

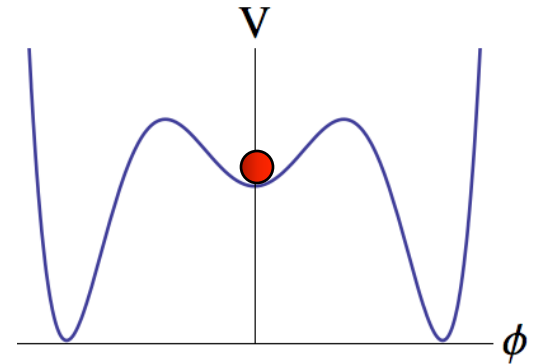
# **Fundamental Physics and Formation of the Universe**

**Andrei Linde**

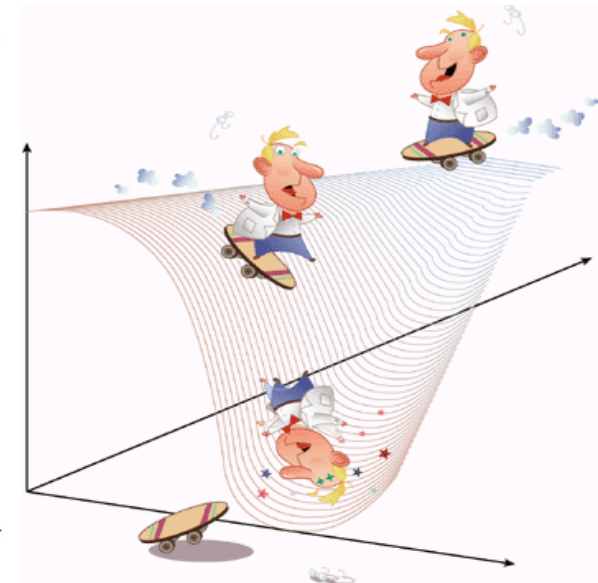
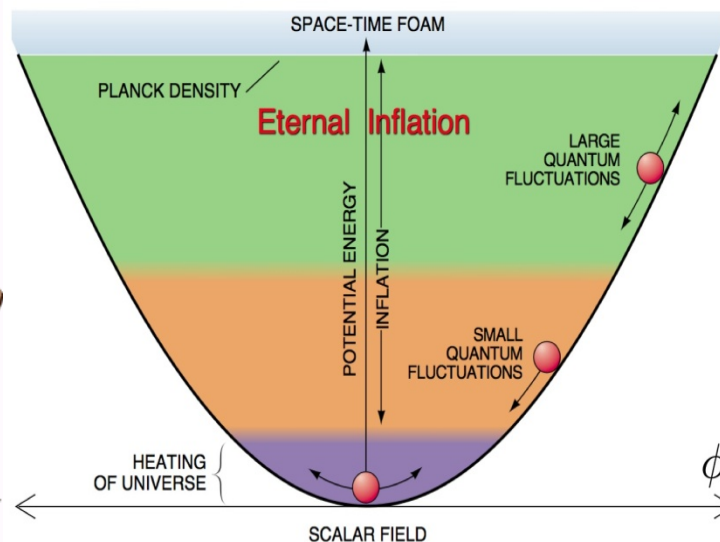
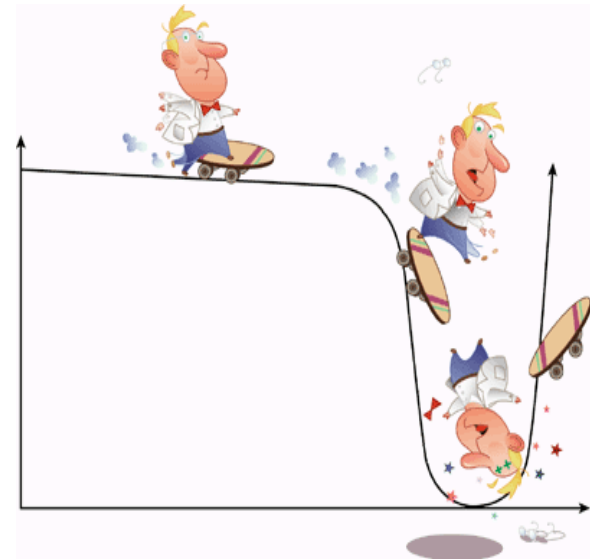
# Inflation

Starobinsky, 1980 – modified gravity,  $R + R^2$  a complicated but almost working model

Guth, 1981 - old inflation. Great idea, first outline of the new paradigm, but did not quite work, and did not predict inflationary perturbations



$$V(\phi) = \frac{m^2}{2}\phi^2$$



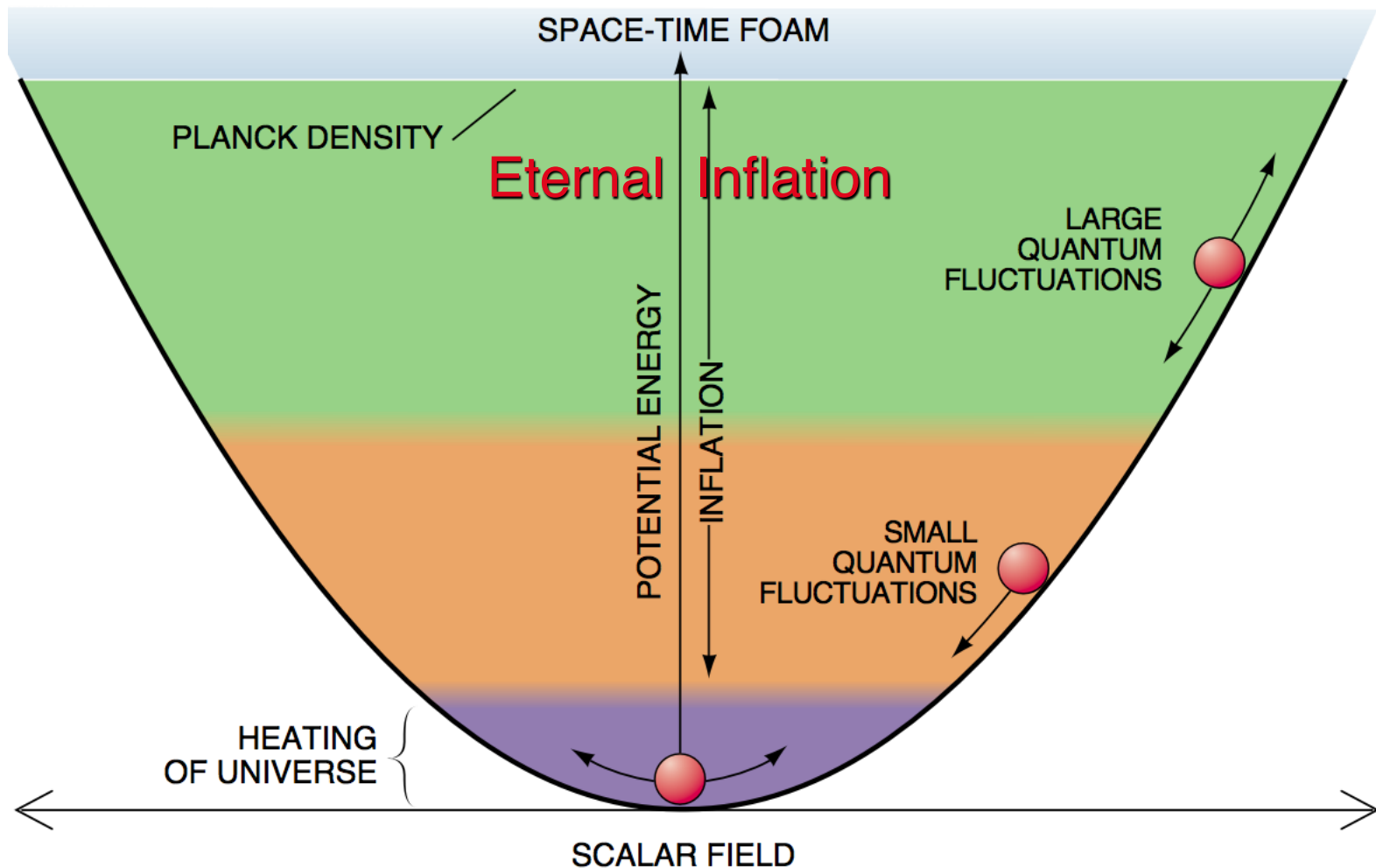
A.L., 1982 - new inflation  
(also Albrecht, Steinhardt)

1983 - chaotic inflation

1991 - hybrid inflation

# Chaotic Inflation

No need for false vacua, thermal equilibrium, and phase transitions. Just use any sufficiently flat potential.



# Equations of motion:

- **Einstein equation:**

$$H^2 = \left(\frac{\dot{a}}{a}\right)^2 = \frac{m^2}{6}\phi^2$$

- **Klein-Gordon equation:**

$$\ddot{\phi} + 3H\dot{\phi} = -m^2\phi$$

Compare with equation for the harmonic oscillator with friction:

$$\ddot{x} + \alpha\dot{x} = -kx$$



# Logic of Inflation:

Large  $\phi$   $\longrightarrow$  large  $H$   $\longrightarrow$  large friction

field  $\phi$  moves very slowly, so that its potential energy for a long time remains nearly constant

$$H = \frac{\dot{a}}{a} = \frac{m\phi}{\sqrt{6}} \approx \text{const}$$

$$a \sim e^{Ht}$$

**This is the stage of inflation**

# Inflationary universe



$$l \sim 10^{-33} \text{ cm}$$

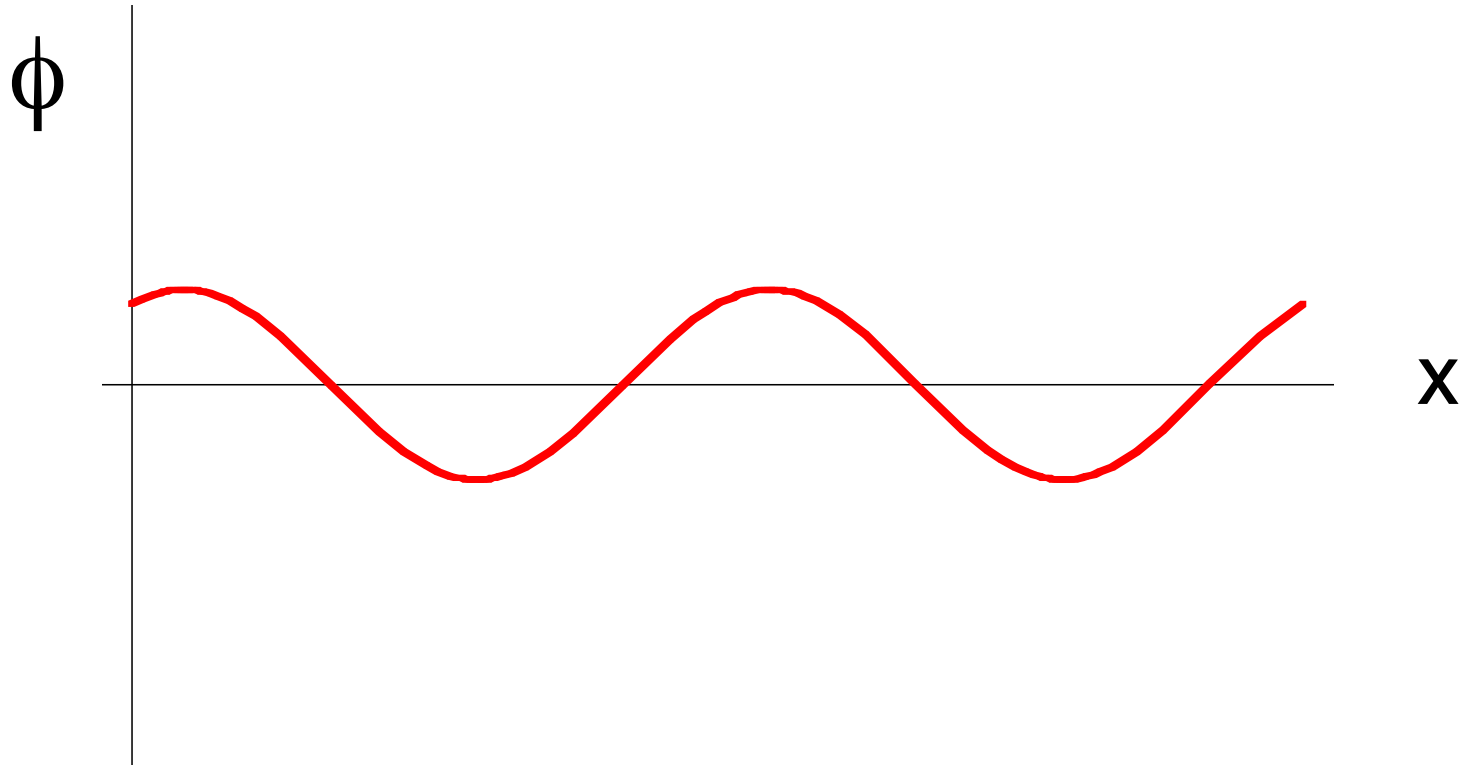
$$m \sim 10^{-5} \text{ g}$$

# Inflationary universe

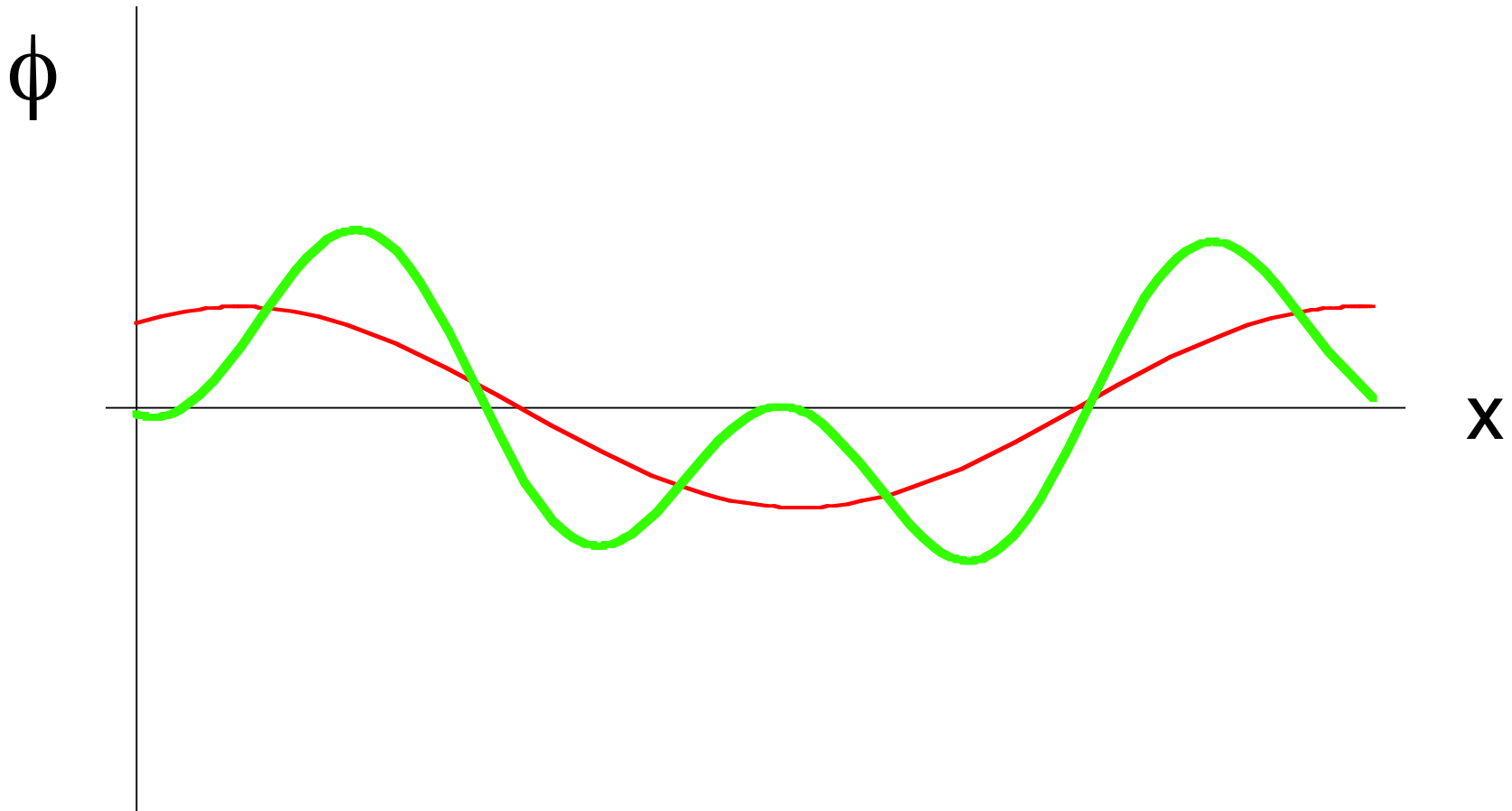

$$10^{1000000000000}$$

in ANY units of length

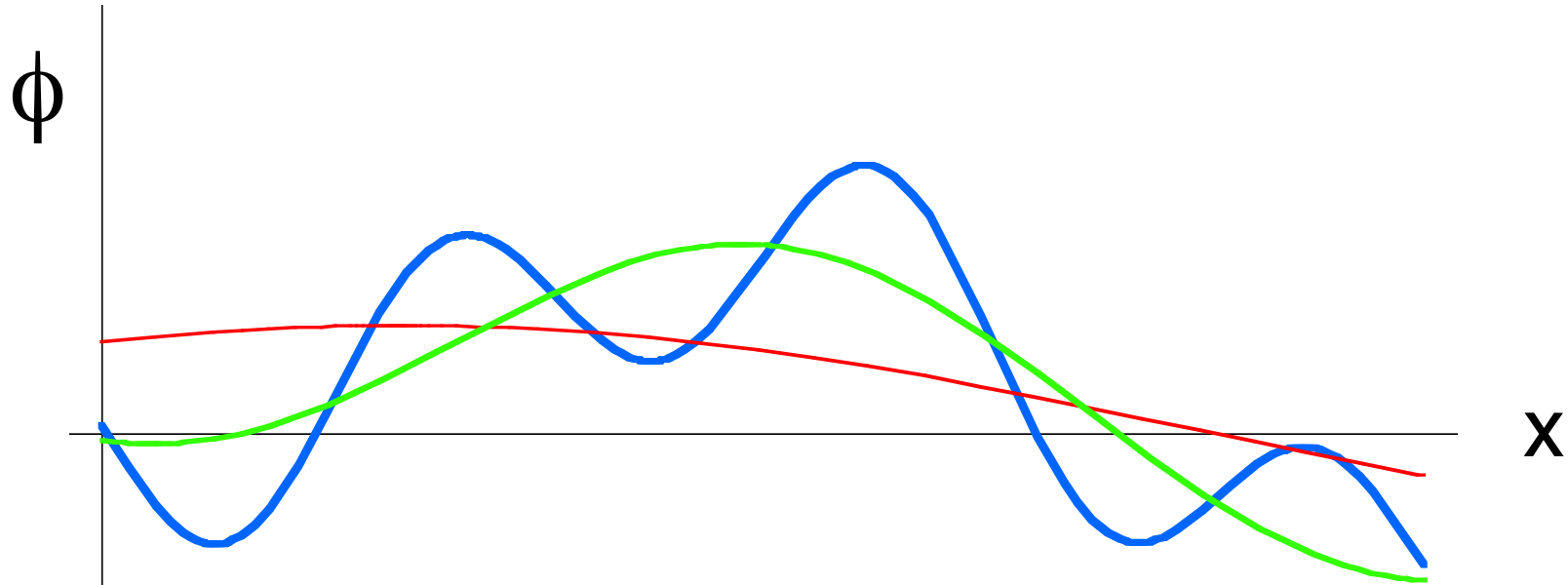
# Quantum fluctuations produced during inflation



Small quantum fluctuations of all physical fields exist everywhere. They are similar to waves, which appear and then rapidly oscillate, move and disappear. Inflation stretched them, together with stretching the universe. When the wavelength of the fluctuations becomes sufficiently large, they stop moving and oscillating, and do not disappear. They look like frozen waves.



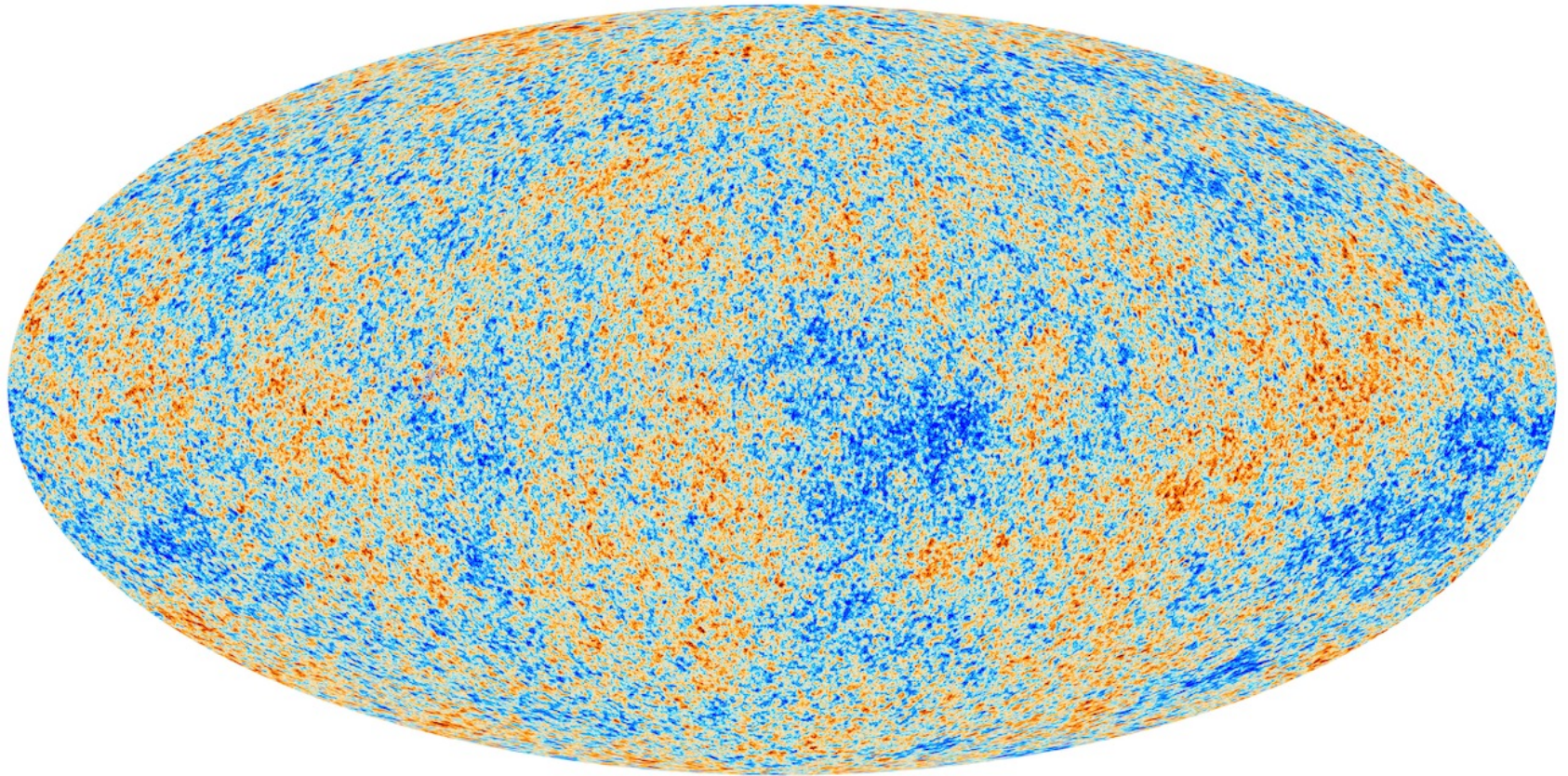
When expansion of the universe continues, new quantum fluctuations become stretched, stop oscillating, and freeze on top of the previously frozen fluctuations.



This process continues, and eventually the universe becomes populated by inhomogeneous scalar field. Its energy takes different values in different parts of the universe. **These inhomogeneities are responsible for the formation of galaxies** (Chibisov, Mukhanov 1981)

Sometimes these fluctuations are so large that they can increase the value of the scalar field in some parts of the universe. Then inflation in these parts of the universe occurs again and again. In other words, **the process of inflation becomes eternal** (Vilenkin 1983, A.L. 1986)

CMB observations use sky as the largest ever photographic plate: We see imprints of quantum fluctuations produced perhaps  $10^{-30}$  seconds after the Big Bang (!!!), and then stretched all over the observable part of the universe.





# Why Quantum?

J. Wheeler

Without inflation, the universe is small. Without quantum, the universe is empty.

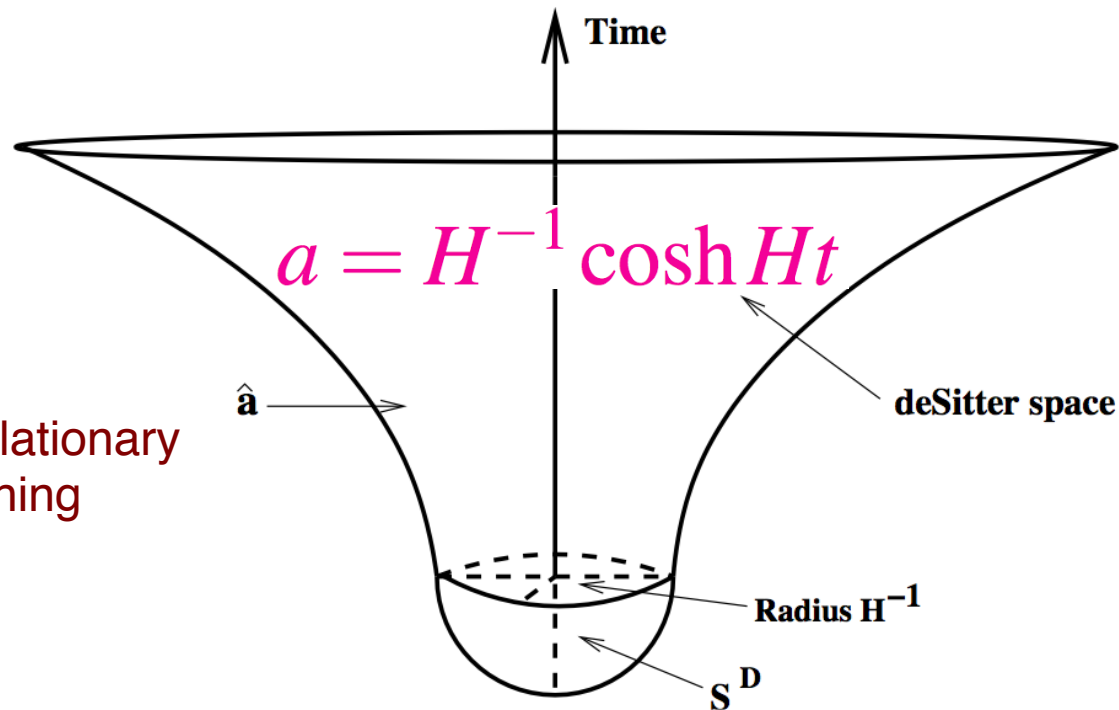
According to inflationary theory, all matter in the universe was produced due to quantum creation of particles in the post-inflationary reheating process, and the large scale structure of the universe was produced due to inflationary quantum fluctuations.



Creation of all matter and formation of the large scale structure is **not** a result of “unpacking” of pre-existing information. The world around us could be produced from a tiny speck of space containing no pre-packaged information and no elementary particles altogether.

Once the wave function of the universe is “reduced” (or we learn in which of the many versions of the quantum universe we live), we cannot “play the movie back” and see how the universe exponentially collapses into a single Planck size ball with less than 1 milligram of matter.

# Do we even need 1 mg of matter?



Creation of the inflationary universe from nothing

Vilenkin 1982,  
A.L. 1984,  
Vilenkin 1984

**Closed dS space cannot continuously grow from the state with  $a = 0$ , it must tunnel.** For the Planckian  $H$ , as in chaotic inflation, the action is  $O(1)$ , tunneling is easy. For very small  $H$ , as in stringy inflation, creation of the universe is **exponentially suppressed**.

Thus it is difficult to start expansion of a closed universe with a low-scale inflation.

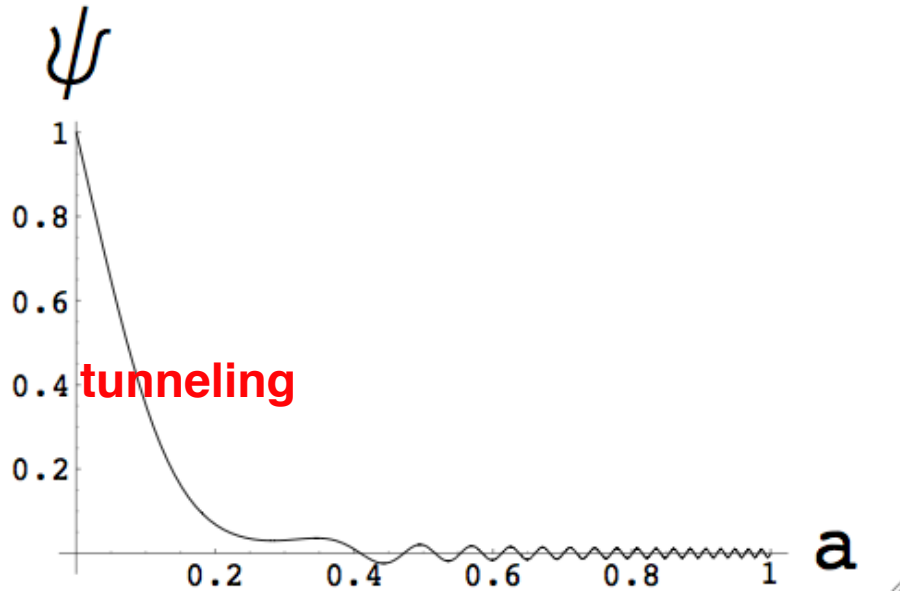
**Not a problem!**

Take a box (a part of a flat universe) and glue its opposite sides to each other. What we obtain is a **torus**, which is a topologically nontrivial flat universe.



No need to tunnel: A compact open inflationary universe may be arbitrarily small

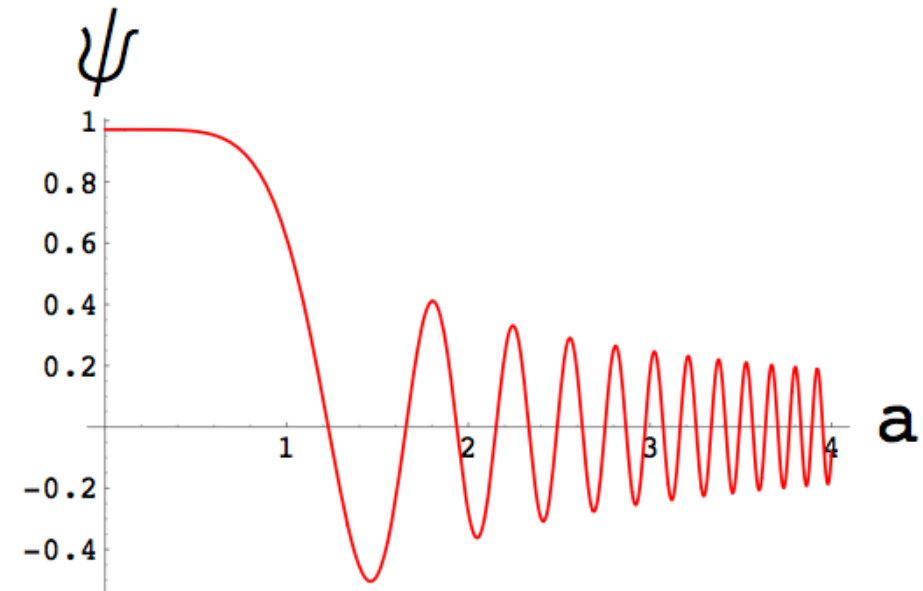
# Closed versus compact flat universe in quantum cosmology



## Closed universe

Wave function is exponentially suppressed at large scale factor  $a$

A.L. 1984, Vilenkin 1984



## Compact flat universe

Wave function is not exponentially suppressed

Zeldovich, Starobinsky 1984,  
Coule, Martin 2000, A.L. 2004

WKB approximation becomes valid (wave function becomes oscillating in a semiclassical way) for scale factor

$$a > V^{-1/6}$$

The total energy of the universe at that time was

$$E \sim V a^3 \sim V^{1/2}$$

So for inflation at  $V = 10^{-10}$  the total mass of the universe at the moment when its classical description becomes possible was  $10^{-5}$  in Planck units, so it was  $10^{-10}$  g. And there were no curvature singularity to start with.

Thus it is **much easier** to create a topologically nontrivial inflationary universe.

Many inflationary models. Which ones can be implemented in the context of string theory and supergravity?

We will give only a small sample of available models.

More about it – tomorrow at the parallel session

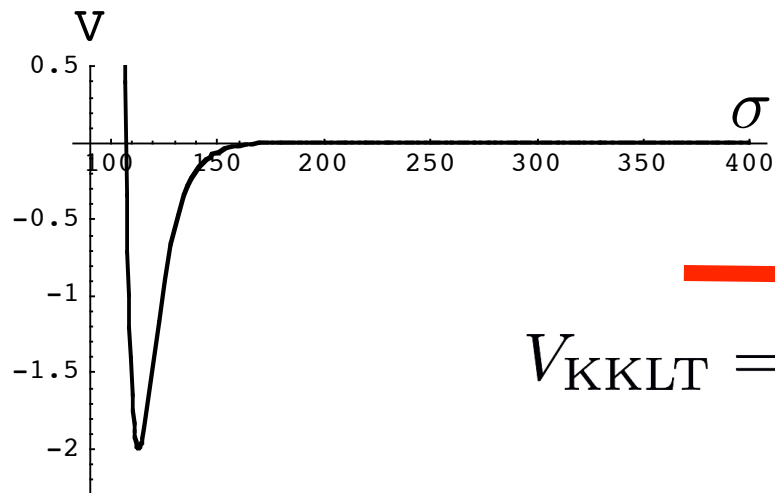
# String Theory

First step – vacuum stabilization. Several different approaches; perhaps the simplest one is the KKLT construction.

$$W = W_0 + Ae^{-a\rho}$$

$$\mathcal{K} = -3 \ln[(\rho + \bar{\rho})]$$

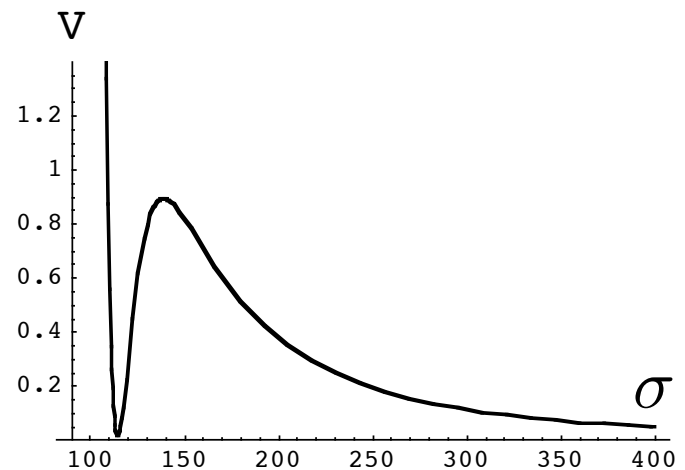
$$\rho = \sigma + i\alpha$$



**Stabilization in a  
supersymmetric  
AdS minimum**



$$V_{\text{KKLT}} = V_{\text{AdS}} + \frac{D}{\sigma^2}$$

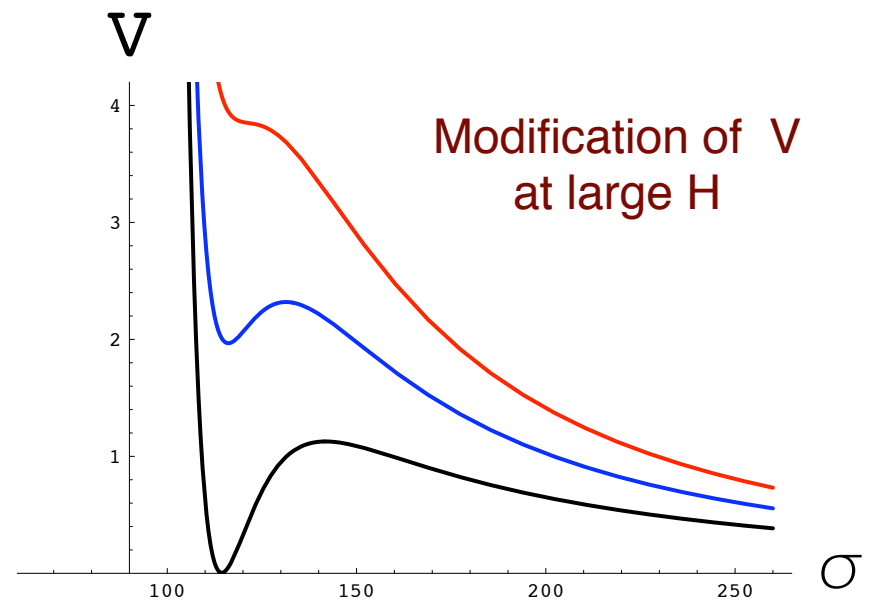
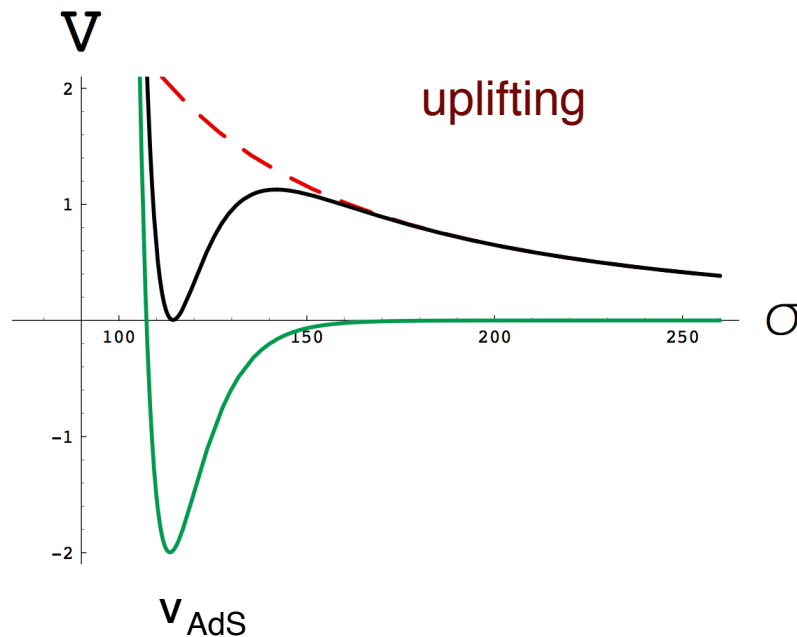


**Uplifting to dS  
breaks SUSY**

# Vacuum destabilization during inflation

Kallosh, A.L. 2004

The height of the KKLT barrier is smaller than  $|V_{\text{AdS}}| = 3m_{3/2}^2$ . The inflationary potential  $V_{\text{infl}}$  cannot be much higher than the height of the barrier. Inflationary Hubble constant is  $H^2 = V_{\text{infl}}/3 < |V_{\text{AdS}}|/3 \sim m_{3/2}^2$ .



Constraint on the Hubble constant in this class of models:

$$H < m_{3/2}$$



# Tensor modes in CMB and gravitino

Kallosch, A.L. 2007

$$H \lesssim m_{3/2}$$

$$m_{3/2} \sim 1 \text{ TeV} \longrightarrow r \sim 10^{-24}$$

unobservable

A discovery or non-discovery of tensor modes  
would be a crucial test for string theory and  
SUSY phenomenology

Modern versions  
of string theory

Discovery of SUSY  
particles at LHC

Discovery of gravity  
waves

Any 2 of these 3 items are compatible with each other. Can all 3 of them live in peace?

We will describe the simplest way to address this issue

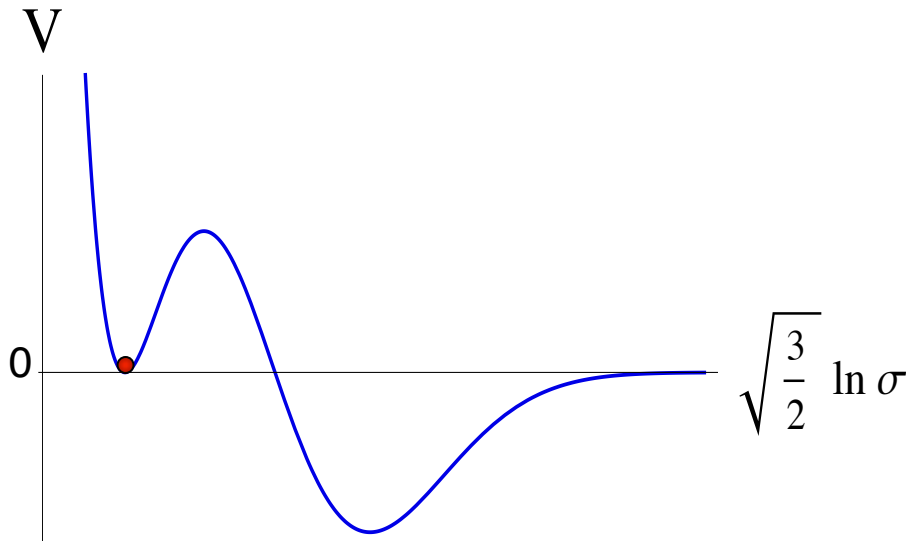
# KL model

$$\mathcal{K} = -3 \ln[(\rho + \bar{\rho})]$$

Kallosh, A.L. 2004

$$W = W_0 + Ae^{-a\rho} - Be^{-b\rho}$$

$$W_0 = -A \left( \frac{a A}{b B} \right)^{\frac{a}{b-a}} + B \left( \frac{a A}{b B} \right)^{\frac{b}{b-a}} + \Delta$$



It has a supersymmetric Minkowski vacuum for  $\Delta = 0$ , with a **high barrier**.

$\Delta$  makes it a supersymmetric AdS.

Uplifting breaks SUSY

$$m_{3/2} \sim \Delta$$

Thus one **can** have a high barrier and a tiny gravitino mass

$H$  can be arbitrarily large,  $r$  becomes observable

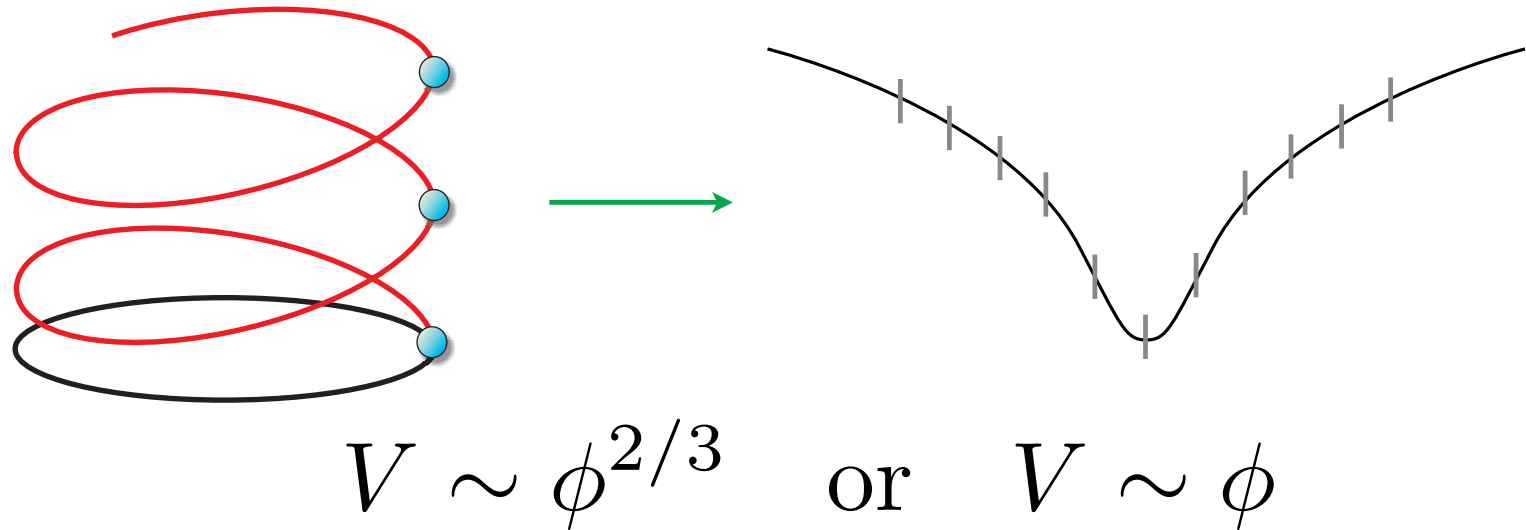
# Chaotic Inflation in String Theory

## An elegant example: Axion monodromy

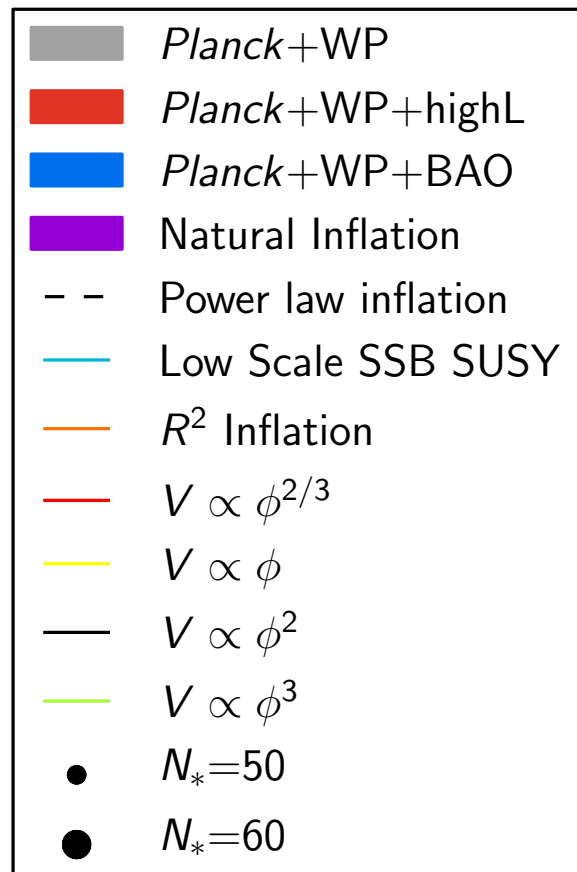
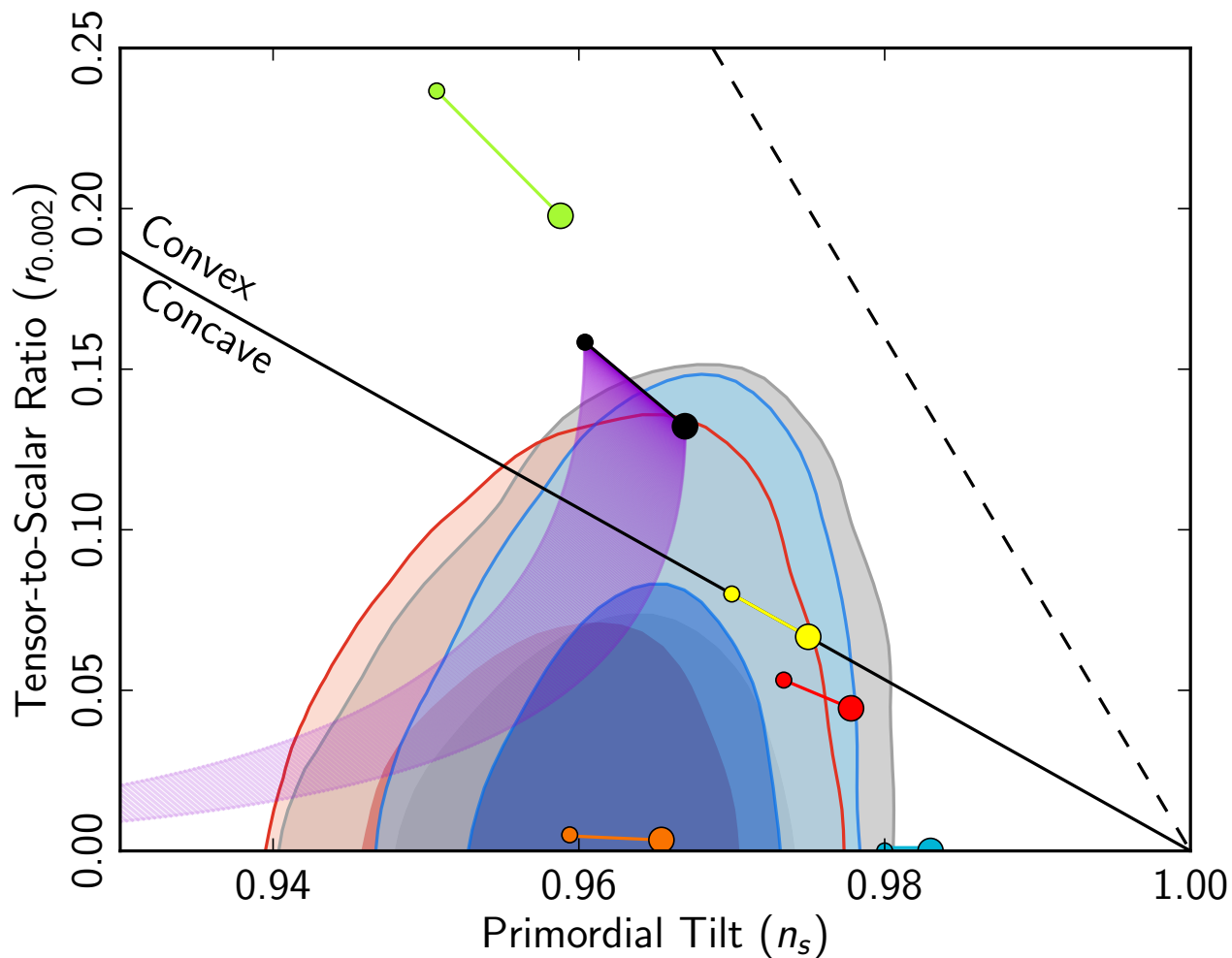
Silverstein, Westphal 0803.3085

McAllister, Silverstein, Westphal 0808.0706

- unwind a periodic field direction into a monodromy  
→ e.g. by employing a wrapped brane



Requires something like KL mechanism of strong moduli stabilization



Axion monodromy



Starobinsky model



# Natural Inflation in supergravity/string theory

One could expect it to be readily available, but stabilization of the large radius of the axion potential was a problem. A consistent version was constructed only relatively recently ([Kallosh 2007](#)).

## $R + R^2$ in supergravity

Work in progress, see [Ketov et al 2012](#).

# Problems with inflation in supergravity

## Main problem:

$$V(\phi) = e^K \left( K_{\Phi\bar{\Phi}}^{-1} |D_{\Phi}W|^2 - 3|W|^2 \right)$$

Canonical Kahler potential is  $K = \Phi\bar{\Phi}$

Therefore the potential blows up at large  $|\phi|$ , and slow-roll inflation is impossible:

$$V \sim e|\Phi|^2$$

Too steep, no inflation...

# Chaotic inflation in supergravity

Kawasaki, Yamaguchi, Yanagida 2000

Kahler potential  $\mathcal{K} = S\bar{S} - \frac{1}{2}(\Phi - \bar{\Phi})^2$

and superpotential  $W = mS\Phi$

The potential is very curved with respect to  $S$  and  $\text{Im } \Phi$ , so these fields vanish. But Kahler potential does not depend on

$$\phi = \sqrt{2} \text{Re } \Phi = (\Phi + \bar{\Phi})/\sqrt{2}$$

The potential of this field has the simplest form, as in chaotic inflation, without any exponential terms:

$$V = \frac{m^2}{2} \phi^2$$

Quantum corrections do not change this result



# More general models

Kalosh, A.L. 1008.3375, Kalosh, A.L., Rube, 1011.5945

$$W = S f(\Phi)$$

The Kahler potential is any function of the type

$$\mathcal{K}((\Phi - \bar{\Phi})^2, S\bar{S})$$

The potential as a function of the real part of  $\Phi$  at  $\Phi = 0$  is

$$V = |f(\Phi)|^2$$

**FUNCTIONAL FREEDOM** in choosing inflationary potential

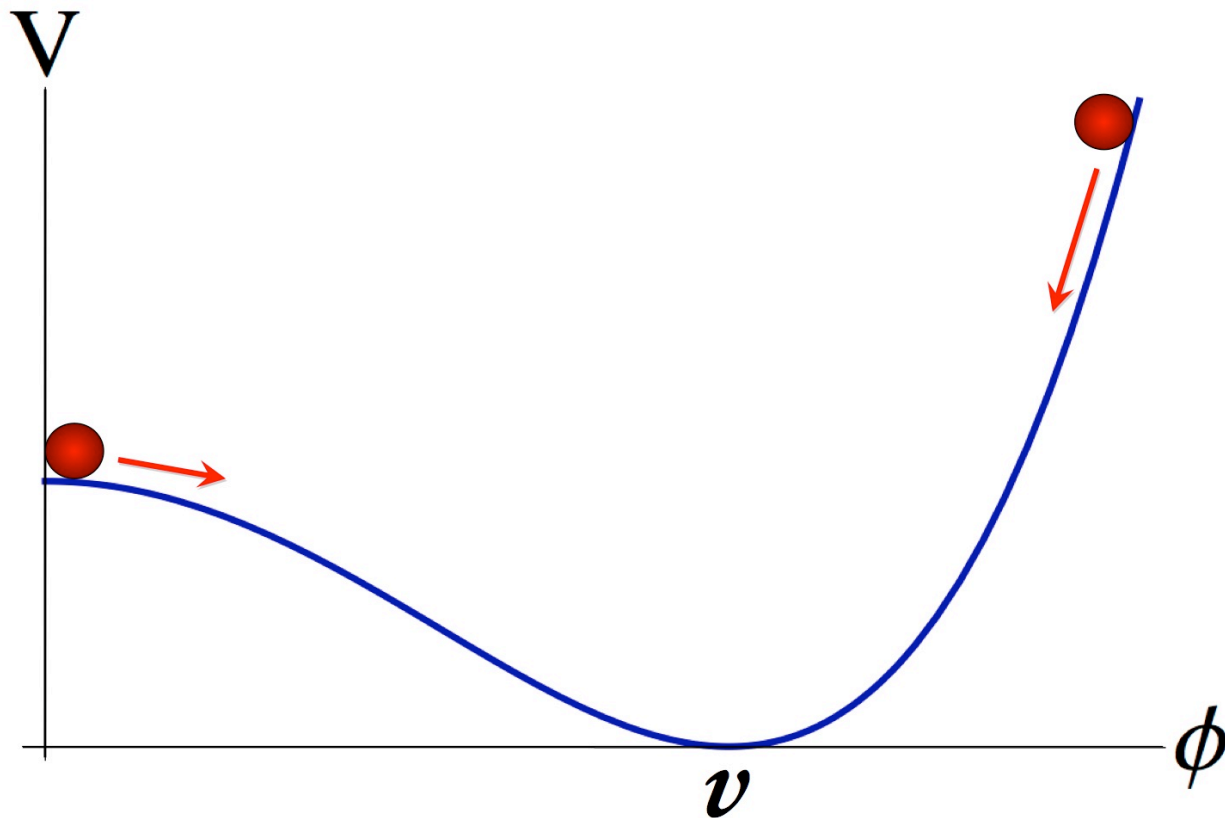
In this new class of supergravity inflation models, one can have **arbitrary potential for the inflaton field**.

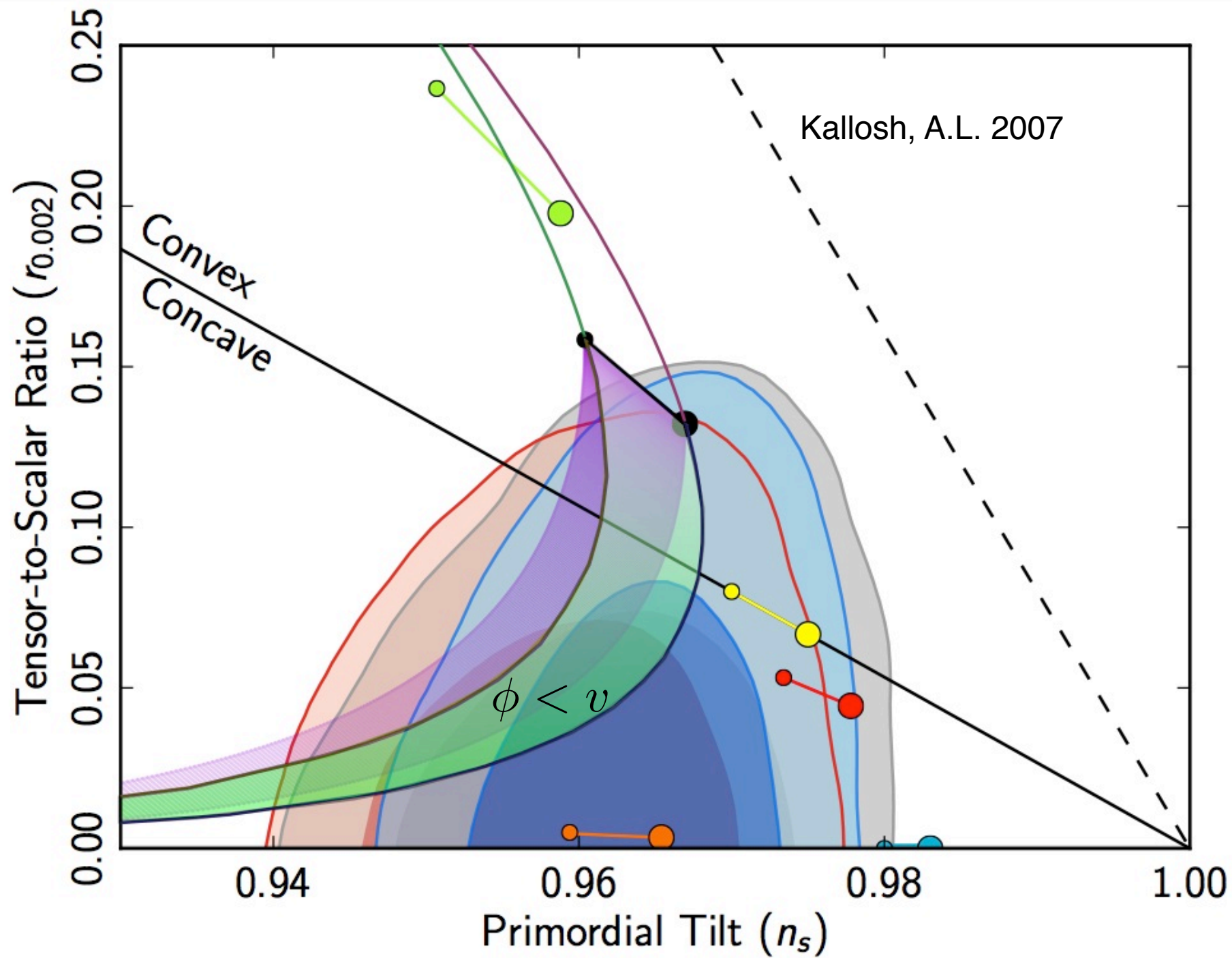
Thus one can have **ANY desirable values of  $n_s$  and  $r$** .  
Moreover, one can generalize this scenario to describe production of non-gaussian perturbations and cosmic strings, to be discussed on Thursday.

**Example:**  $W = -\lambda S(\Phi^2 - v^2/2)$

During inflation  $S = 0$ ,  $\text{Im } \Phi = 0$ ,  $\text{Re } \Phi = \sqrt{2} \phi$

Higgs type potential  $V(\phi) = \frac{\lambda^2}{4}(\phi^2 - v^2)^2$

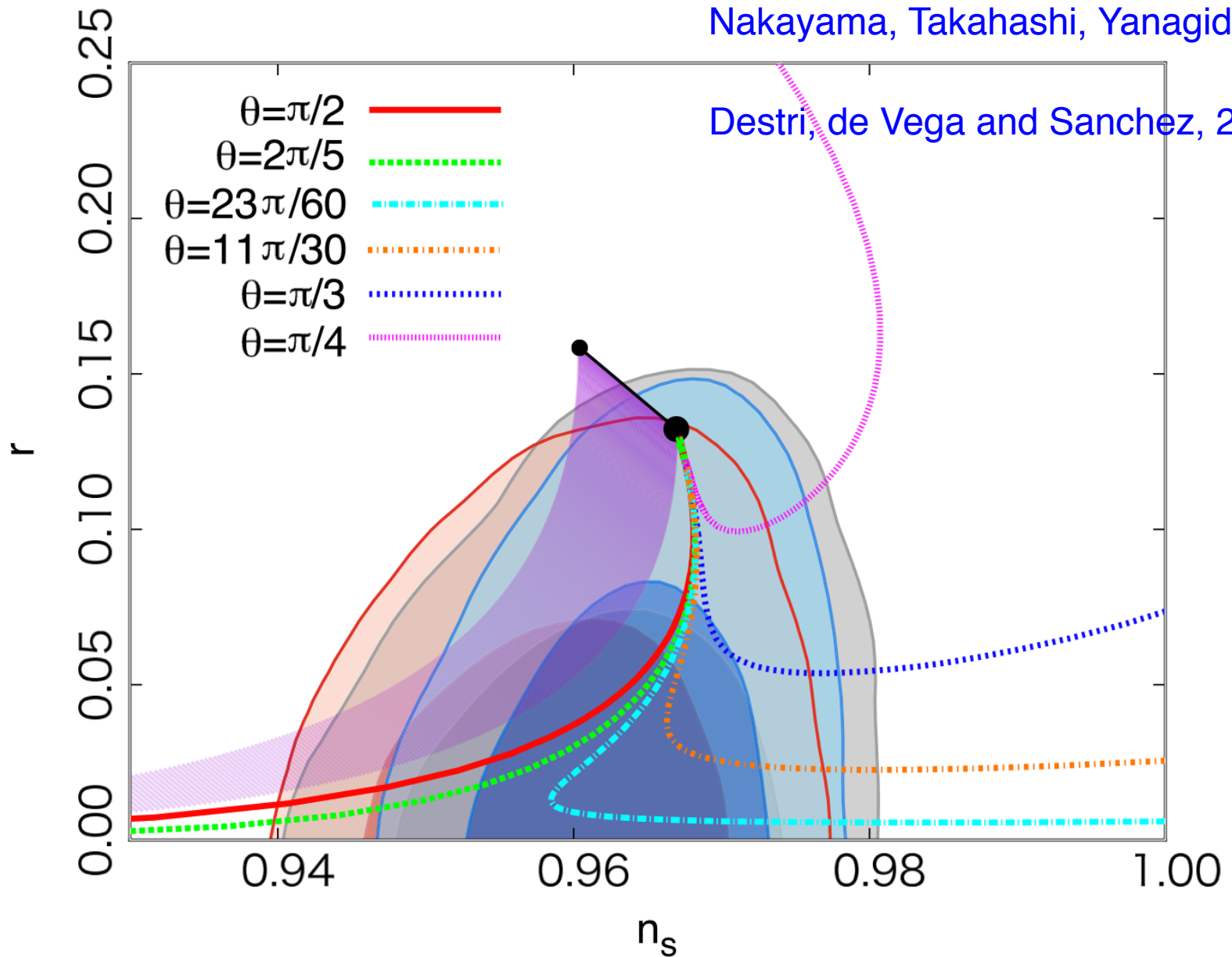


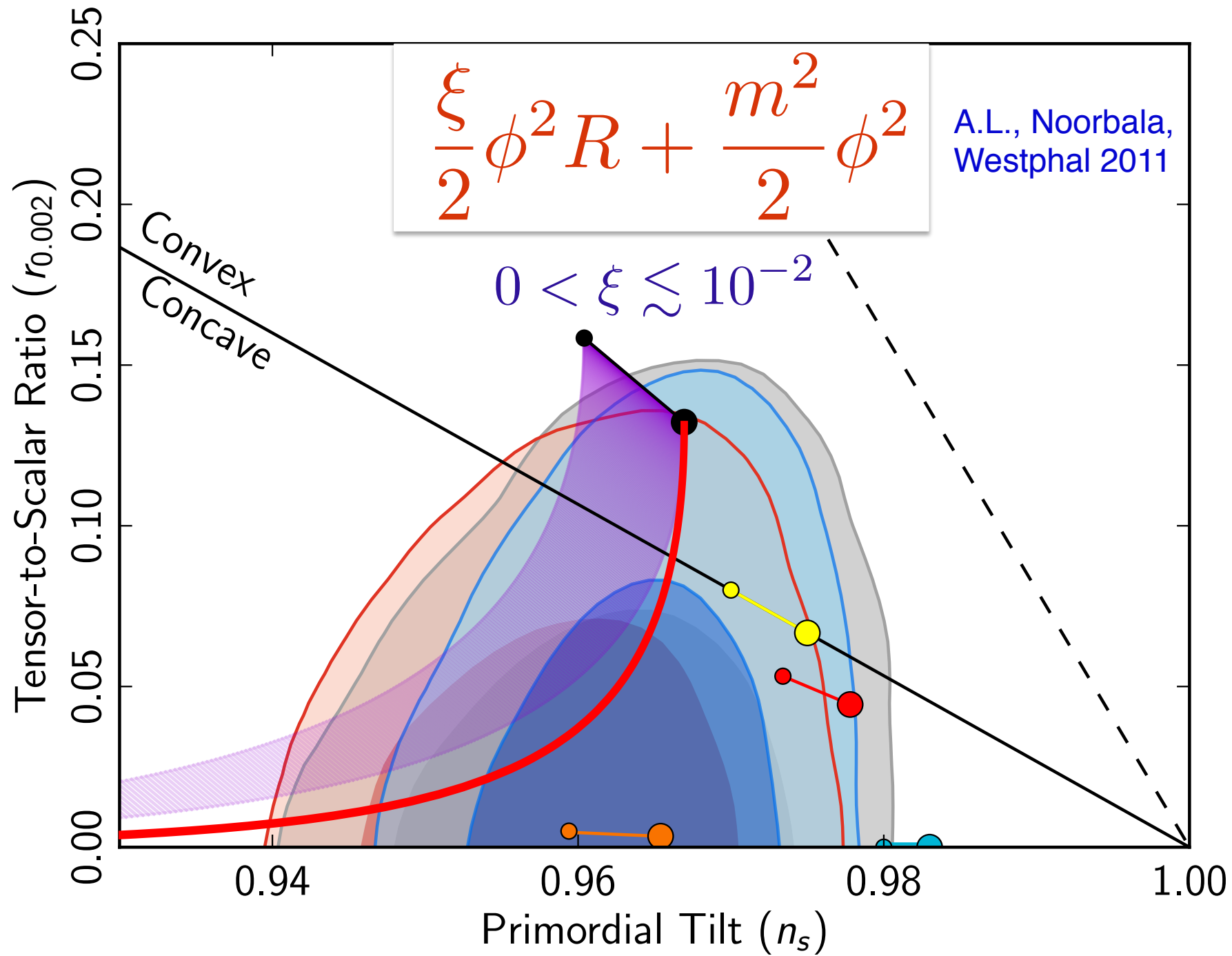


$$V = \frac{\phi^2}{2} \left( m^2 - \sqrt{2} m \lambda \sin \theta \phi + \frac{\lambda^2}{2} \phi^2 \right)$$

Nakayama, Takahashi, Yanagida 2013

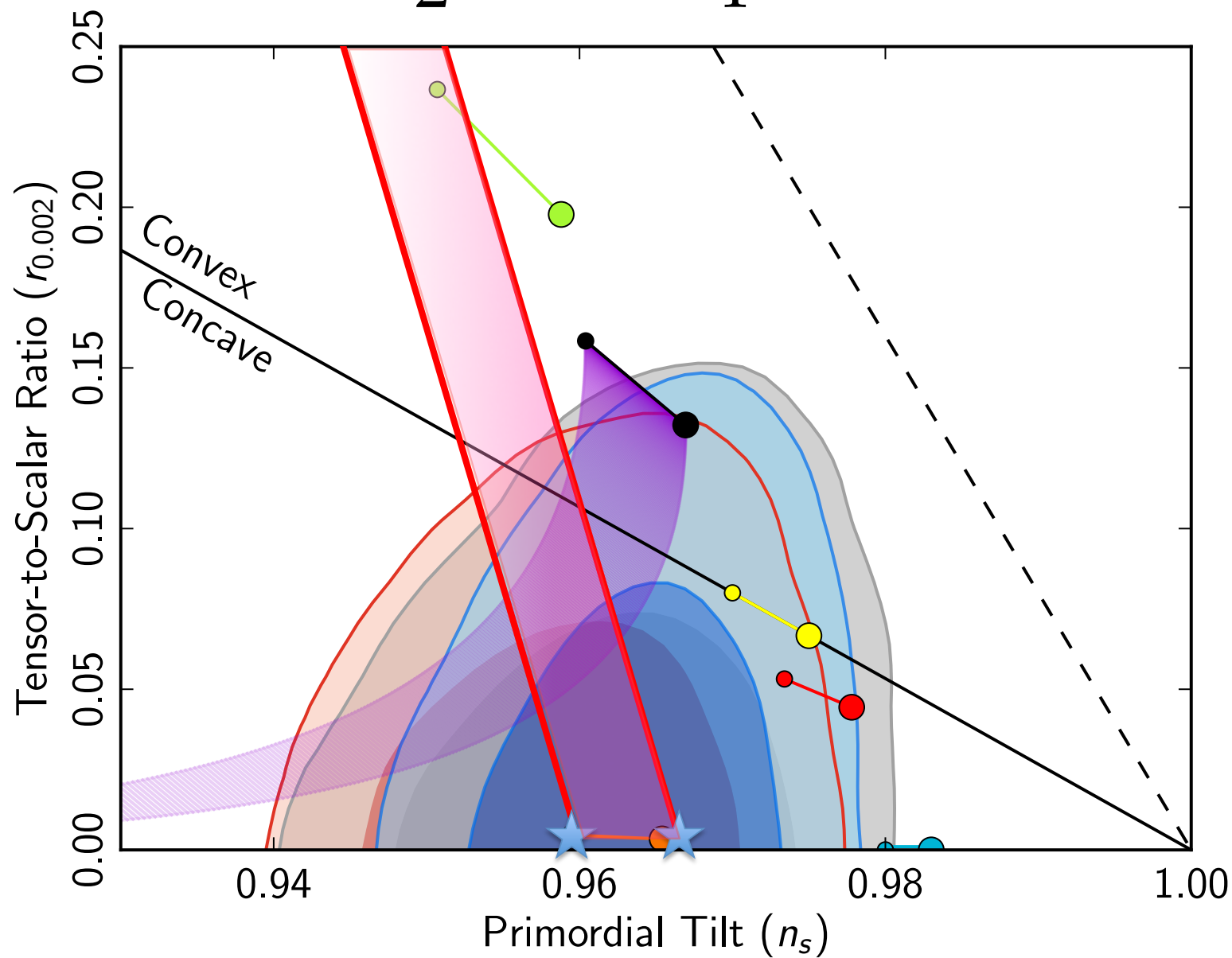
Destri, de Vega and Sanchez, 2007





$$\frac{\xi}{2}\phi^2 R + \frac{\lambda}{4}\phi^4$$

Okada, Rehman,  
Shafi 2010



# “Higgs Inflation” ★

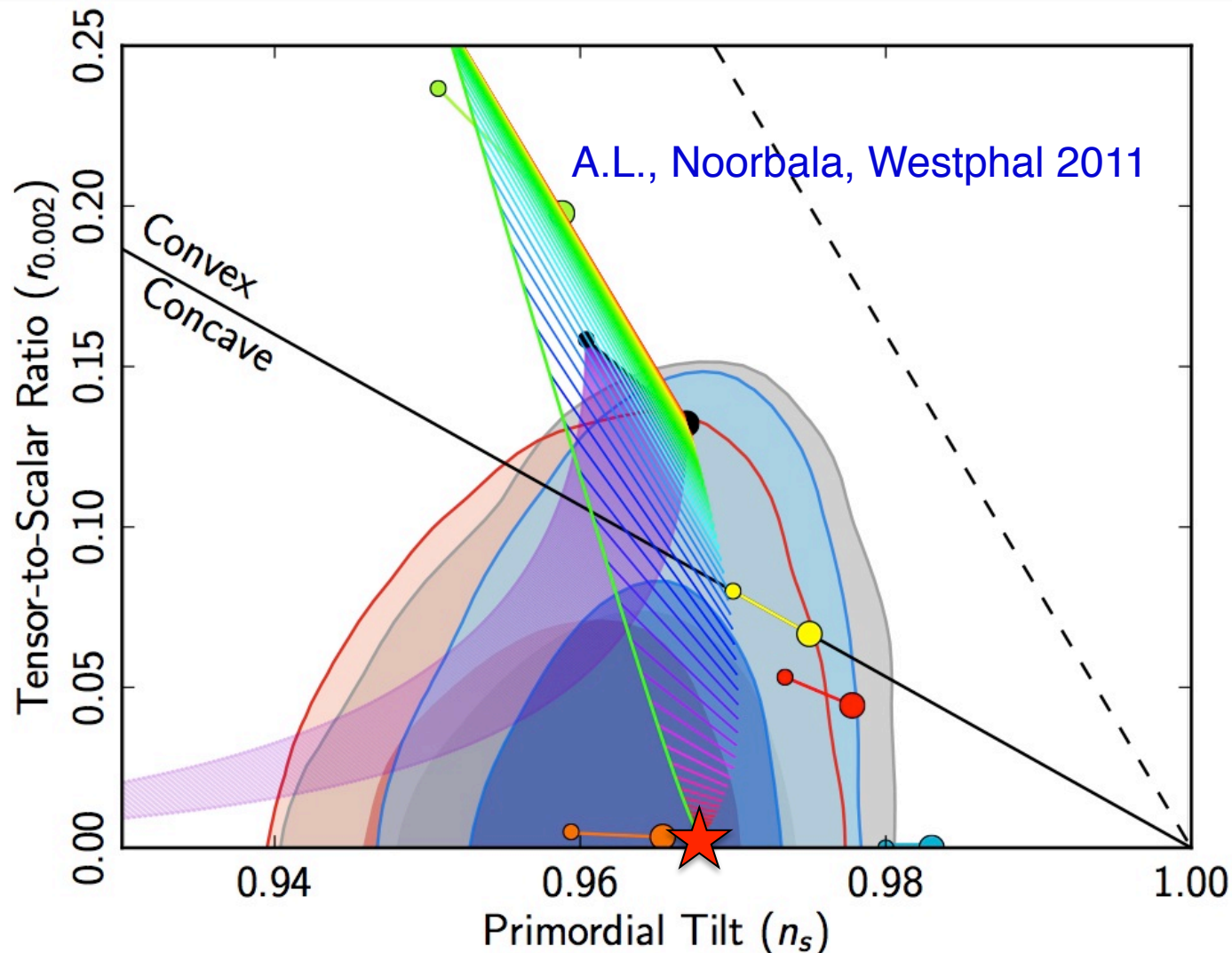
Futamase and Maeda, 1989,

Salopek, J. R. Bond and J. M. Bardeen, 1989

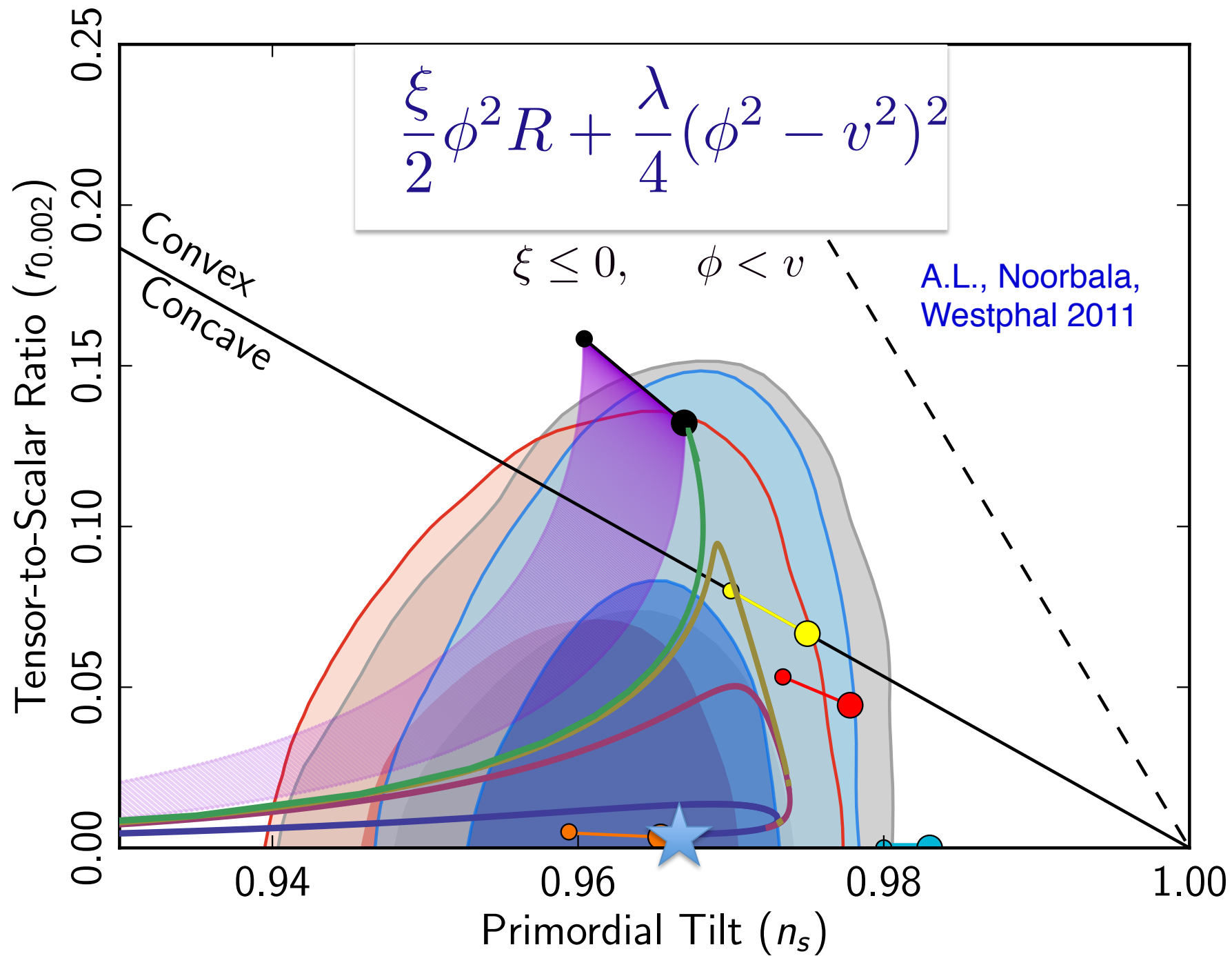
Bezrukov, Shaposhnikov 2008

Ferrara, Kallosh, A.L., Marrani, Van Proeyen 2011

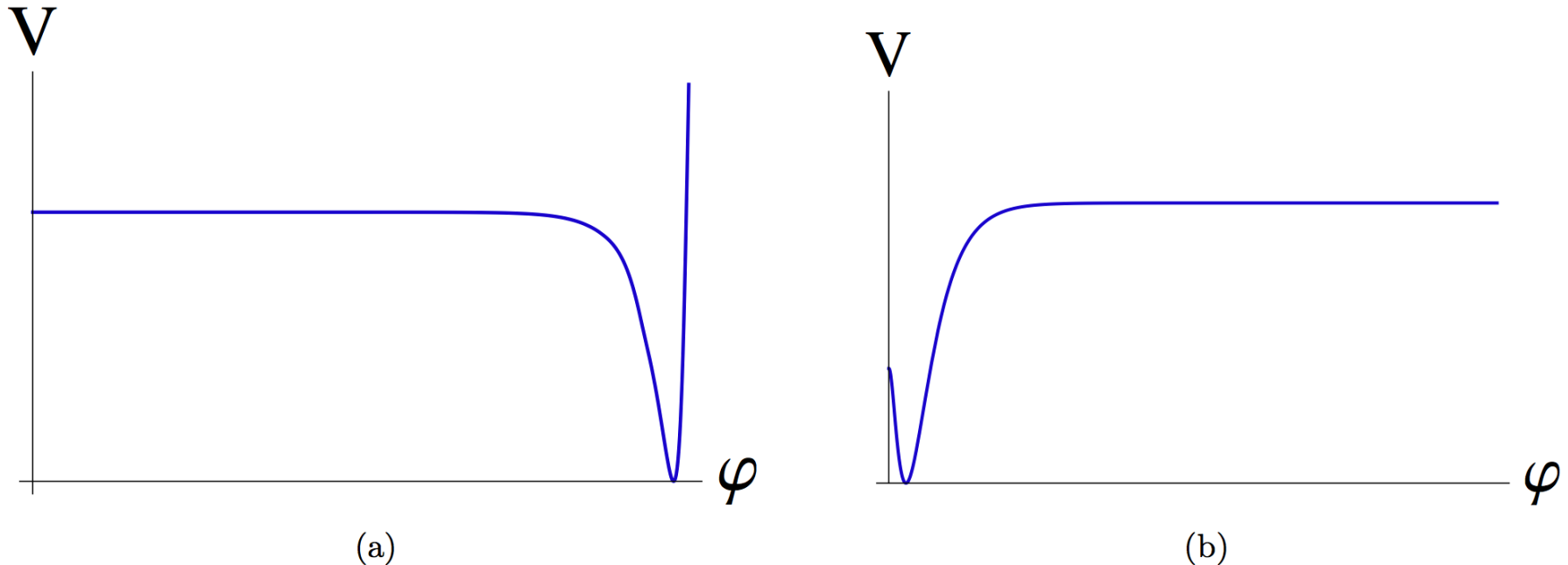
$$\frac{\xi}{2}\phi^2 R + \frac{\lambda}{4}(\phi^2 - v^2)^2$$







Potential for non-minimal Higgs inflation in Einstein frame for  $\xi < 0$ ,  $\phi < v$ , and for  $\xi > 0$ ,  $\phi > v$



Potential for Starobinsky model is very similar, the same prediction for  $n_s$  and  $r$ .

Thus for ANY Planck-compatible set of  $n_s$  and  $r$  one can find MANY sets of supergravity based inflationary models nicely fitting the data. Degeneracy can be removed by a possible discovery of a tiny non-flatness of the universe, non-Gaussianity, cosmic strings, anomalies, etc.

For example, in some models of open inflation, one may suppress the quadrupole. In some versions of chaotic inflation in supergravity one can realize the curvaton mechanism, generate non-Gaussianities due to vector field production, produce superhorizon (or nearly superhorizon) cosmic strings, and may do many other “bad things” to our universe, in order to produce tiny imperfections which may appeal to certain people☺

Indeed, some claim that the secret of beauty is in a slight asymmetry between left and right sides of a face, which may become enhanced by a dark spot of a proper size.



This observation was confirmed by measurements in all channels.



# Here comes the multiverse











# Genetic code of the Universe

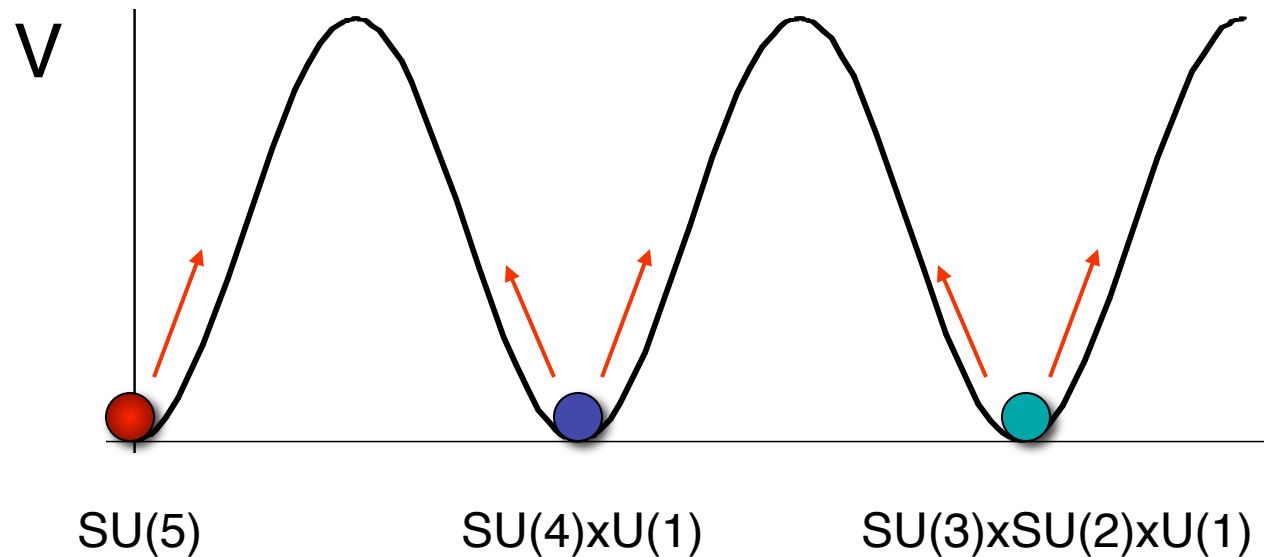
There may be **one** fundamental law of physics, like a **single genetic code** for “unpacking” the Universe. However, this law may have different realizations. For example, water can be liquid, solid or gas. In elementary particle physics, the **effective** laws of physics depend on the values of the scalar fields, and the process of compactification.

Quantum fluctuations during inflation can take scalar fields from one minimum of their potential energy to another, altering its genetic code. Once it happens in a small part of the universe, inflation makes this part exponentially big.

**This is the cosmological  
mutation mechanism**

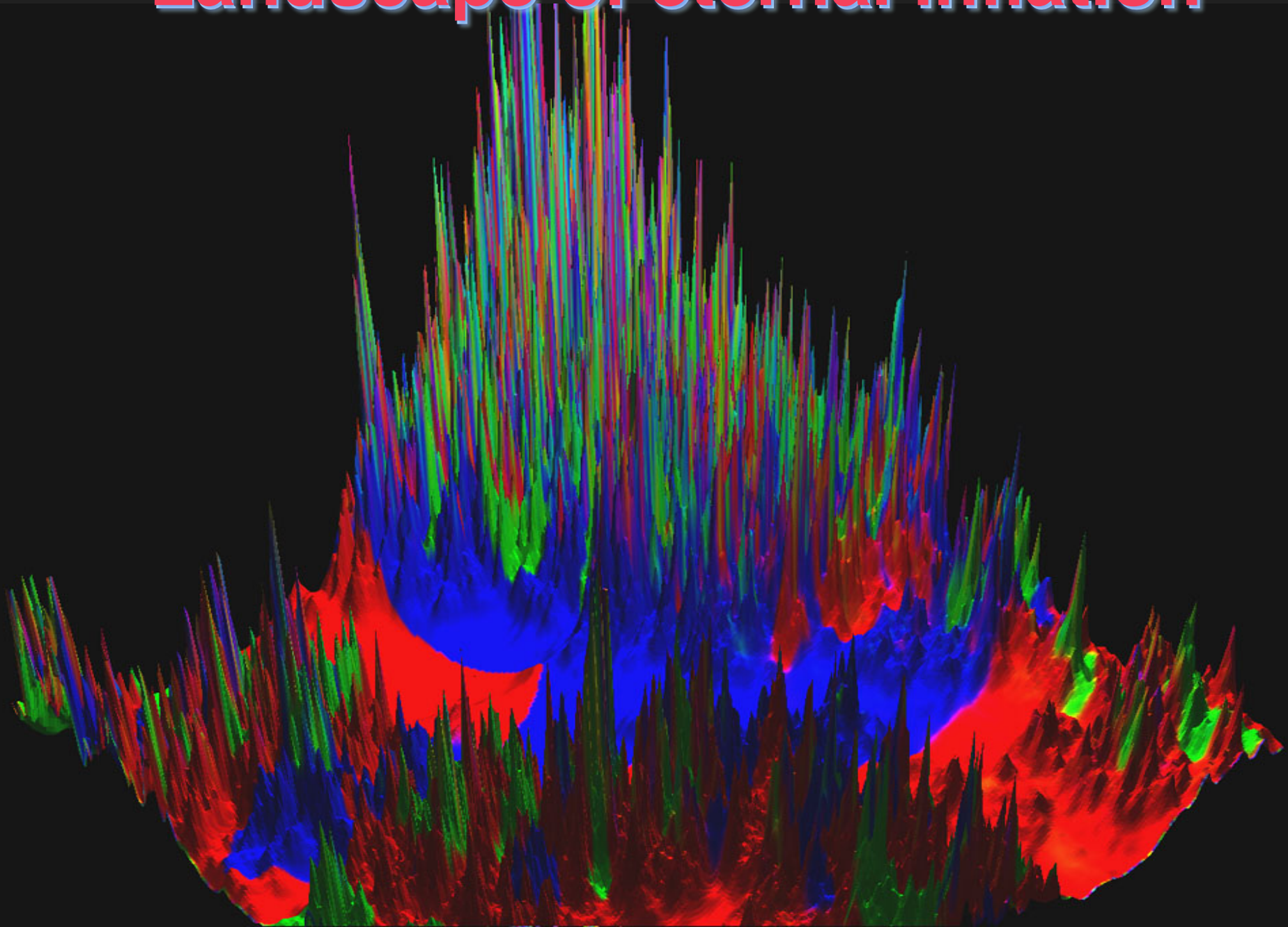
# From the Universe to the Multiverse

There are many scalar fields, and their potential energy has many different minima. Each minimum corresponds to different masses of particles and different laws of their interactions.

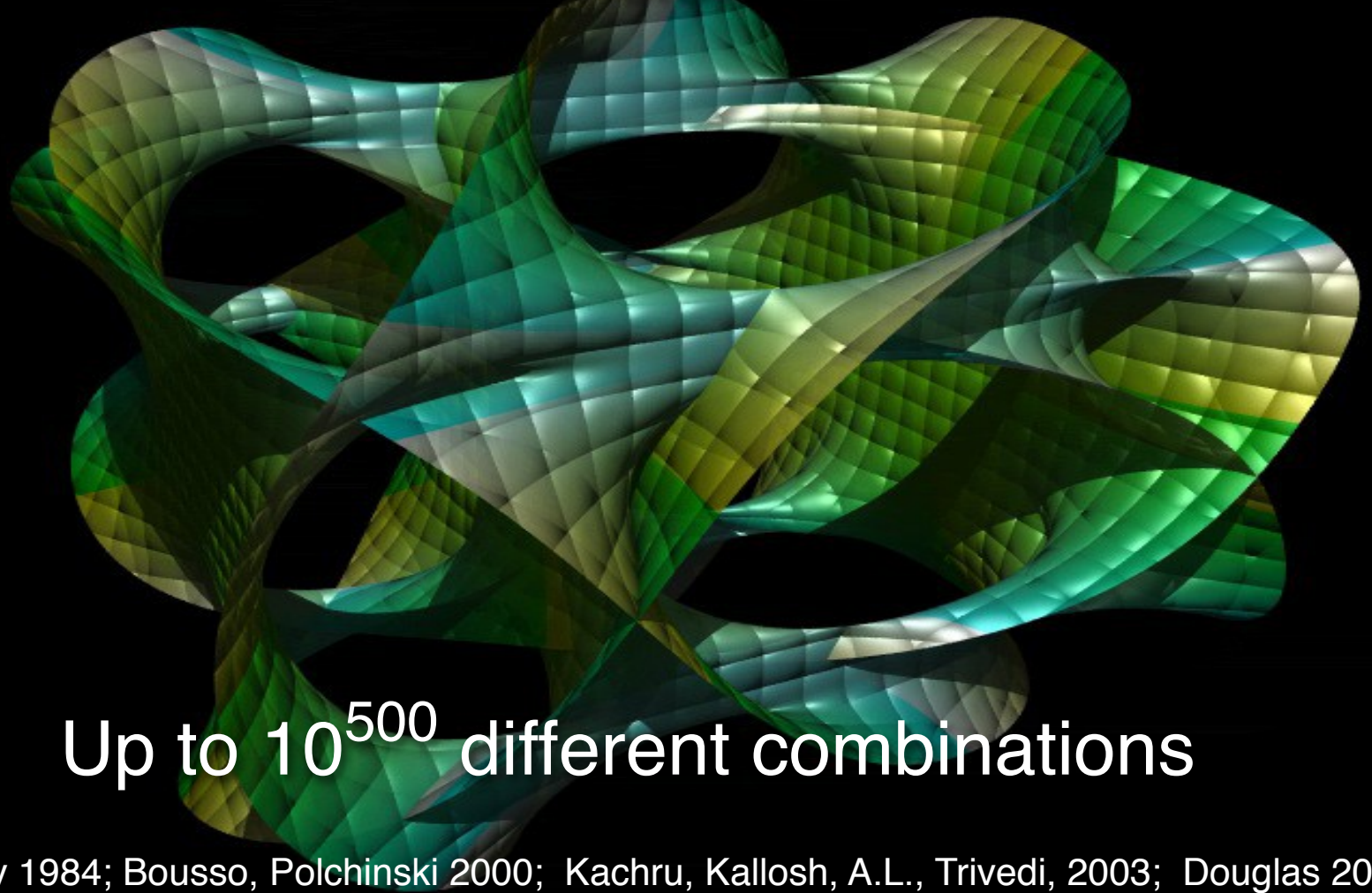


Quantum fluctuations during eternal inflation can bring the scalar fields to different minima in different parts of the universe. The universe becomes divided into many exponentially large parts with **different laws of physics** operating in each of them.

# Landscape of eternal inflation



In string theory, genetic code is written in  
properties of compactification of extra  
dimensions



Up to  $10^{500}$  different combinations

Sakharov 1984; Bousso, Polchinski 2000; Kachru, Kallosh, A.L., Trivedi, 2003; Douglas 2003

Thus, uniformity of our world can be explained by **inflation**: Exponential stretching of the universe makes **our part** of the universe almost exactly uniform.

However, the same theory predicts that on a much greater scale, the universe is 100% non-uniform.

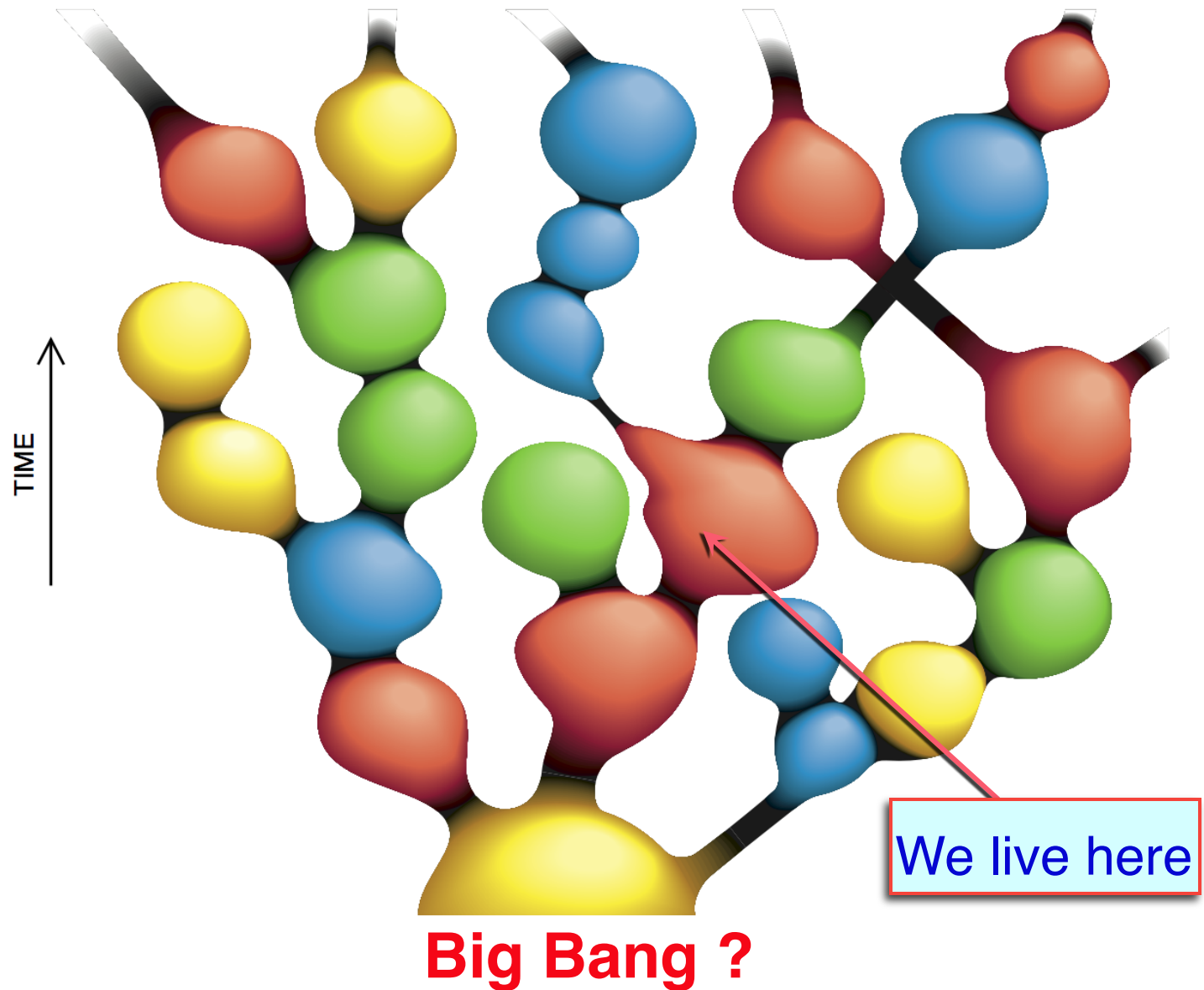
Inflationary universe becomes a multiverse



**This allows us to justify  
the anthropic principle:**

**We live in those parts  
of the multiverse where  
we can live.**

# Self-reproducing Inflationary Universe



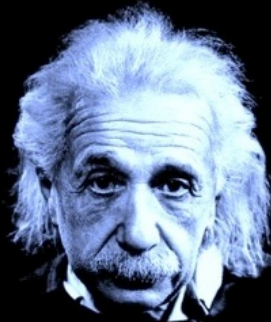


*The most incomprehensible  
thing about the universe is  
that it is comprehensible*

Albert Einstein

*The unreasonable efficiency  
of mathematics in science is  
a gift we neither understand  
nor deserve*

Eugene Wigner





The reason why Einstein was puzzled by the efficiency of physics and Wigner was puzzled by the efficiency of mathematic is very simple:

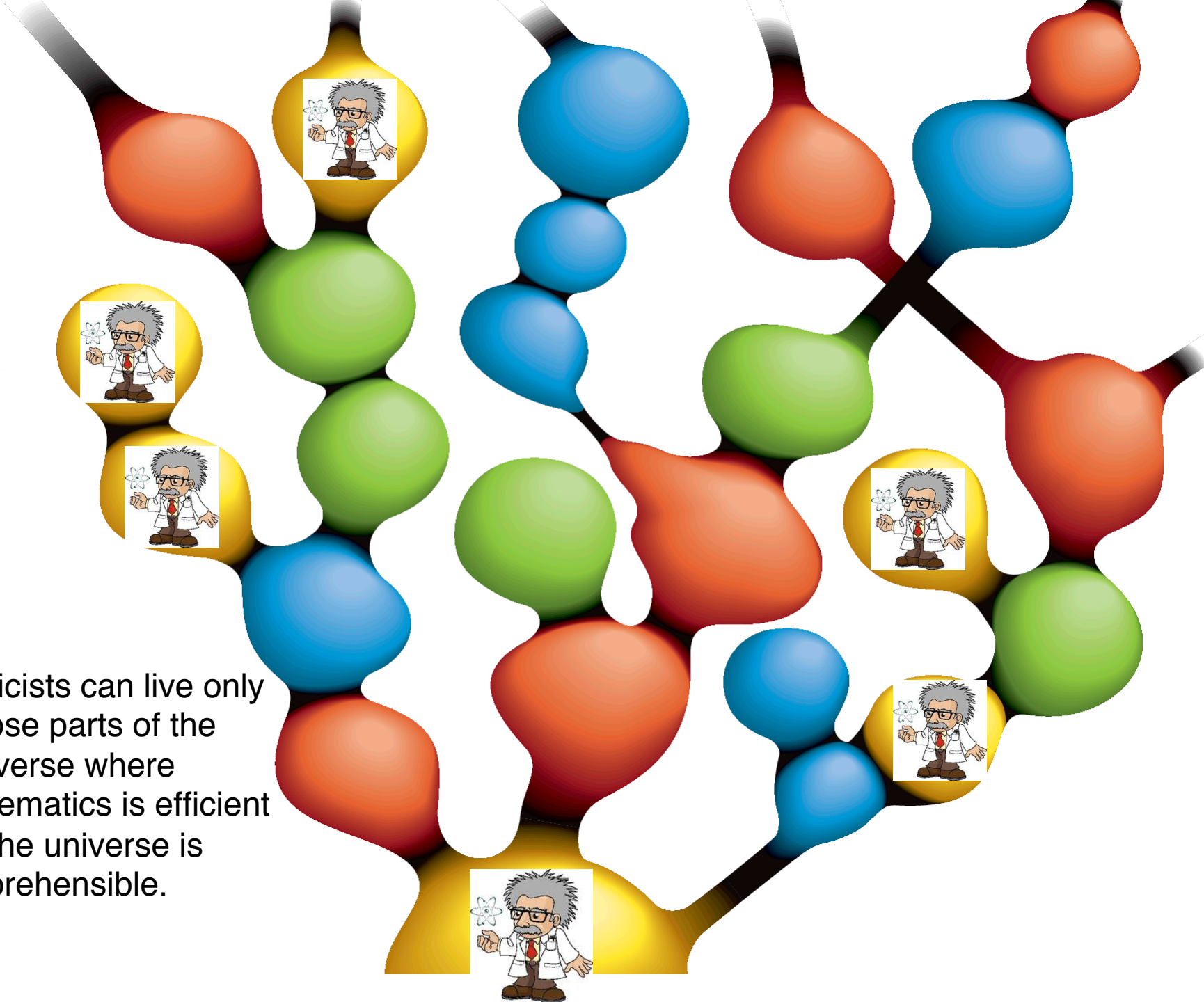
If the universe is everywhere the same (no choice), then the fact that it obeys so many different laws that we can discover, remember and use can be considered as an “undeserved gift of God” to physicists and mathematicians.

In the inflationary multiverse, this problem disappears. The laws of mathematics and physics are efficient only if they allow us to make reliable predictions. The possibility to make reliable predictions is necessary for our survival. There are some parts of the multiverse where information processing is inefficient; we cannot live there.

We can only live in those parts of the multiverse where the laws of mathematics and physics allow stable information processing and reliable predictions. That is why physics and mathematics are so efficient **in our part of the multiverse.**

TIME  
↑

Physicists can live only  
in those parts of the  
multiverse where  
mathematics is efficient  
and the universe is  
comprehensible.



## Feeling lucky...

Our present position is extremely fine-tuned in terms of the cosmological evolution.  $10^{-8}$  AU (age of the universe) ago we did not even know that other galaxies exist.  $3 \times 10^{-9}$  AU ago we did not see the CMB anisotropy.  $10^{-9}$  AU ago we did not know about dark energy.  $3 \times 10^{-10}$  AU ago the Planck satellite did not yet fly. Happy epoch of great cosmological discoveries probably will be over in  $10^{-8}$  AU. We are creating the map of the universe which is not going to change much during the next billion years...

The fact that we were born just in time to participate in this magnificent process and witness great cosmological discoveries is a  $6\sigma$  anomaly, the one that we should be very happy about.

But is it actually an anomaly or a superselection rule? Cosmologists can only live at the time when investigation of the universe is possible and financially feasible.

Efstathiou, private communication 

