directions:

- Large SiC mirror with excellent optical quality that allow large lightweight rigid mirrors,
- In-flight correction of thermo-elastic and gravity varying induced deformations of large lightweight mirrors using active loop with wavefront sensors and deformable mirrors.

European industry as ADS/Mersen-Boostec has a real expertise with the manufacturing of the 3.5m mirror of the Herschel telescope (Sein et al. 2003), but excellent results have been published by Zhang et al. (2019) demonstrating the manufacturing of a 4m SiC lightweight mirror with a surface shape better than  $\lambda/30$  in optical wavelength ( $\lambda=632.8\text{nm}$ ). For future large missions, the technology for manufacturing large primary mirrors is ready and will be soon mature.

### 5.3. *Deformable Mirror for Active Optics (Writer: Marc Ferrari)*

Only in Europe, many developments on deformable mirrors for space active optics have been pursued during the past 10 years (Fig. 14). In Europe, ESA has recently funded a number of programmes to further develop DM technologies specifically for space applications. Münster University developed a small-format Unimorph post-focal DM. As part of two independent active correction chain projects (i.e. WFSensing, DMs, Algorithms), two large monolithic deformable mirrors were also developed. The first, AOCC, was developed by TNO and the second, STOIC, by Fraunhofer IOF in collaboration with NUI Galway. Other European and national agencies have funded DM developments over the years; either to push forward new concepts or increase the TRL of already developed and used technologies. In France, Thales-Alenia-Space space validated a new active DM, based on a concept defined with LAM. This MADRAS DM, developed at TAS under CNES contract, sustains launch environment without locking device. The device has been fully integrated, and performance successfully verified. It is space qualified before assembly on the full-scale active optic instrument demonstrator (Fig. 12).

Finally, a large number of traditional DM manufacturers are also present in Europe (e.g. Cilas, ADS-Microgate, Alpao, Imagine Optic). In the USA, NASA funded a number of DM technology developments for space applications, mainly through the Technology Development for Exoplanet Missions (TDEM, in particular companies such as, Boston Micromachines Inc (BMM) and Xinetic).

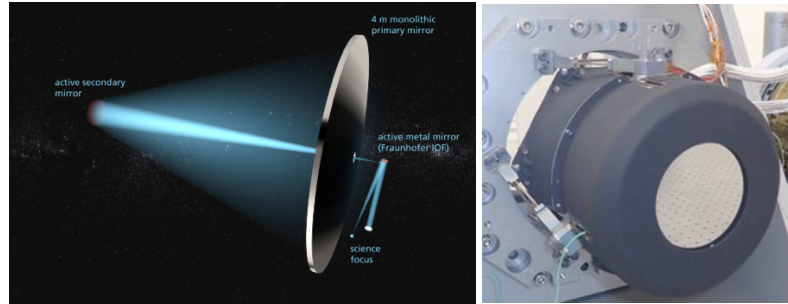


Figure 12: Left: STOIC concept with 4m monolithic mirror and active deformable mirrors © Fraunhofer IOF. See: <https://exoplanets.nasa.gov/exep/technology/TDEM-awards/>. Right: MADRAS DM © Thales-Alenia-Space & LAM

### 5.3. Wide-field Integral-Field Spectrograph (Writer: Ray Sharples)

Integral field (IF) spectroscopy has proved to be a very powerful tool in recent years for studies of the distant universe using ground-based facilities. The combination of spatial and spectral information allows key diagnostics of galaxy formation and assembly to be probed, such as the kinematics of disk formation and the growth of galaxy bulges and black holes. Narrow-field IF capabilities are planned for JWST, but the wide-field infrared IF capability proposed for SPACE is unique. It will open up a completely new discovery space which is inaccessible from the ground. In addition to the targeted spectroscopic surveys outlined in previous chapters, IF spectroscopy has a unique potential for serendipitous discoveries by data-mining the resultant 3D data cubes for new emission-line sources invisible at other wavelengths. The technology for producing image slicers for integral field spectroscopy using diamond-machining techniques is now mature, and scalable from smaller field prototypes developed for NIRSpec and MIRI on JWST (Laurent et al., 2004). For instance, a method for cost efficient and highly performant manufacturing of spherical image slicers was developed and patented in collaboration with the Winlight company. The technology was applied to VLT-MUSE instrument and is proposed for E-ELT and space instruments.

### 5.4. Micro-Mirror Arrays (Writer: Frédéric Zamkotsian)

The scientific return from future space missions could be optimized using MOEMS (Micro-Opto-Electro-Mechanical systems) devices like large micromirror arrays (MMA). Multi-object spectrographs (MOS) are powerful tools for space and ground-based telescopes for the study of the formation and evolution of galaxies. This technique requires a programmable slit mask for astronomical object selection; 2D MMAs are suited for this task. MOEMS has been used to build JWST NIRSpec. In Europe, several options exist, e.g., from Laboratoire d'Astrophysique de Marseille (LAM, France) and the Centre Suisse d'Electronique et de Microtechnologies (CSEM, Switzerland) engaged in a European development of MMAs, called MIRA, exhibiting remarkable performances in terms of surface quality as well as ability to work at cryogenic temperatures. MMA with  $100 \times 200 \mu\text{m}^2$  single-crystal silicon micromirrors were successfully designed, fabricated and tested down to 162 K. They are designed to work at 30K and there are no blocking points that would prevent them to work at 5K. In order to fill large focal planes (mosaicking of several chips), we are currently developing large micromirror arrays to be integrated with their electronics.

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