

The origin of UV / Optical  
Variability of AGN:  
Relationship to X-ray Variability

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# Main Questions

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- **What drives UV/optical variability in AGN?**
- **How is the X-ray band related to UV/optical?**
- **What do X-ray/UV/optical variations tell us about AGN inner structure?**

# Possible drivers of UV/optical Variability

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- **Reprocessing of higher energy photons**
  - which “high” energy? X-ray? Far-UV?
  - reprocessing off what? Disc? BLR?
- **Intrinsic disc variations**



# Observational Diagnostics

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- **Reprocessing** - High energies lead uv/optical by short (hour-days) light travel time.
  - Allows ‘reverberation’ mapping of reprocessing structures.
  - Measure lag from different temperature regions (different  $\lambda$  ).
- **Intrinsic disc variability** – High energies lag: two possibilities
  - **Long lag** (months), viscous propagation timescale for perturbations to reach X-ray region from optical in disc
  - **Short lag** (hour-day), light travel time of UV seed photons to corona

# REPROCESSING

## Wavelength dependence of lags

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For standard Shakura-Sunyaev **DISC**,  
dissipating gravitational potential energy

$$L(R) = \sigma T^4 \propto M_{BH}^{-1} \dot{m}_E R^{-3}$$

(  $R$  in gravitational radii)

i.e.

$$T \propto M_{BH}^{-1/4} \dot{m}_E^{1/4} R^{-3/4}$$

Disc illumination from point source, height **H** above disc,  
also falls off as  $H R^{-3}$

So for reprocessing  
from disc, we expect

$$Lag \propto Wavelength^{4/3} \quad (\text{eg Cackett et al 2007})$$

and

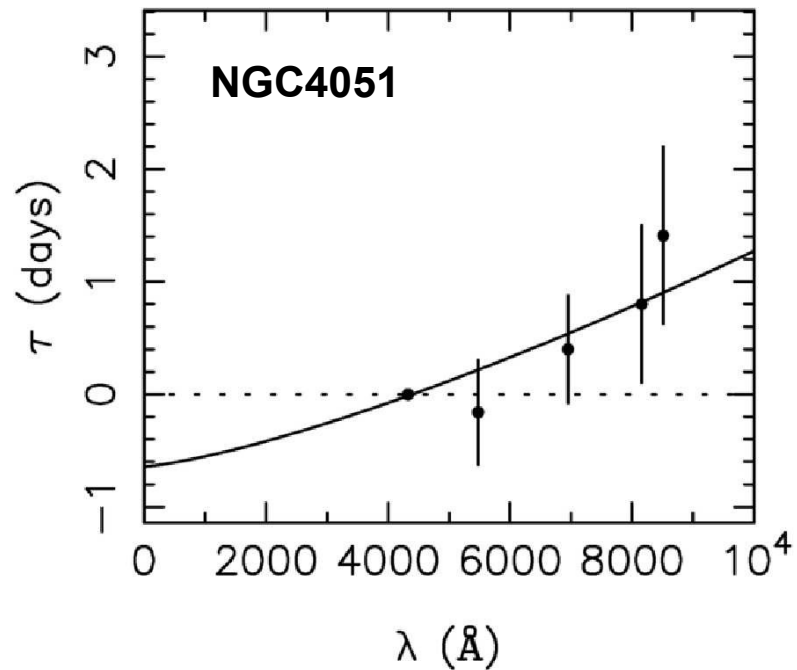
$$Lag \propto M^{2/3} \dot{m}_E^{1/3}$$

For illumination of a **shell**-type structure, eg the BLR or torus,  
illumination falls off as  $R^{-2}$  giving

$$Lag \propto Wavelength^2$$



# Optical interband lags

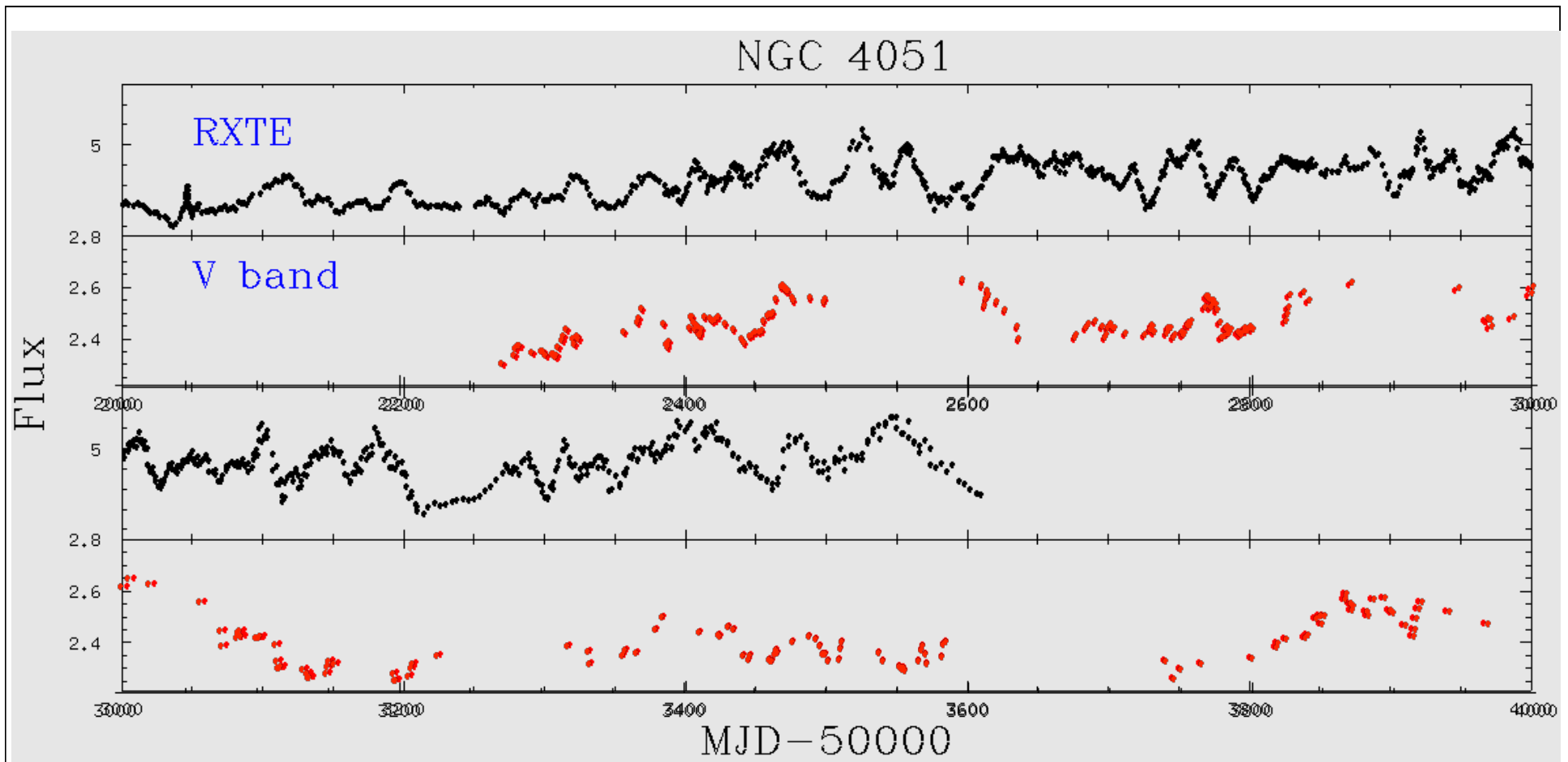


**Consistent with reprocessing from a disc but no link to high energies**

(Cackett et al, 2007;  
Sergeev et al 2005,6)



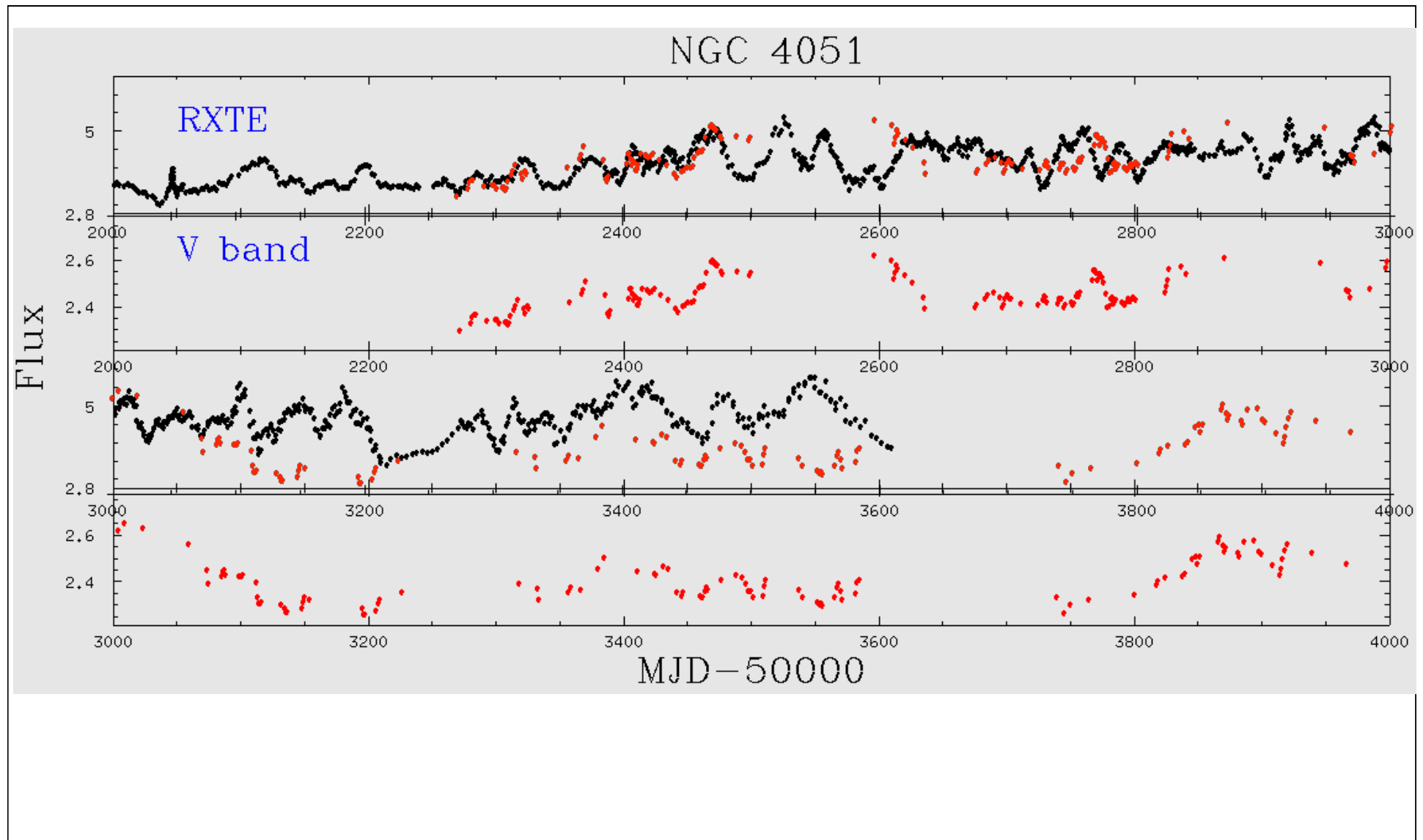
# The X-ray / Optical lag



Many RXTE + ground based optical programmes; eg Breedt et al 2010



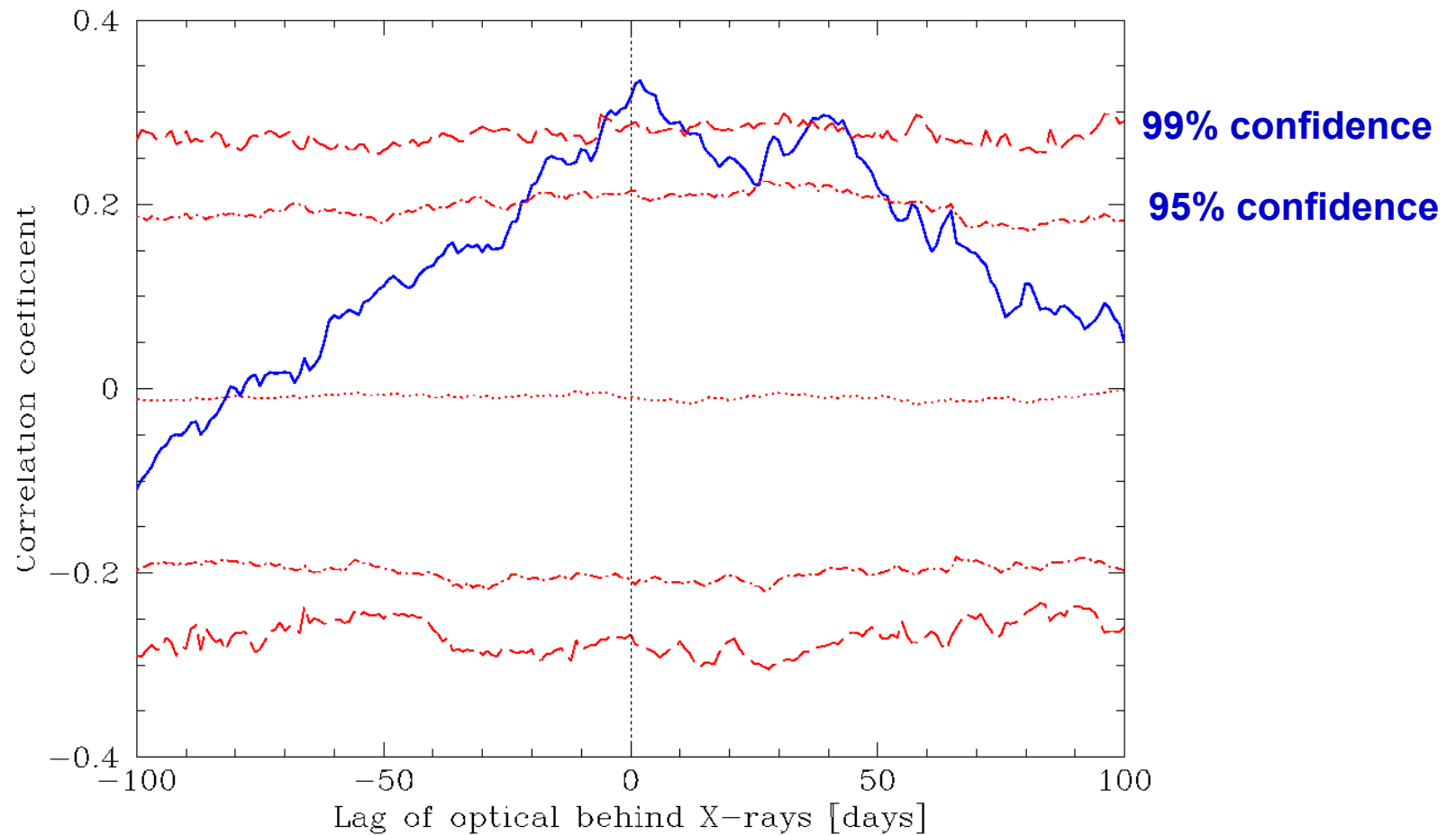
# NGC 4051







# NGC4051



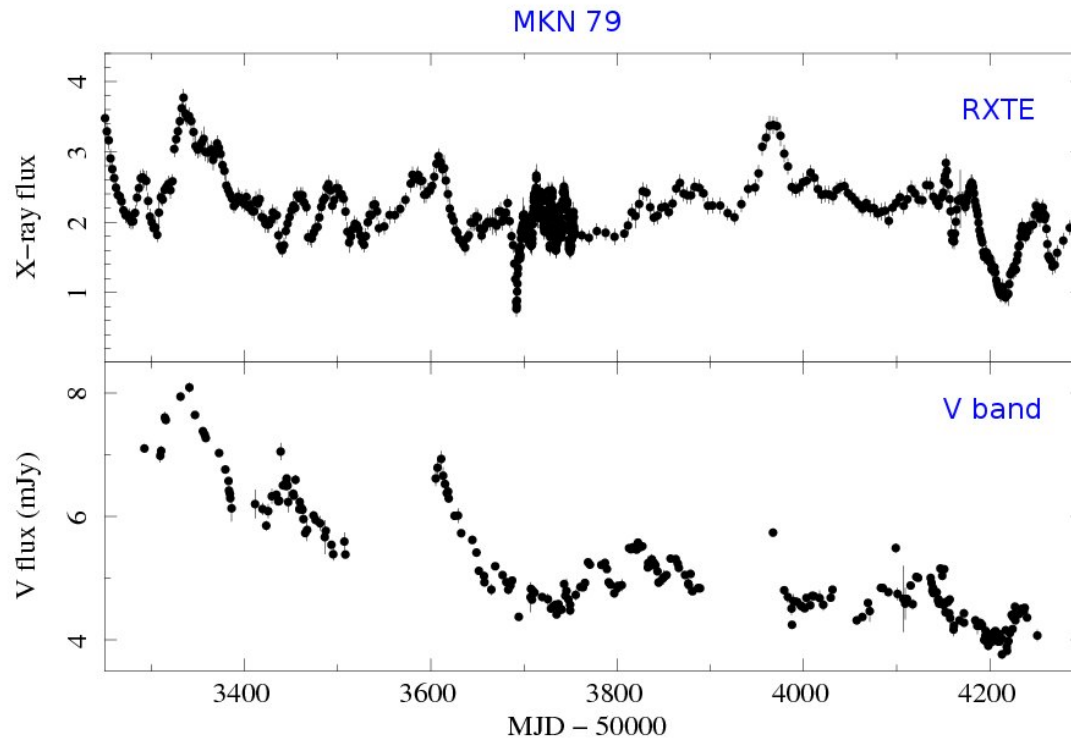
**Optical lags by  $1.5 \pm 0.5$  d**

(Possible secondary longer (40d) lag – torus?)

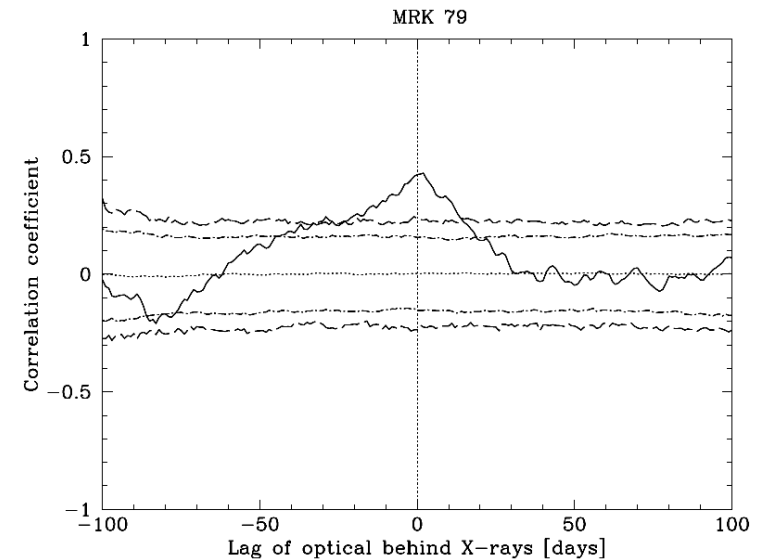
Breedt et al 2010



# MKN 79



(Breedt et al, 2009, MNRAS)



**CCFs often asymmetric**  
**-more than one variability component**

**Long timescales (years)**

**- poorly correlated behaviour. Intrinsic disc variations in optical?**

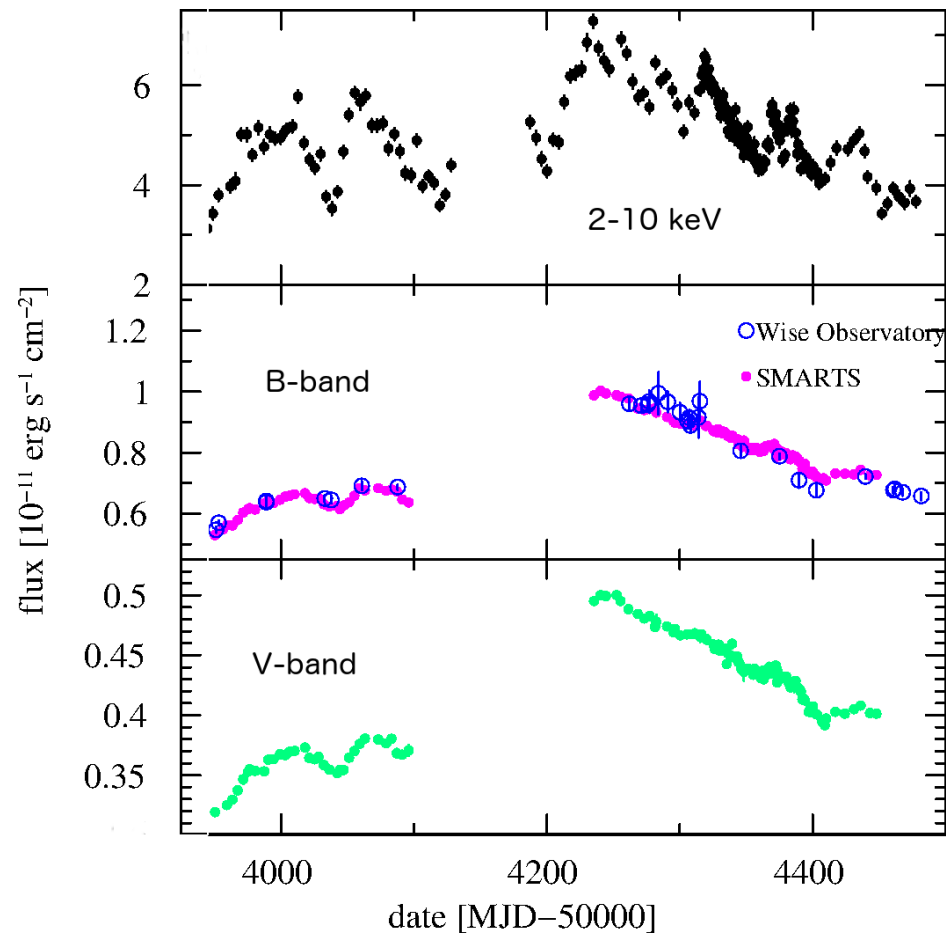
**Short timescales (days-weeks)**

**- well correlated. Usually a hint of optical lagging by ~day, but large uncertainty**



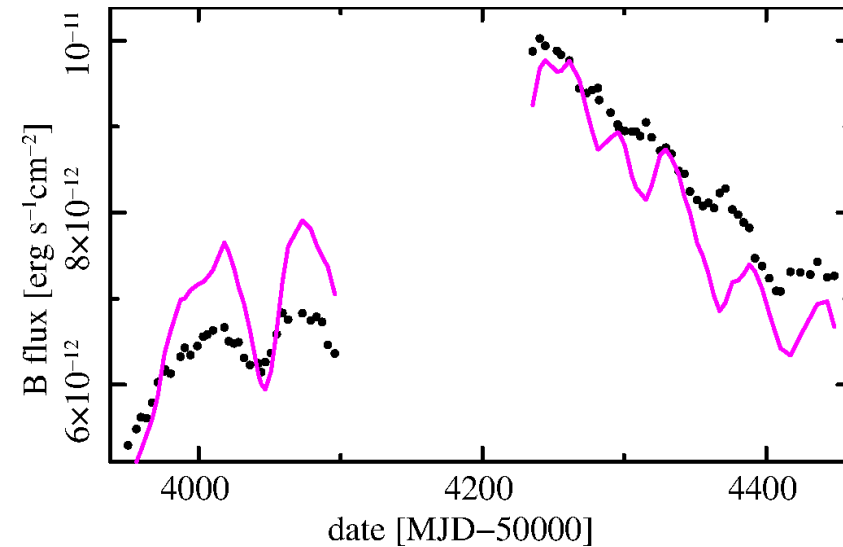
# Problems with reprocessing from a disc

MR2251-178  
Arevalo et al 2008



Observed B-band lc (black dots) is smoother than model lc (purple)

Kasanas+Nayakshin 2001  
Arevalo et al 2008, 2009  
Gardner+Done 2016



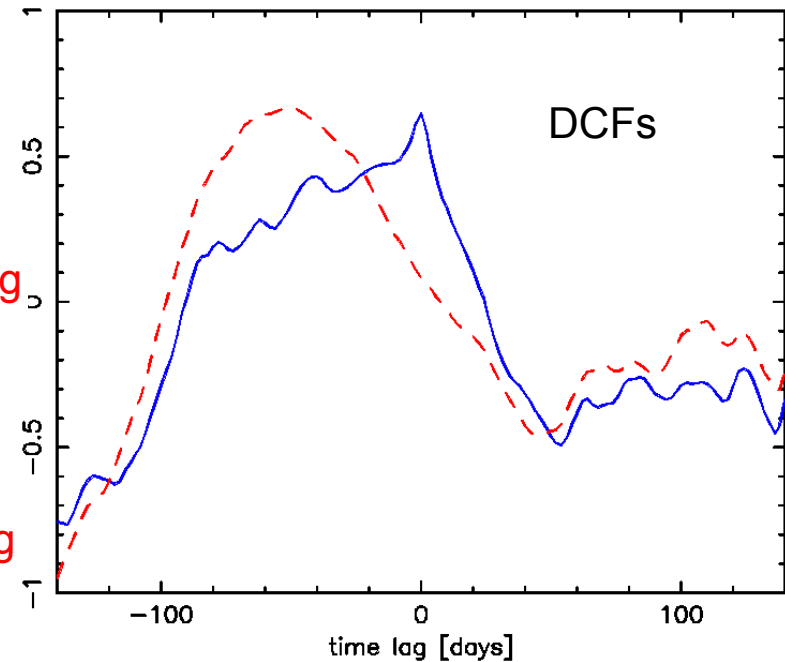
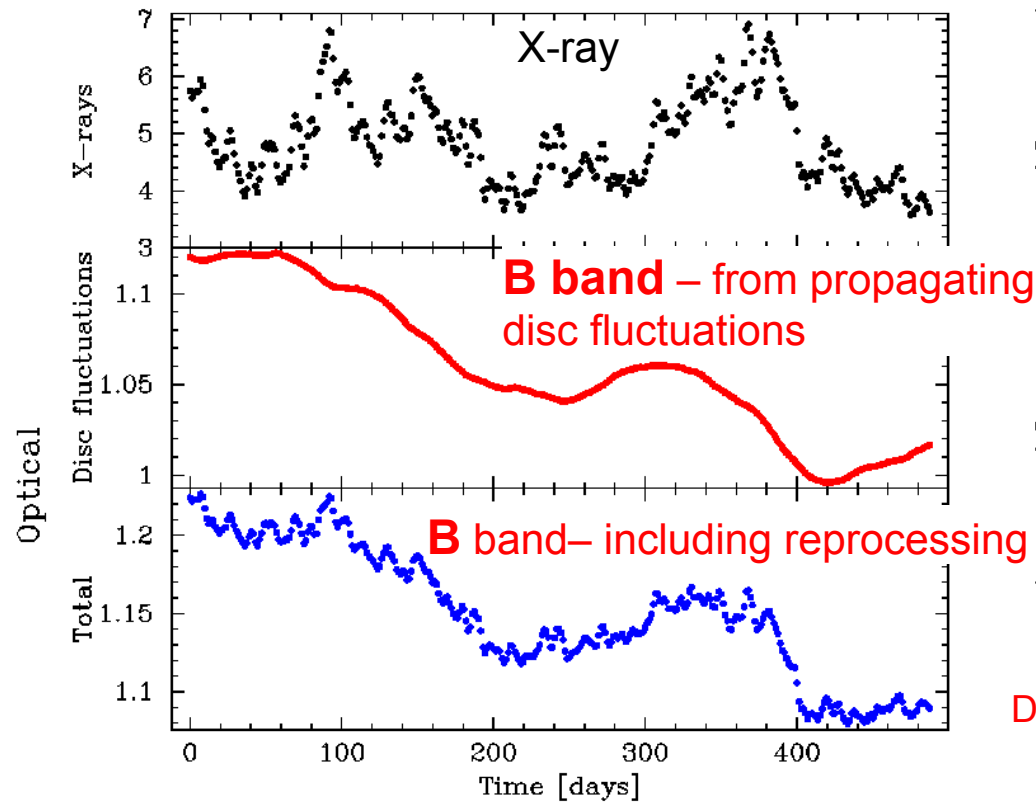
**Need illuminating source scale height  $\sim 100 R_g$   
for adequate DISC illumination  
– much larger than measured for X-ray corona**

(eg Emmanoulopoulos et al 2014; Cackett et al 2014)



# Caveats interpreting CCFs

## Arevalo et al 2008



DCF X-ray vs B from propagating disc fluctuations  
(B leads)

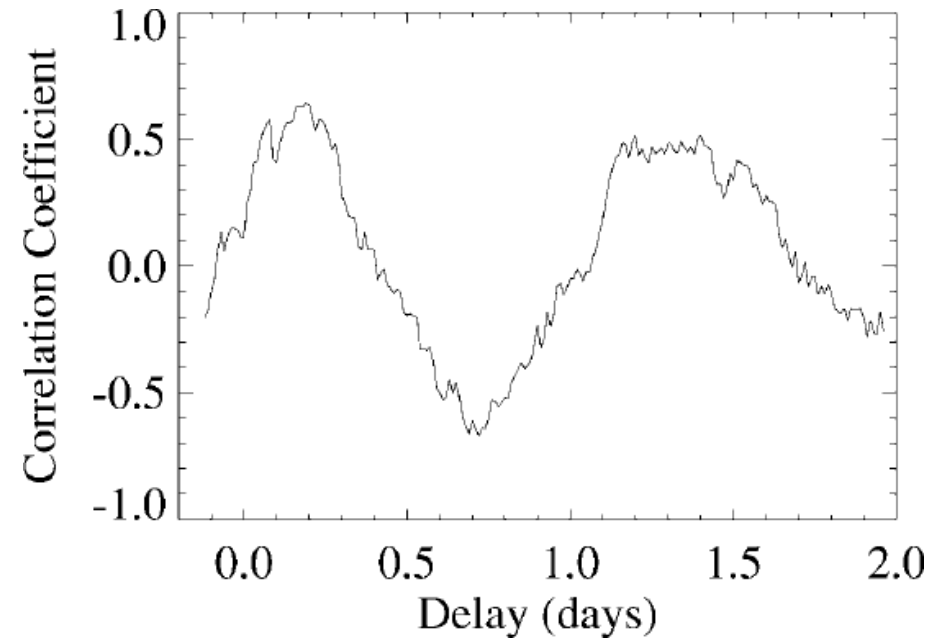
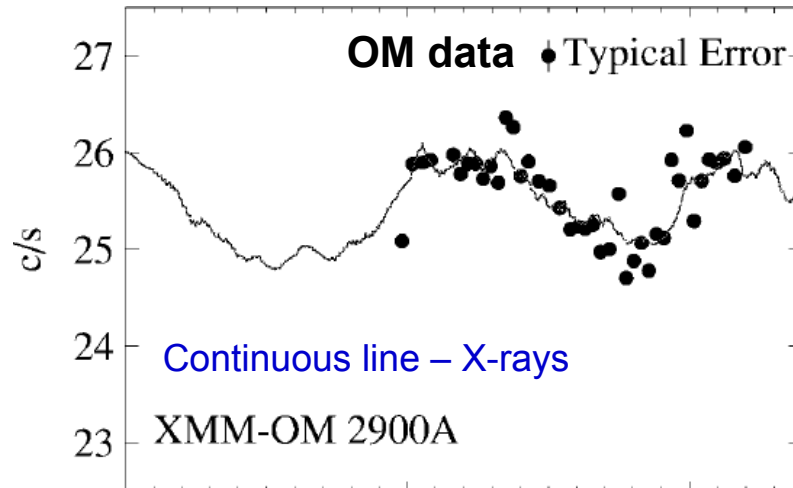
DCF X-ray vs B including reprocessing  
(zero peaked)

From Arevalo et al 2008: a small contribution to optical light from reprocessing of X-rays pulls the peak of the DCF close to zero lag, but DCF is asymmetric due to 2<sup>nd</sup> component

See also Gardner and Done 2016



# Better Short Timescale Sampling: NGC4051 XMM and RXTE X-rays vs. XMM OM UVW1



UV lightcurve reasonably (85% confidence) described by reflection from broad ring at 0.2 light days.

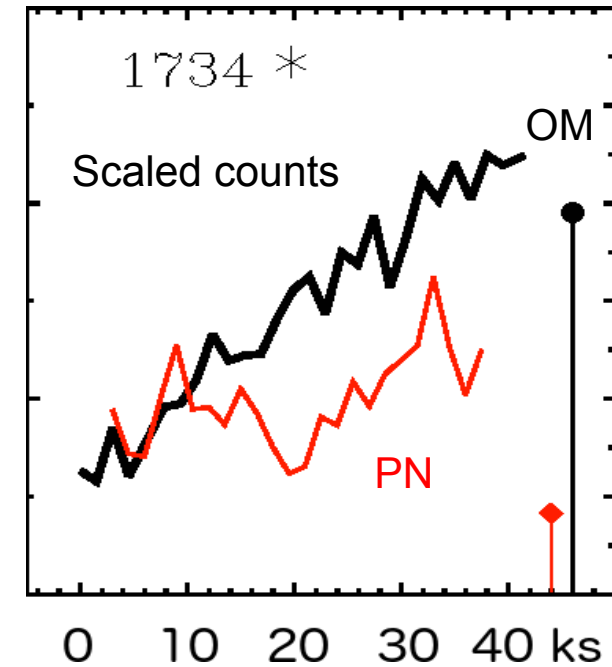
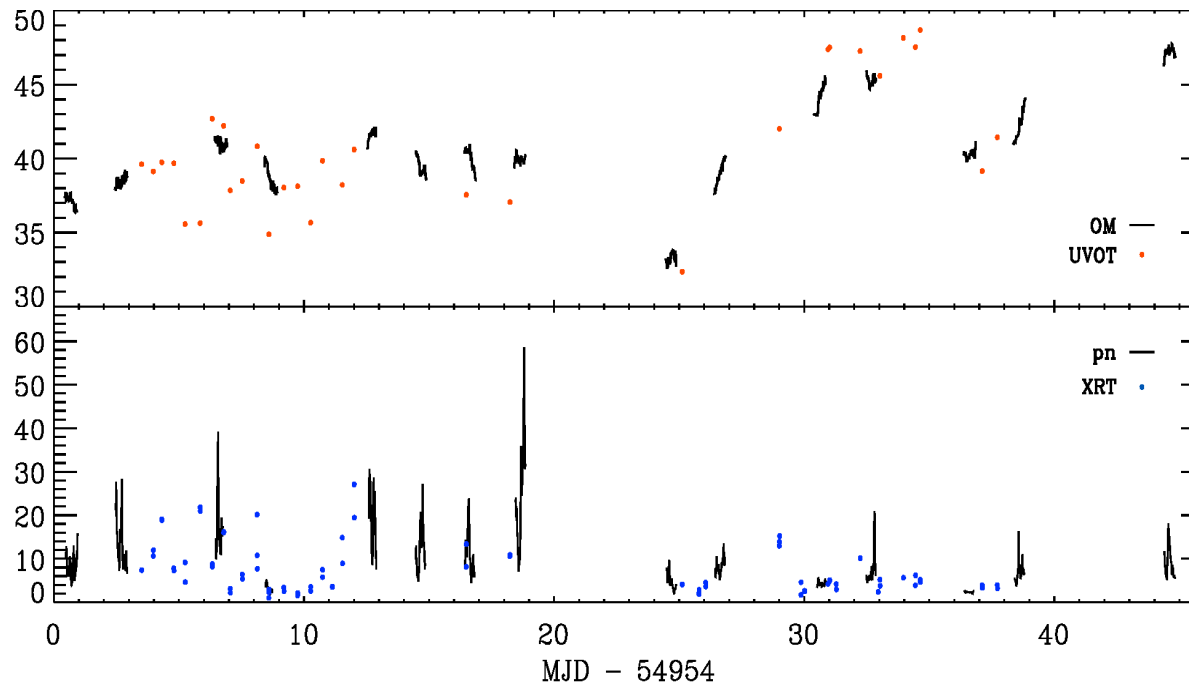
Mason et al 2002

( $M=1.7 \times 10^6$ )

OM in imaging mode.  
~1200 s resolution



# NGC4051 XMM-Newton Alston et al 2013

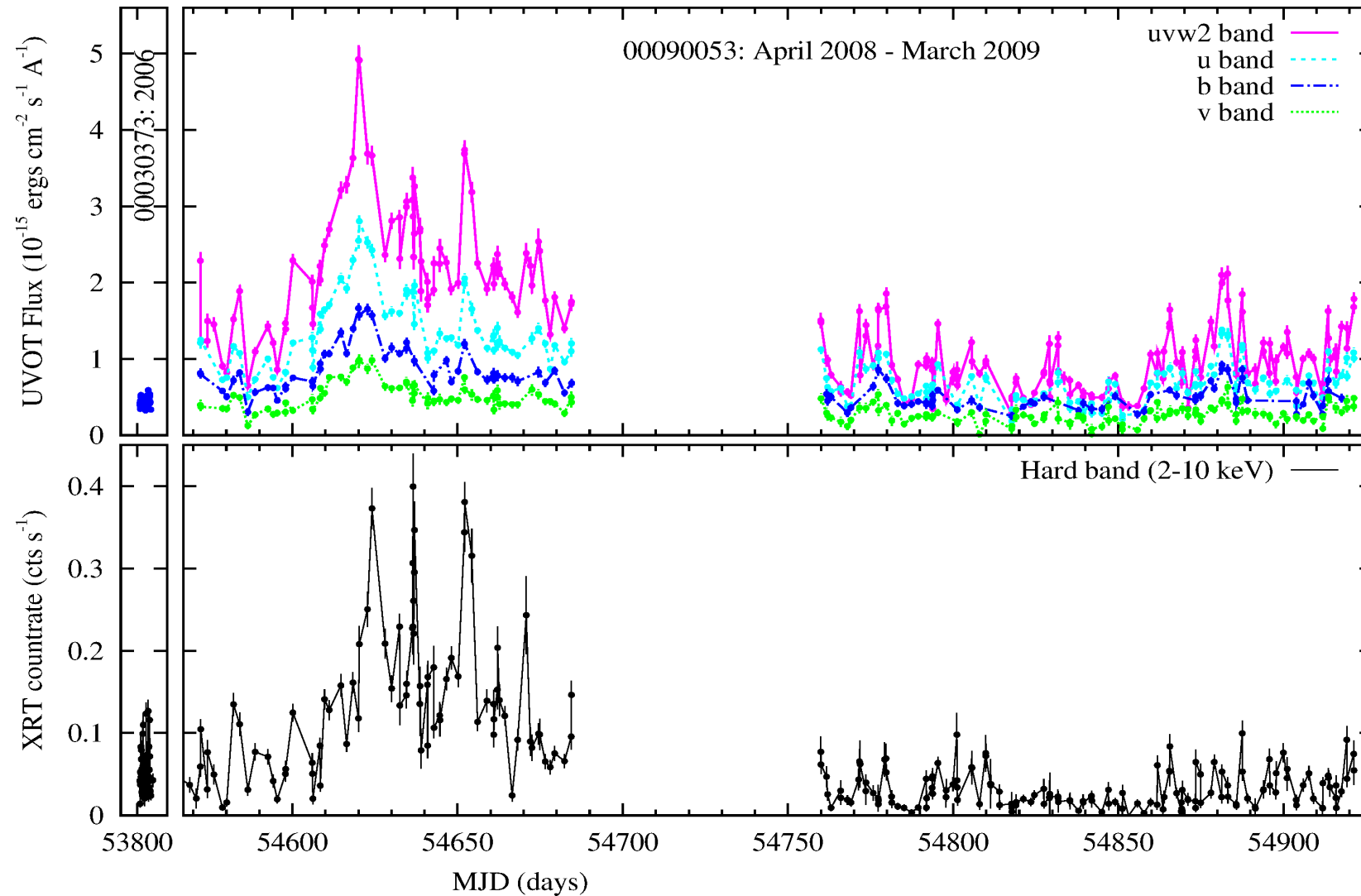


Large UVW1 variations on short timescales.

Tentative conclusion: UVW1 lags X-rays by 3 ks



# NGC4395 Swift (Cameron et al 2012)

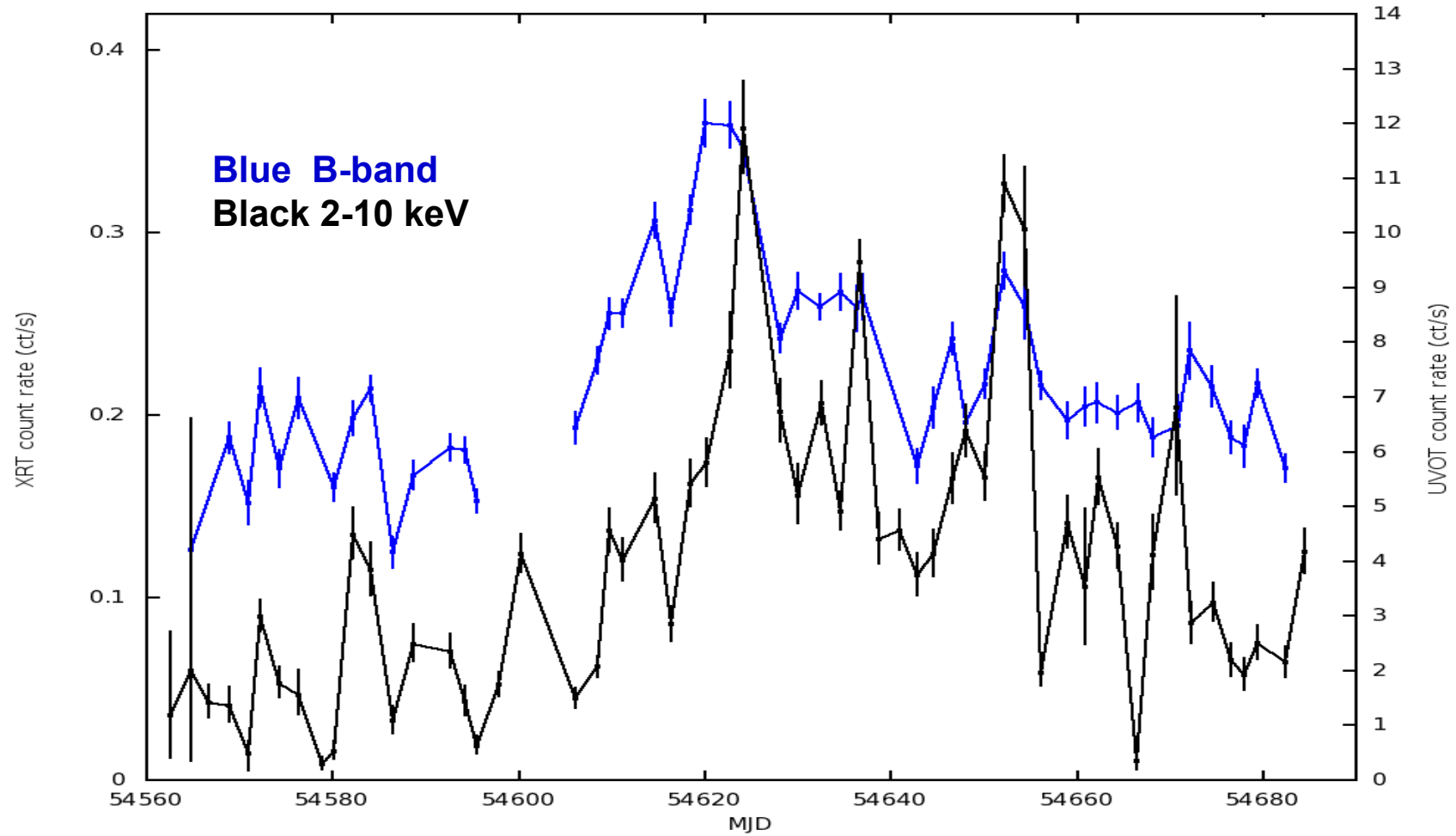


**Strong X-ray/UV/optical correlation (2d sampling)**

( $M=3.6 \times 10^5$ , low  $\dot{m}_E \approx 0.1\%$ )



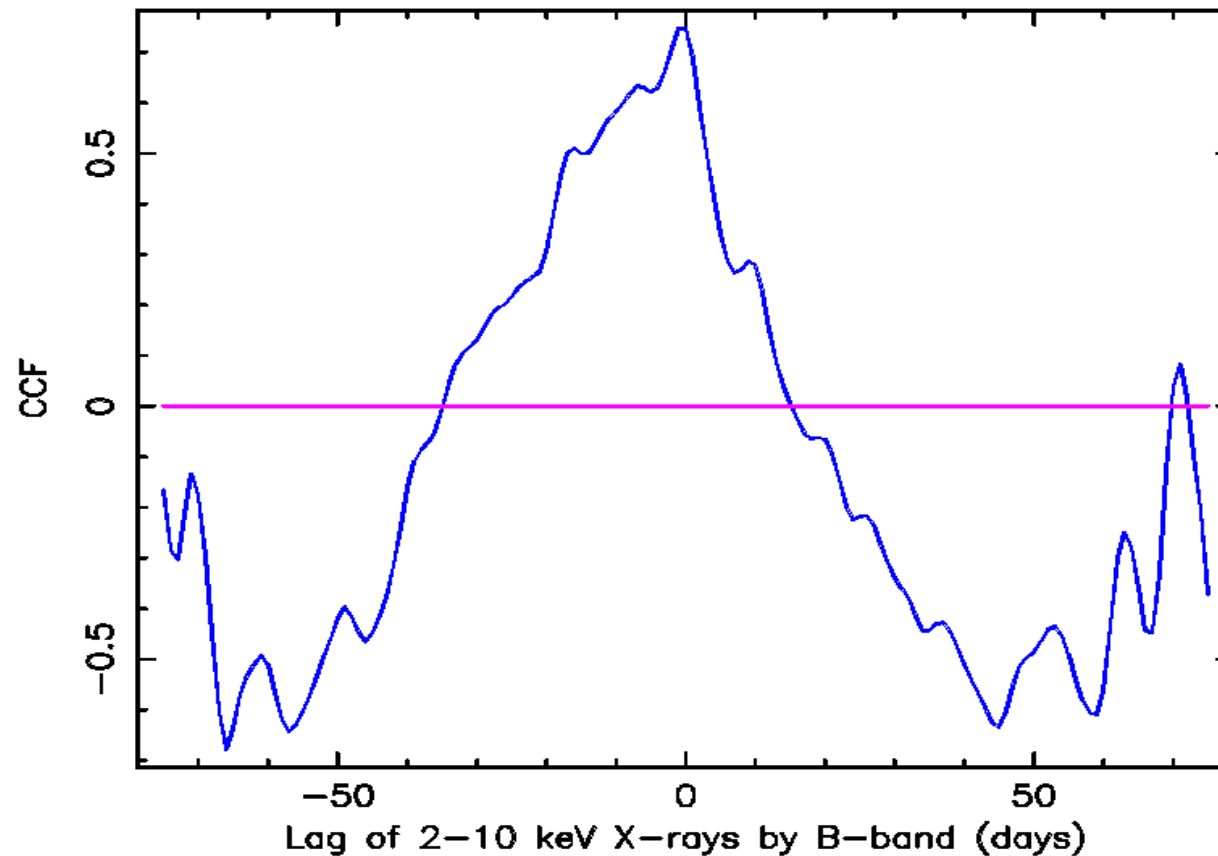
# Swift: NGC4395







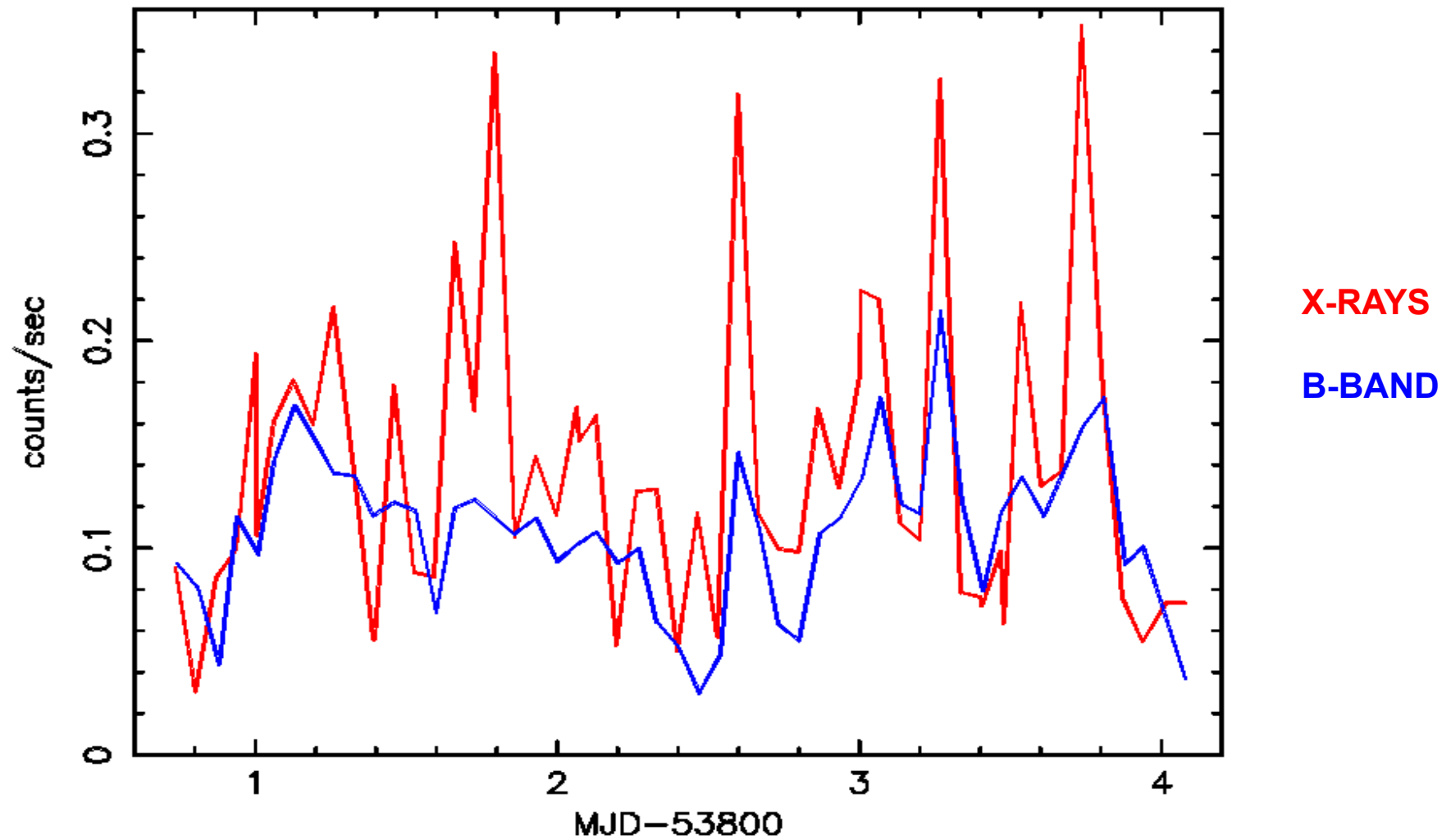
# Swift X-ray/B-band CCF



**No measurable lag of peak**

(but asymmetry towards B-band lead)

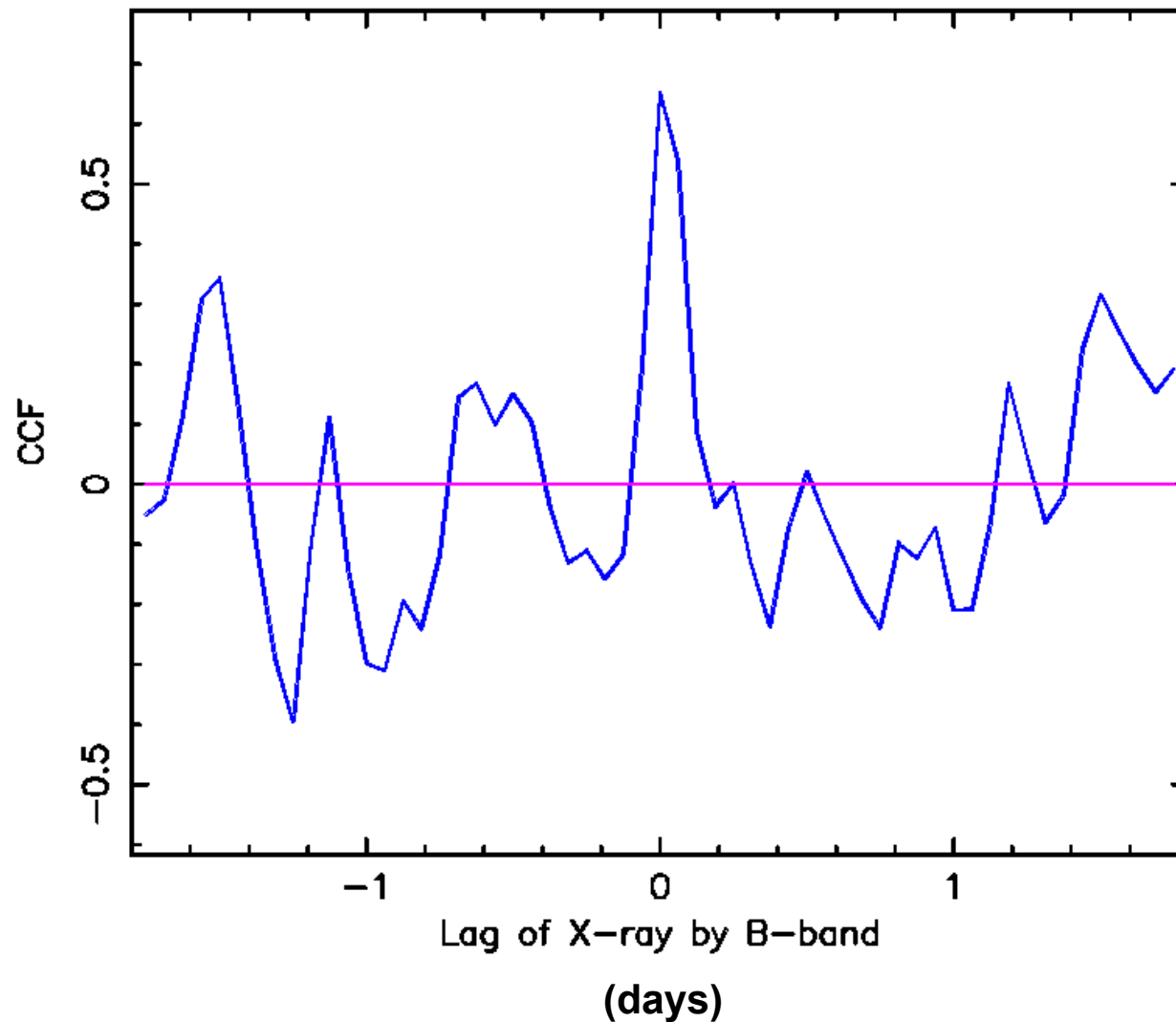
# Better sampled data: Swift NGC4395



Cameron et al 2012, MN, 422, 902



# NGC4395: Short timescale CCF

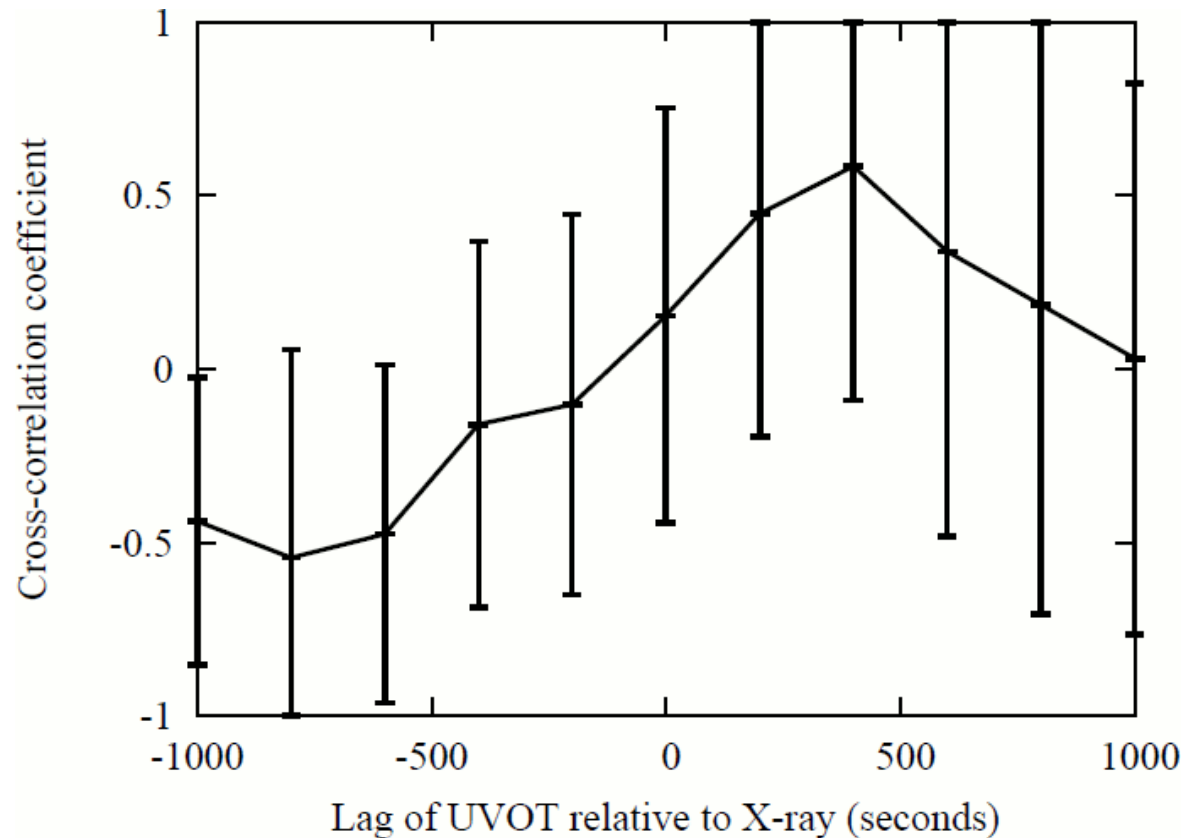


Swift orbital sampling (96min) still not good enough to measure very short lag accurately.

suggesting reprocessing, but not confirming



# NGC4395: Very Short timescale CCF



**Looking within individual Swift visits (~1ks observations)  
Hint that uvw2 lags X-rays by ~400s but large uncertainty**

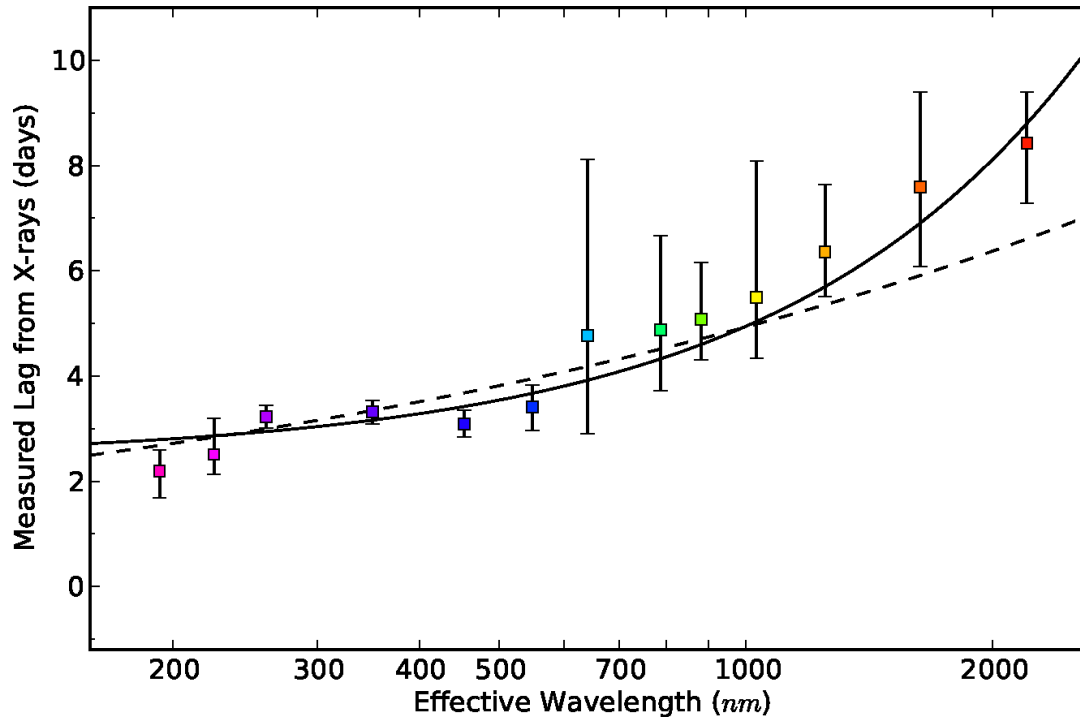
**Will return to this lag with XMM-Newton observations later..**



# Multiwaveband Lags



# NGC2617 – Swift + Ground



Shappee et al,  
2014

$M \sim 4 \times 10^7$

~60 observations  
per band

Longer wavelengths  
smoothed as well  
as lagged

For  $\text{lag} \sim \text{wavelength}^\beta$

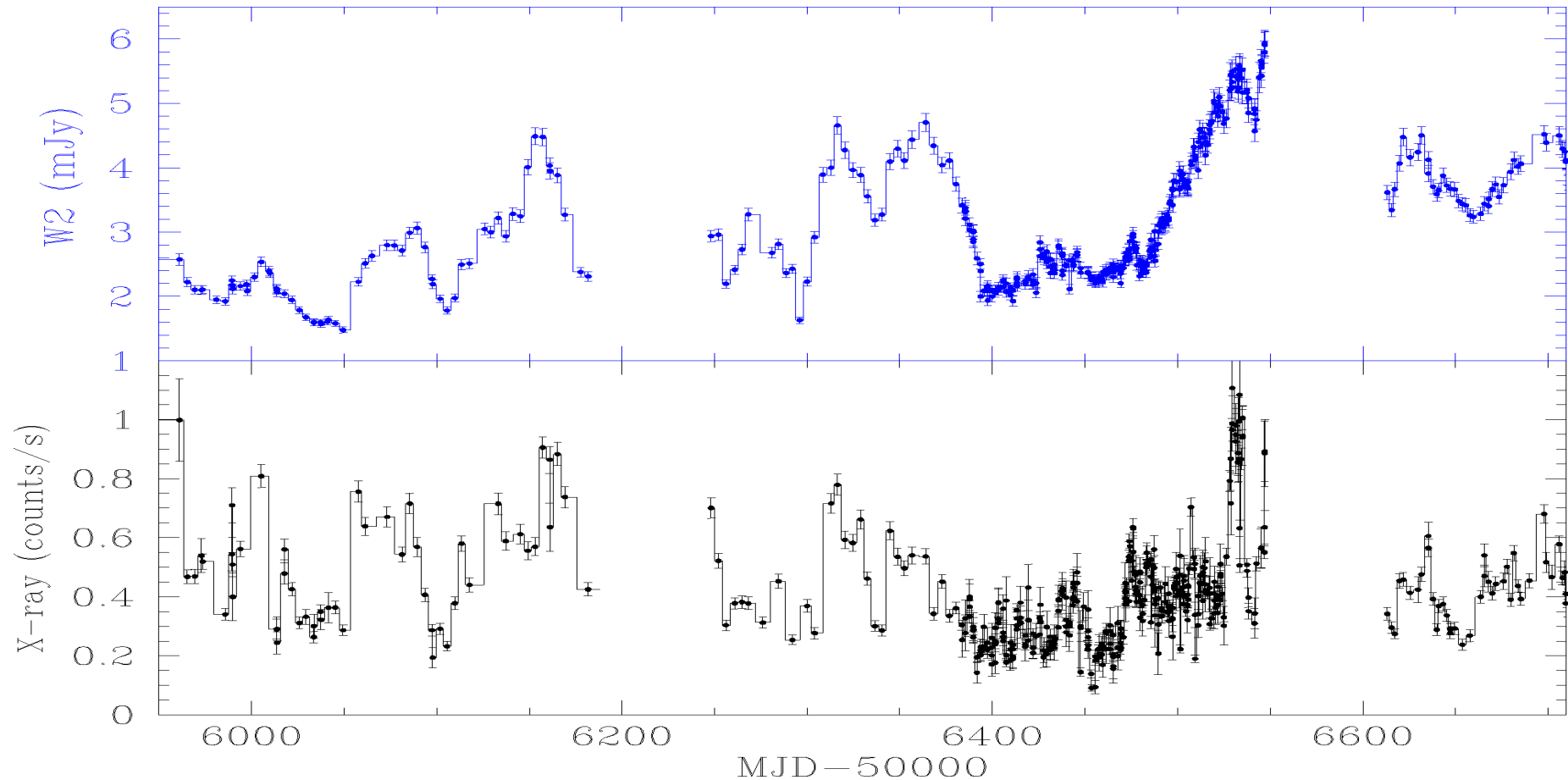
Dashed line goes through X-ray point but  $\beta = 0.37$ , inconsistent with reprocessing

Solid line has  $\beta = 1.18$  but is offset from X-ray point by 2.4d

**Is this offset real?**



# Swift Monitoring of NGC5548: First Campaign: (> 500 observations)



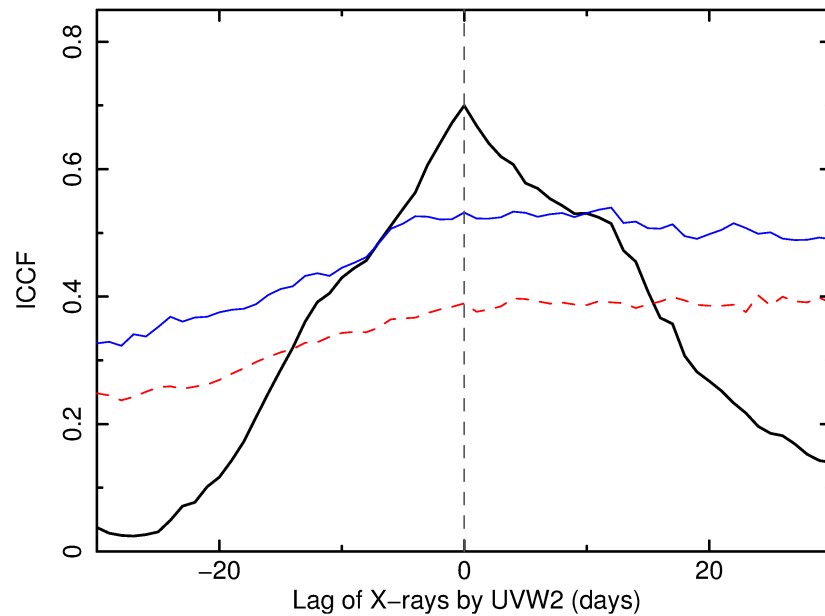
**Good correlation, but not perfect, eg large W2 rise after day 6480**

(McHardy et al, 2014, MN)

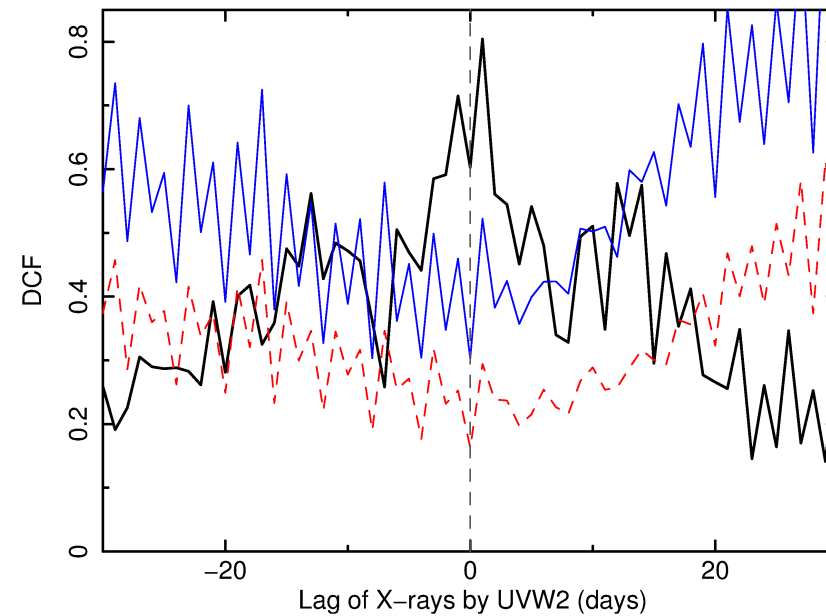


# X-ray / W2 Correlations

## All of the data



**ICCF**



**DCF**

**Lag close to 0 day, but hard to be certain. Possibly W2 lags slightly.**

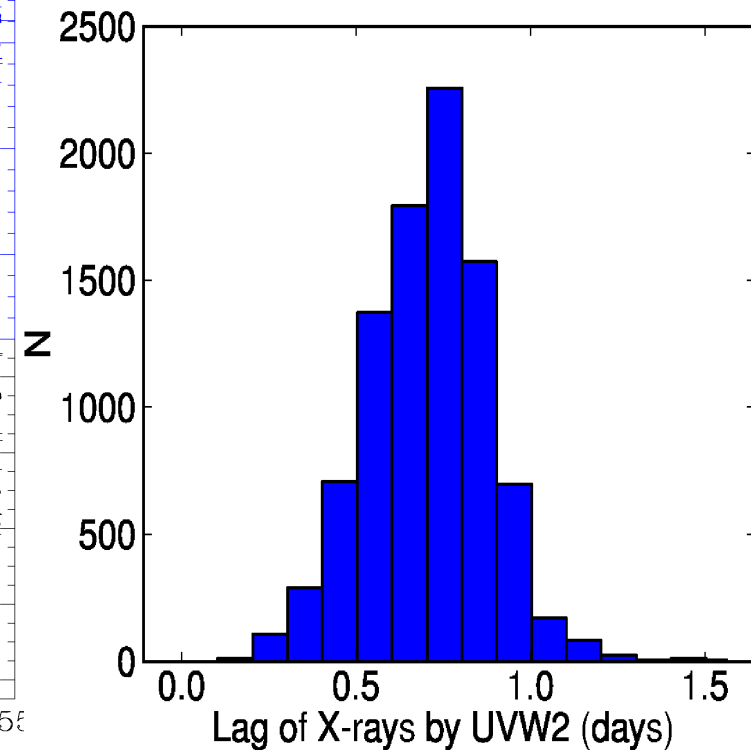
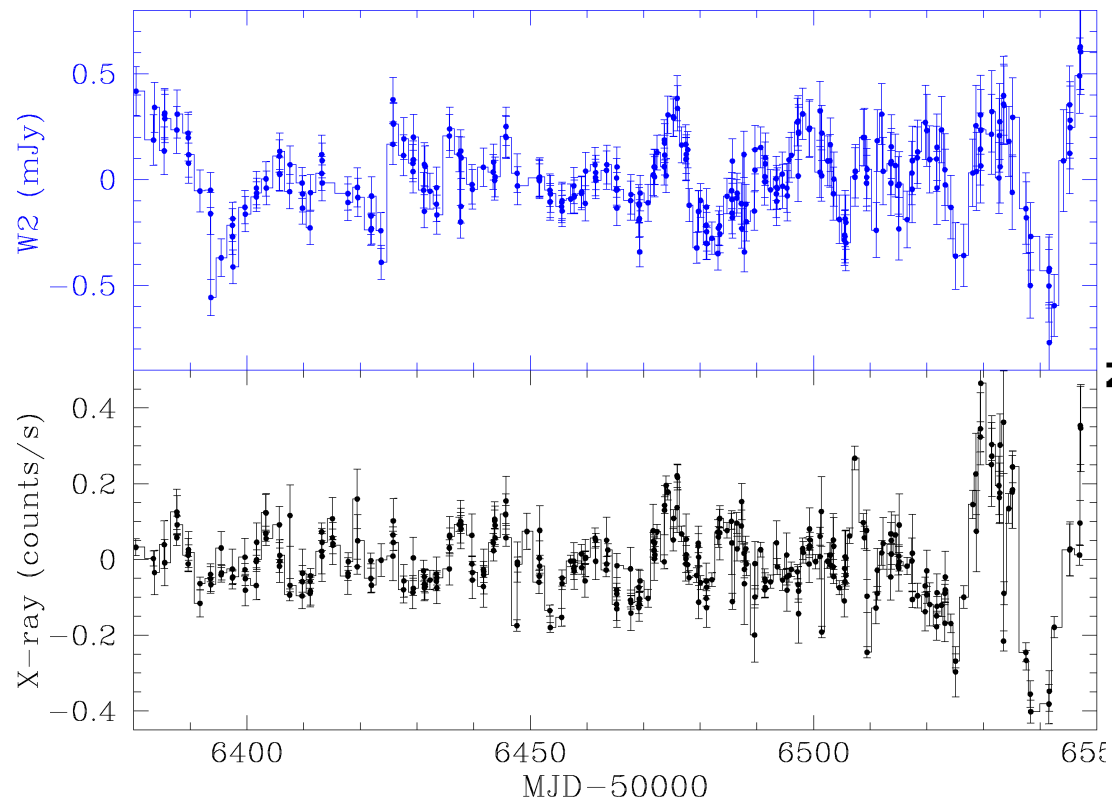




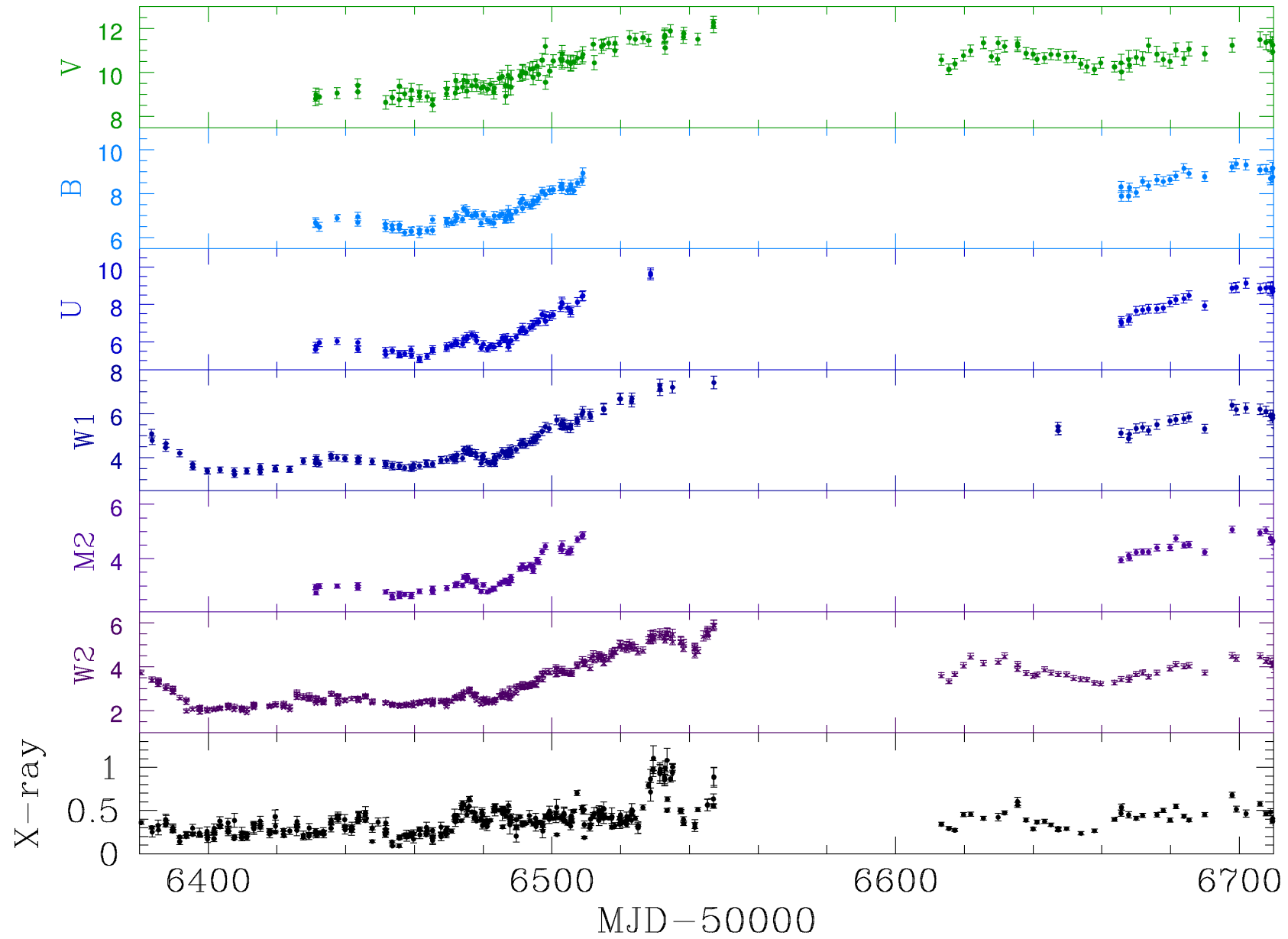
# Lag of X-rays by UVW2

Mean-subtracted lightcurves  
Intensively sampled period

Lag distribution  
(Javelin – Zu et al 2011,13)



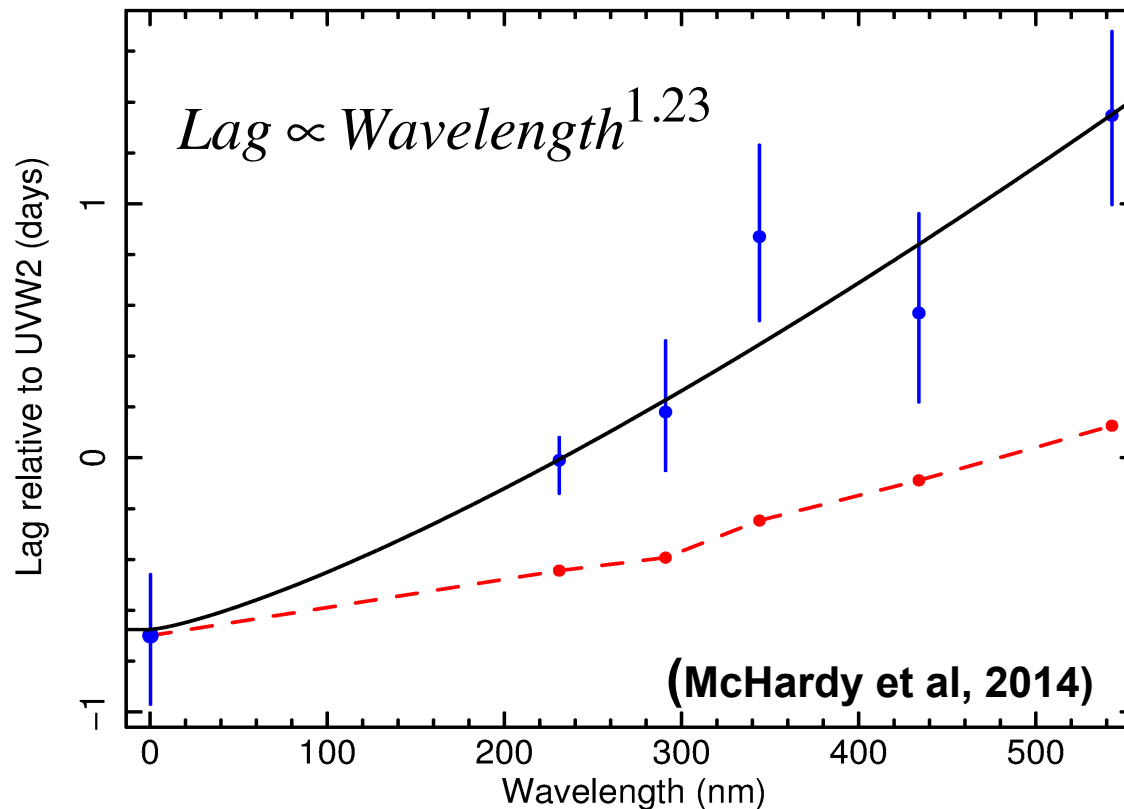
Complex long timescale variations, which are different in different bands, can distort short timescale lags (eg Welsh1999) so are removed.



**NGC5548: In period when X-ray is not identical to UVW2 on long timescales, all UV and optical bands are similar – Mch et al 2014**



# Lags as function of wavelength



Expect 4/3 power for Shakura-Sunyaev disc. So good agreement.

Fit goes through X-ray point

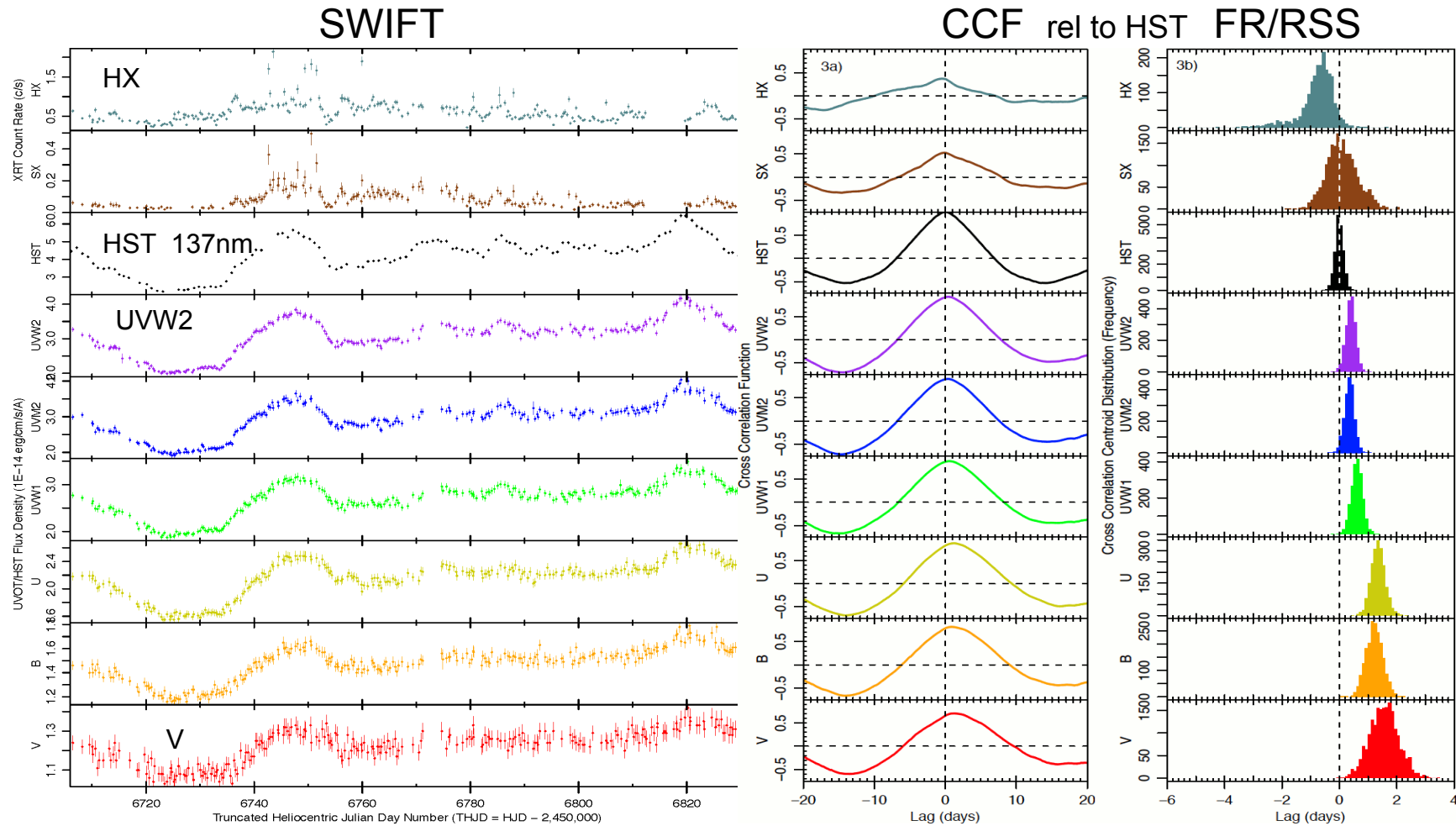
BUT ... observed lags are longer than expected for the Mass and  $\dot{m}_E$

Red line is time for HALF of reprocessed light to arrive.

Microensing obs (eg Morgan et al 2010) also require larger disc than SS model  
 Hotter than expected disc (eg higher  $\dot{m}_E$ , higher  $L_x$ )?  
 Inhomogeneous disc (Dexter and Agol 2011)?



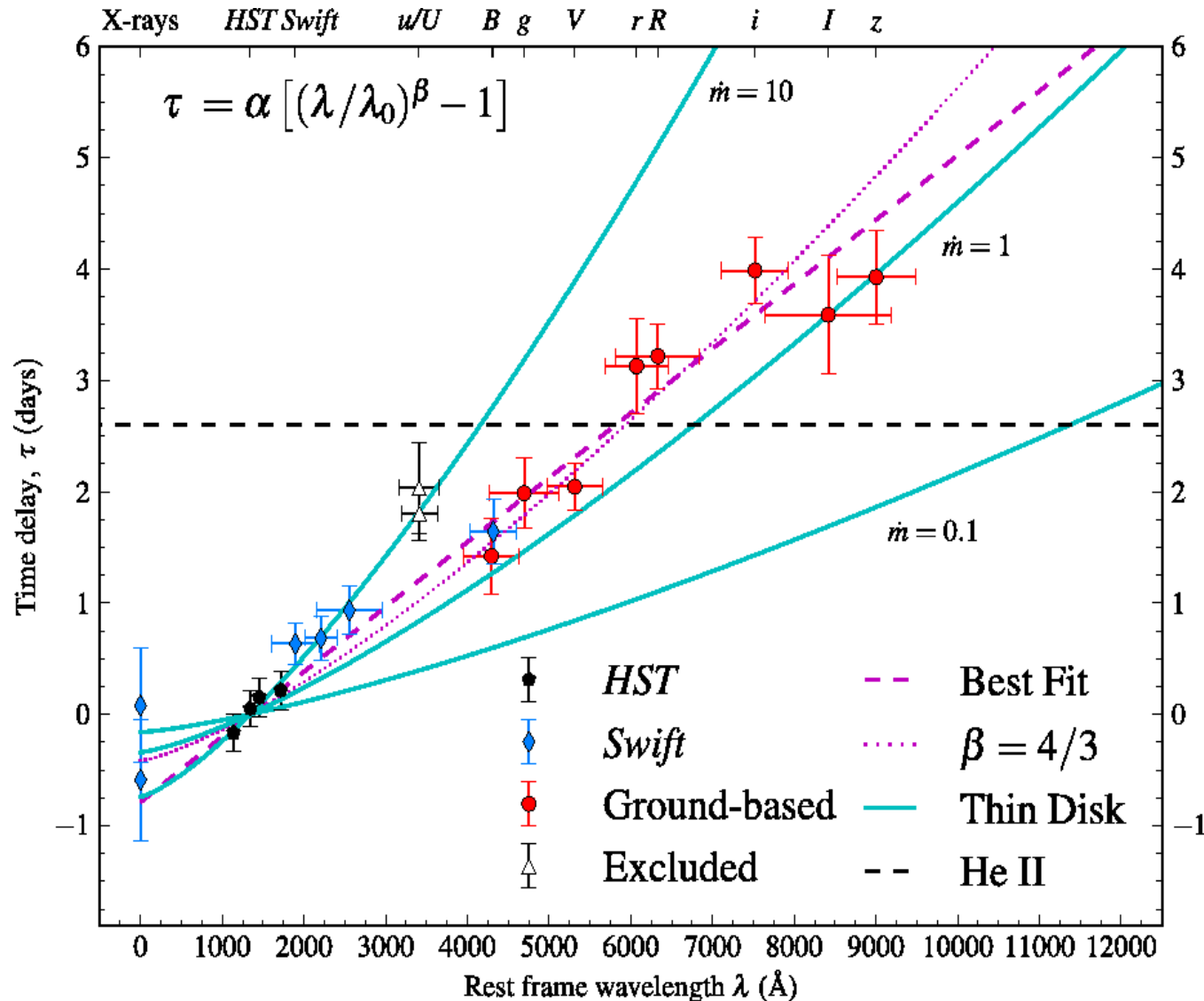
# NGC5548: Edelson et al 2015; Fausnaugh et al 2016



Similar long term trends in UV/optical not seen in X-rays  
Using all data, HX may lead SX



# Fausnaugh et al NGC5548 lags

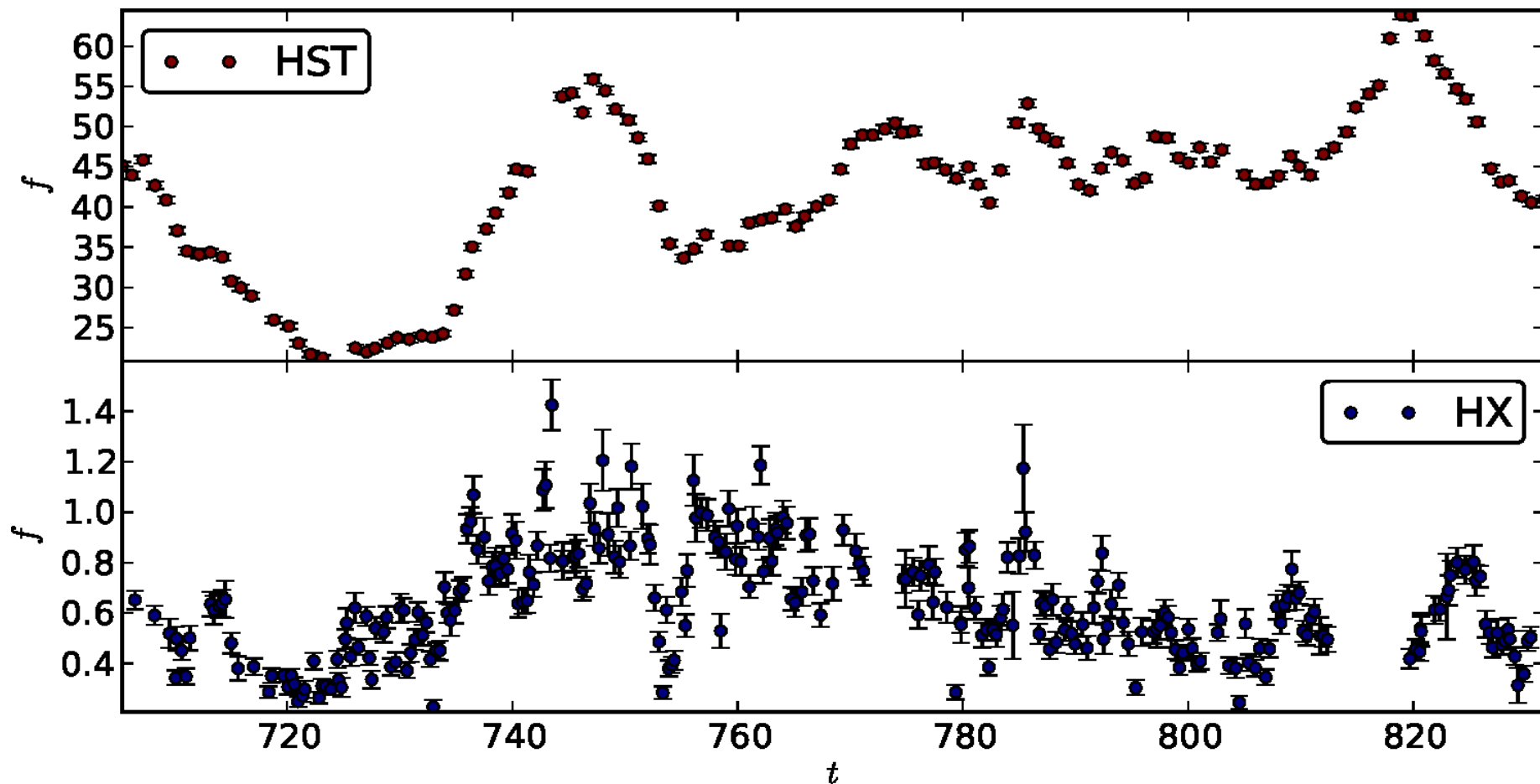


For disc model,  
disc either too big  
or too hot.

Excess in u and i from  
Balmer and Paschen  
continua  
(Korista+Goad 2001)



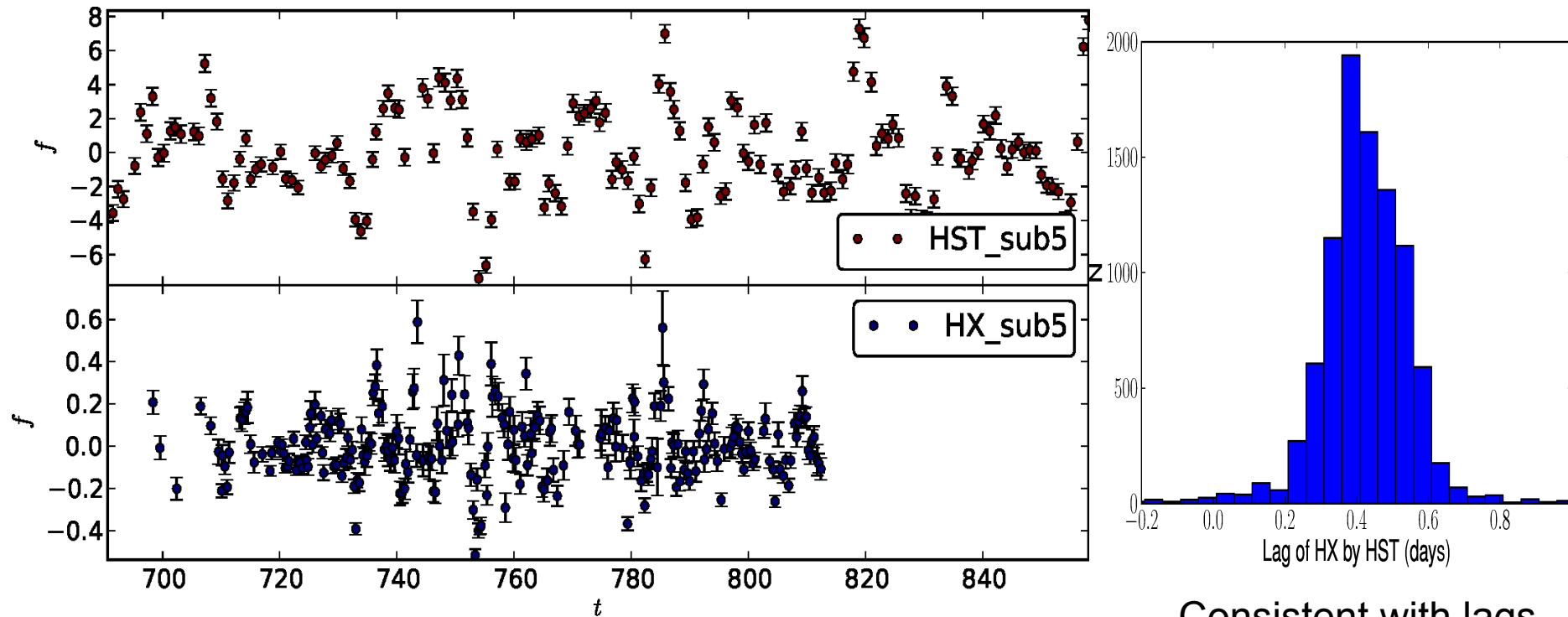
# NGC5548: X-ray / UV link



Raw HST (1367A) and Swift X-rays above 0.8 keV



# NGC5548: Detrended HX-ray and UV

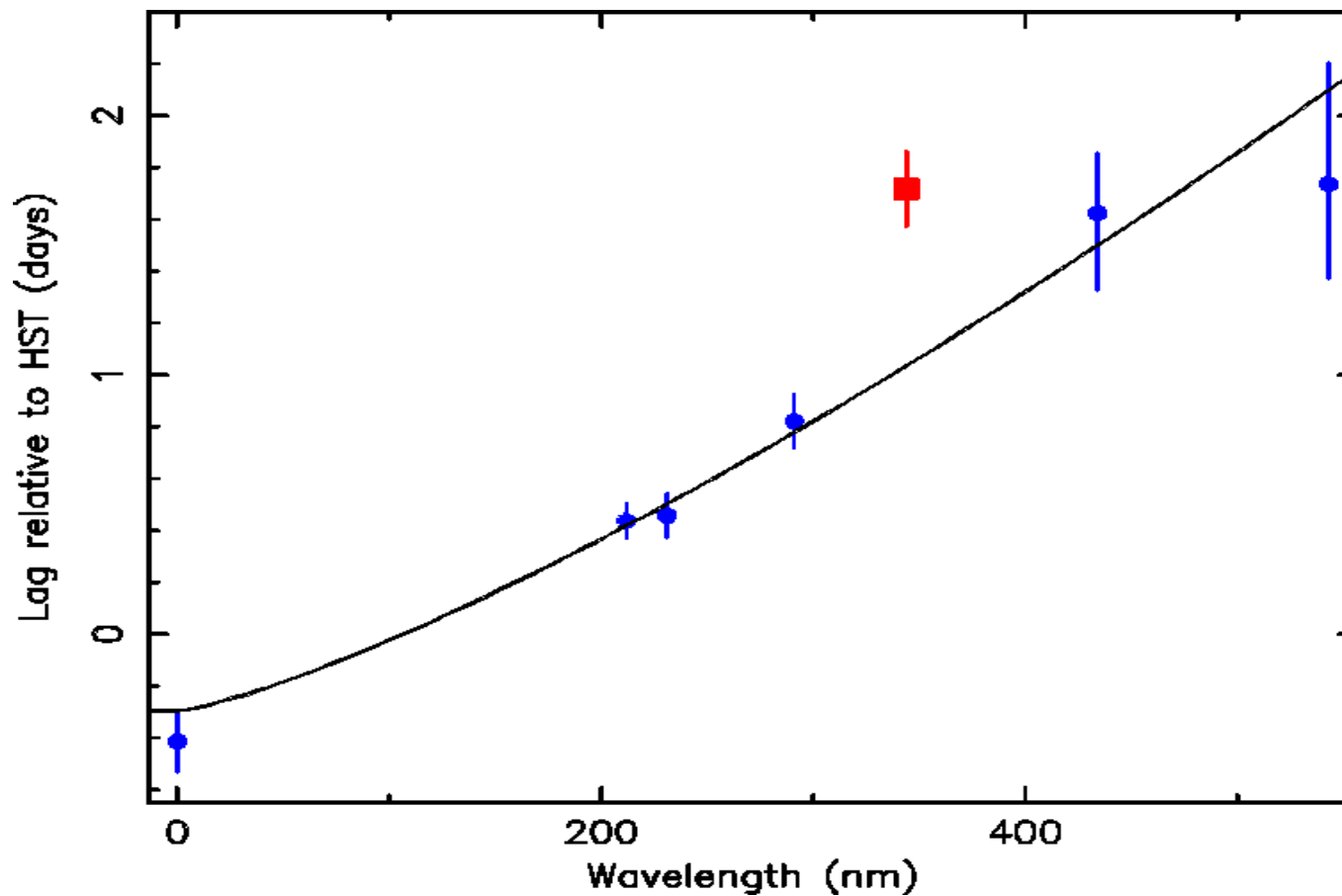


Consistent with lags  
in McH et al 2014

Removal of boxcar mean of full width 10d



# NGC5548: lag vs wavelength



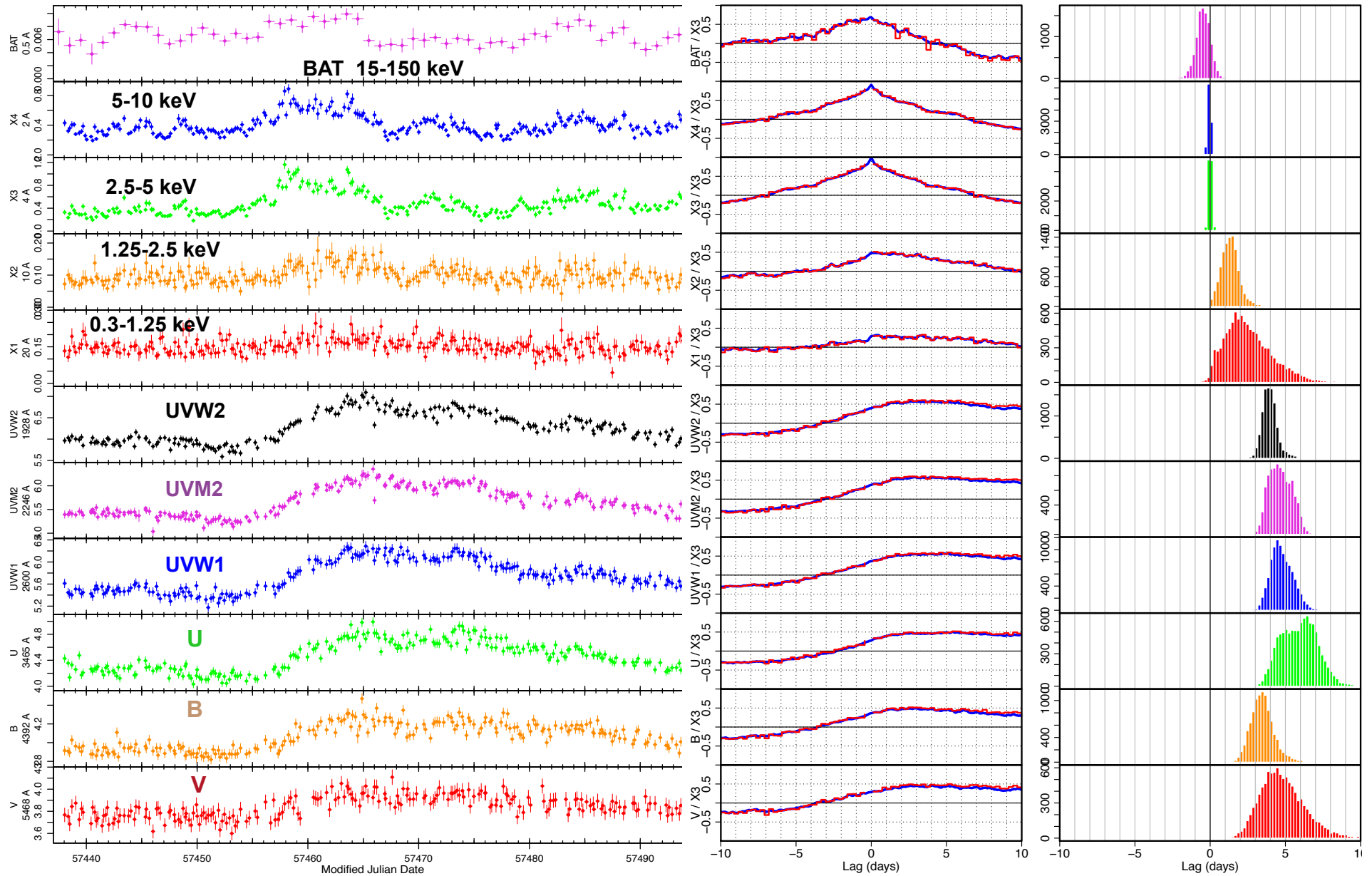
Fit does go through the X-ray point with  $\beta=4/3$ .



# NGC4151: Swift

Edelson et al, in prep

ICCF rel to 2.5-5 keV FR/RSS



## Question:

**Do the short timescale X-ray variations correlate similarly with the UV/optical in all AGN and, if so, what is the lag?**

**Swift can study one, or maybe 2 AGN per year.**

**Fastest (orbital) sampling is ~ 96 minutes.**

**Hard to measure lags less than a few hours,  
ie restricted to AGN with  $M \geq \text{few} \times 10^6 - 10^7$**

**For shorter lags (lower  $M$ ,  $\dot{m}_E$ ) we need XMM-Newton**

# XMM and ground based monitoring of NGC4395 (x100 lower mass than NGC5548)

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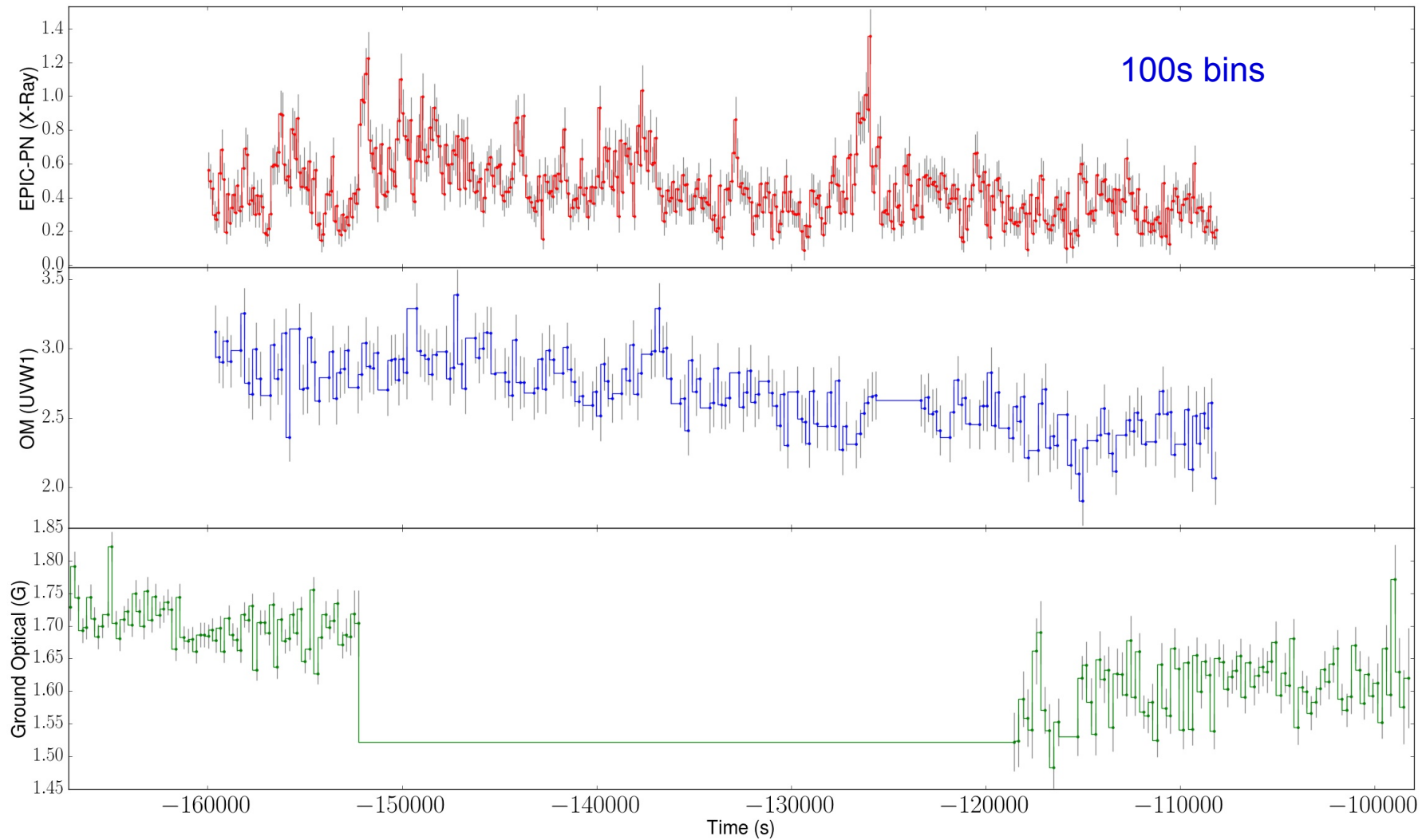


OM used in very fast (sub-second) readout mode  
using UVW1

Ground based **g-band** monitoring around globe.

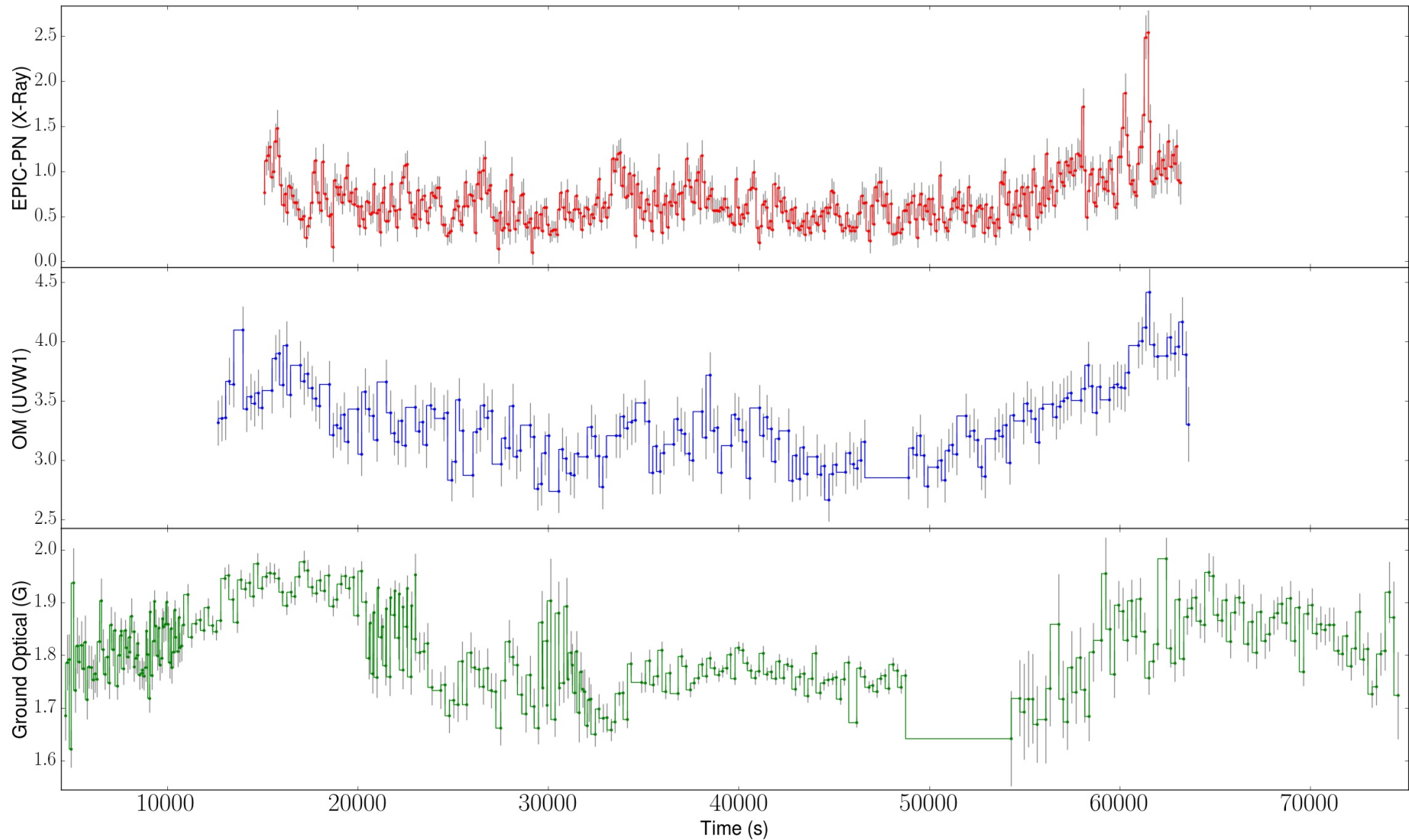
(McHardy et al 2016 and Connolly et al in prep)

# XMM and ground based monitoring of NGC4395



28-29 December 2014

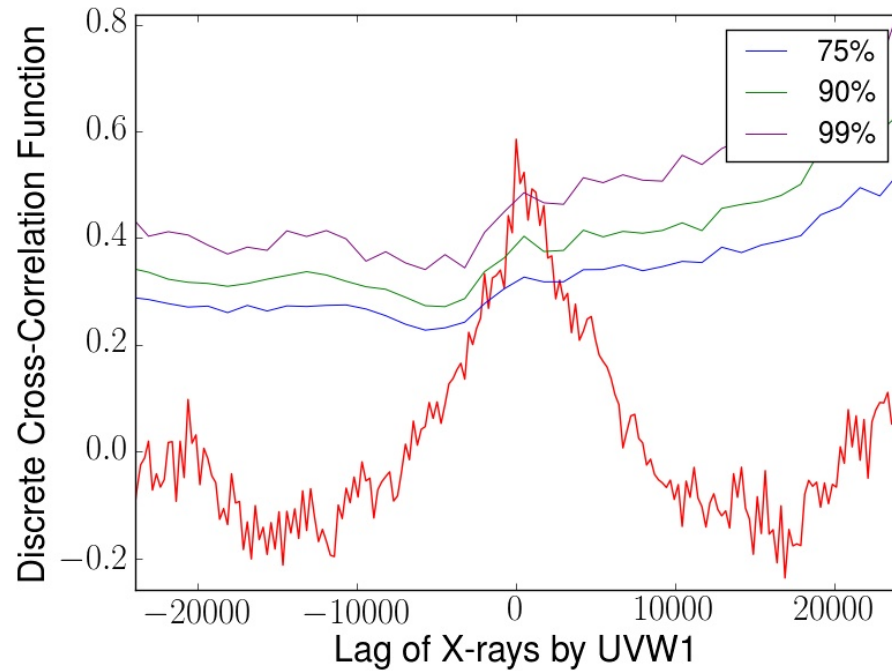
# XMM and ground based monitoring of NGC4395



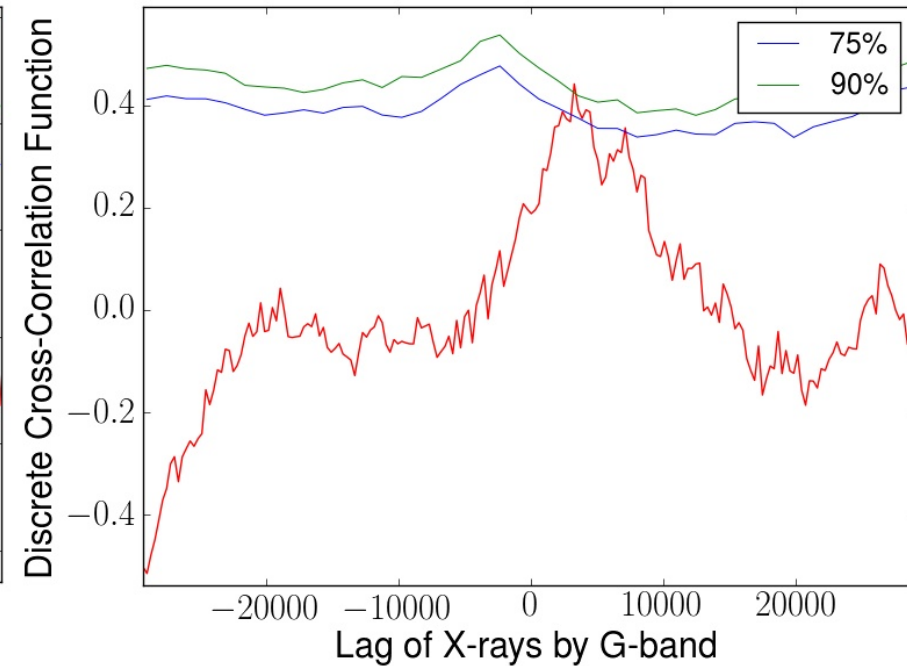
30-31 December 2014



# NGC4395 - DCFs



**X-rays vs UVW1**



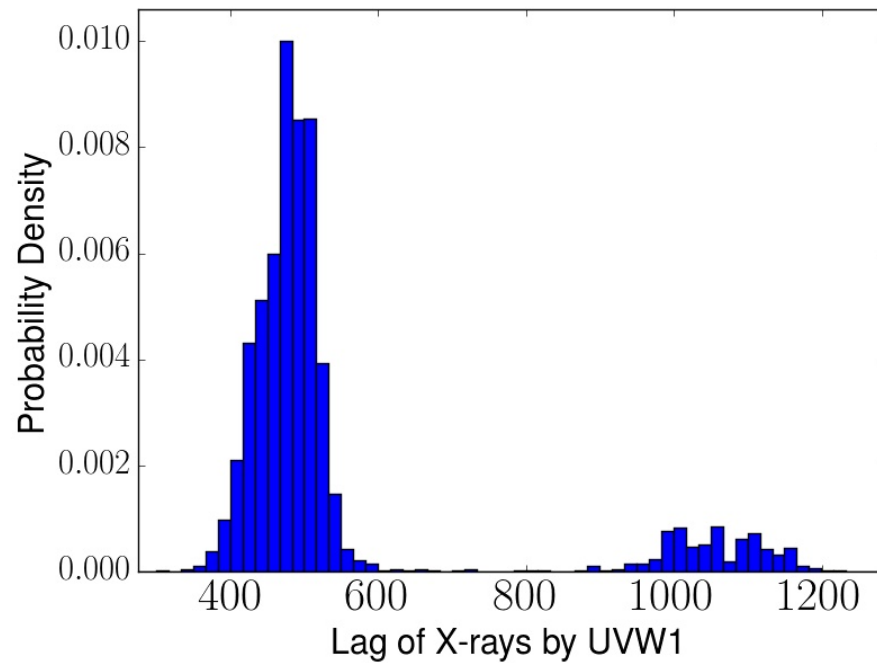
**X-rays vs g-band**

(Using Emmanoulopoulos et al 2013 improved lightcurve simulation method for simulations)

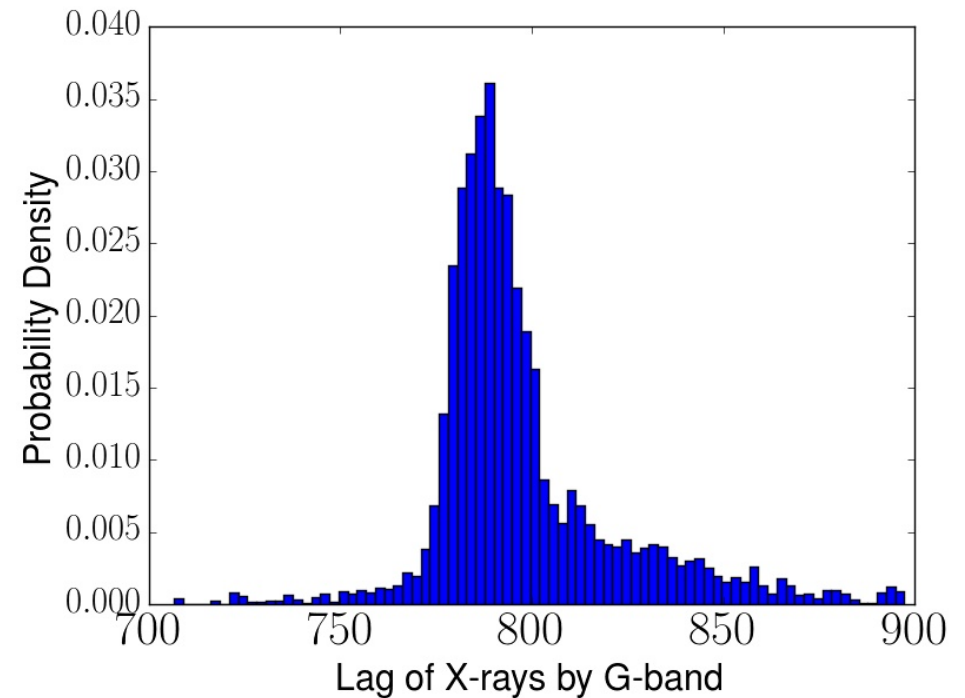


# NGC4395 – Javelin lags

Javelin - Zu et al 2011,13



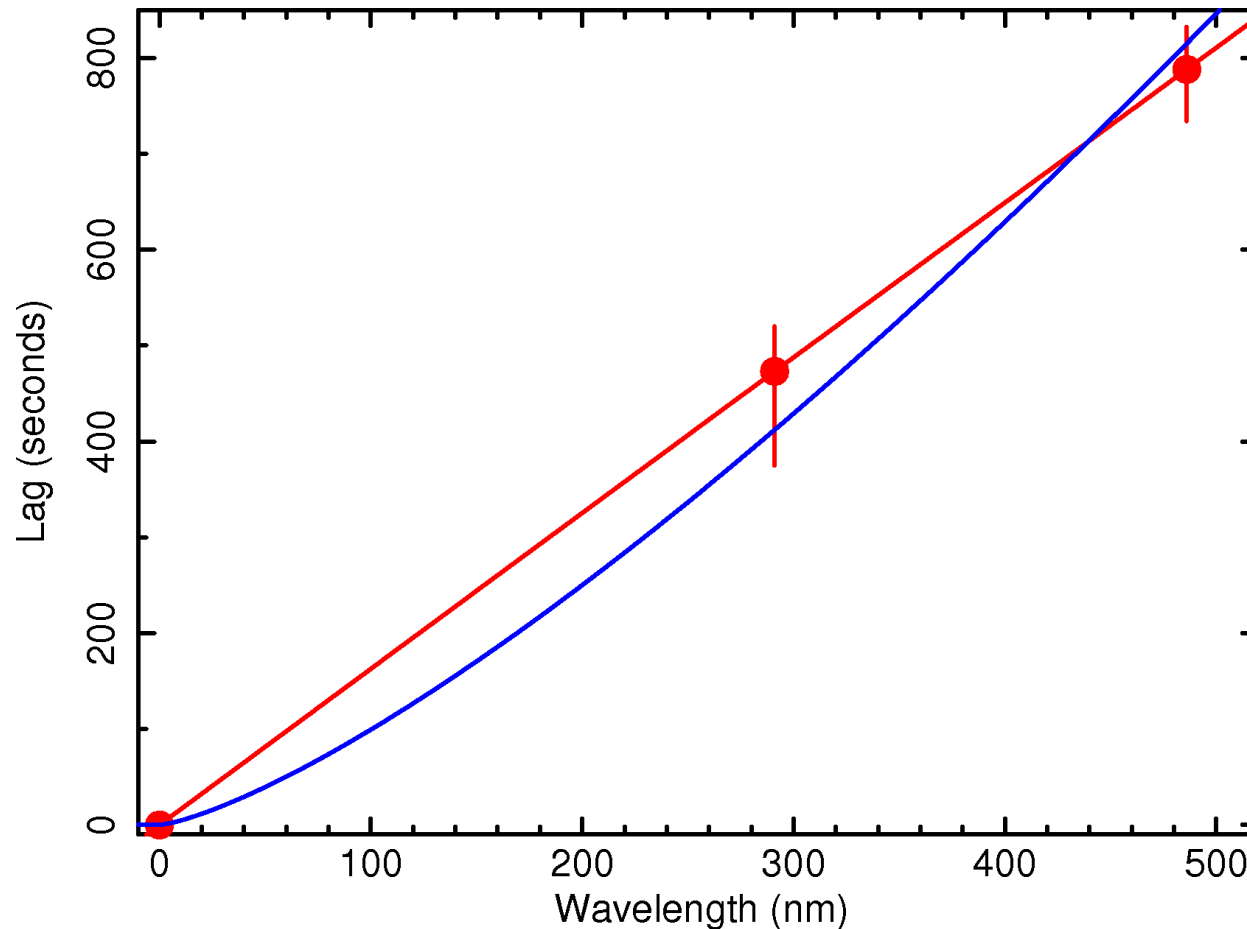
UVW1 lags X-rays by  
**473 (+47, -98) s**



g-band lags X-rays by  
**788 (+44, -54) s**



# NGC4395 – Lags vs Wavelength

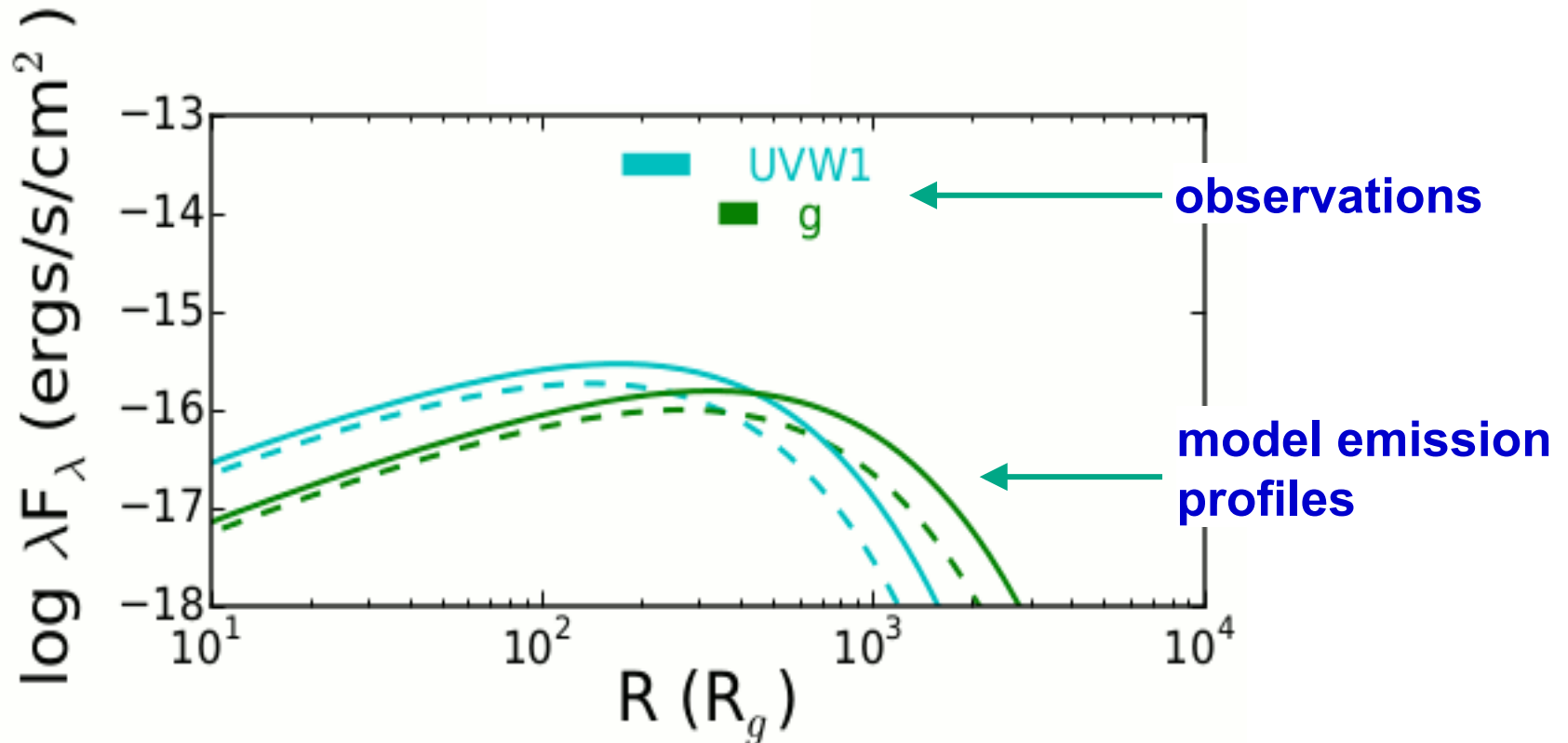


**Simple linear fit (red) is best fit (forced through zero).  
However powerlaw of index  $4/3$  (blue) is also acceptable**





# NGC4395 - Models



**Solid lines – total disc energy release in band, including X-ray contribution**  
**Dashed lines – gravitational energy release**

**Observed lags correspond to peak emission radii (models from P. Lira)**  
**Less ‘disc size discrepancy’ than in NGC5548**



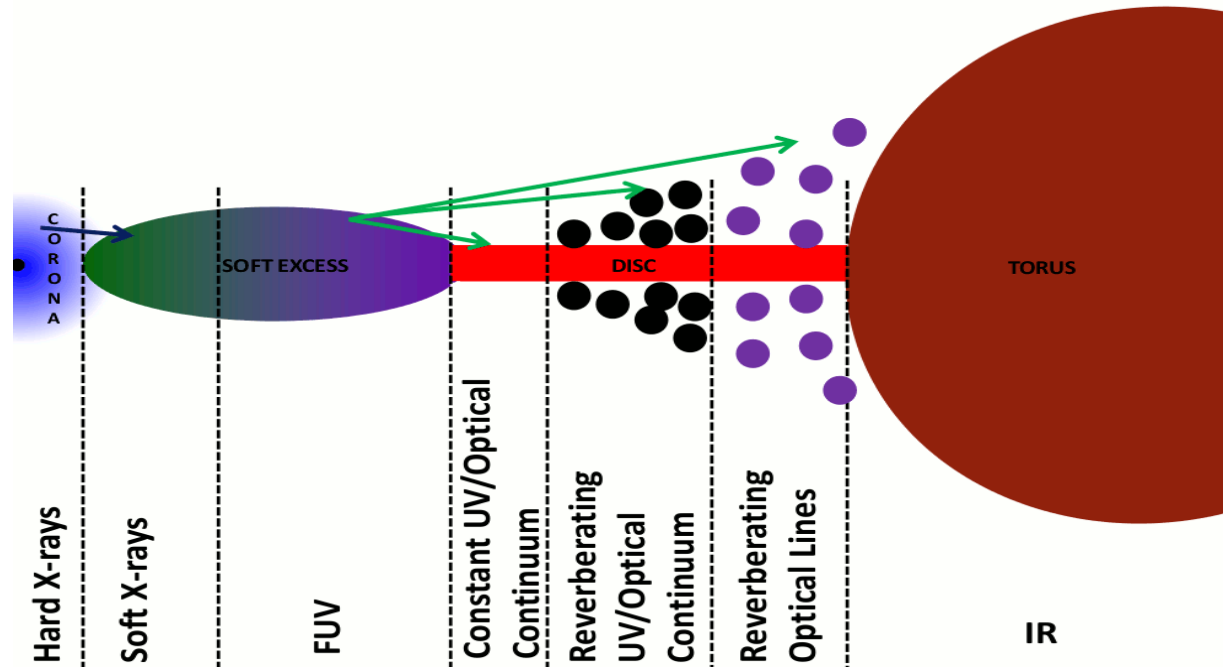
# Observational conclusions

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- The X-rays and uv/optical are reasonably well correlated, particularly on short timescales, but there are long term trends in the UV/optical which are not seen in the X-rays.
- (Almost everywhere) the UV/optical lags behind the X-rays
- For the UV/optical bands,  $lag \sim \lambda^\beta$ , with  $\beta \sim 4/3$  in most cases. Implies a flat reprocessor.
- For disc model, lags imply a larger disc than expected from SS model.
- Need a source of large scale height ( $\sim 100 R_g$ ) to power reprocessing from a disc.
- Reverberation from BLR clearly seen (in u and i bands).



# Possible geometry



Gardner  
+Done 2016

I would add:

Variable heating of inner edge of disc by accretion rate fluctuations on viscous timescales naturally provides the long timescale UV/optical variations, uncorrelated with X-rays.

Some part of the hard X-rays has to hit the reprocessor to provide short timescale X-ray/UV lag.  
- high scale height emission from base of a jet?

Reprocessor has to have flattish geometry to give lag  $\sim \lambda^{4/3}$ . Hard to do with clouds

# Why don't UV/optical disc variations drive X-ray variations?

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## **Solid angle:**

Optical/UV variations from larger radii are seen by distant observer but few are seen by central X-ray source

Larger fraction of the X-ray photons should hit the disc

## **Photon Conservation:**

Compton scattering within X-ray emitting corona conserves photons. However an X-ray photon heating the reprocessor could lead to emission of many more optical/uv photons, dominating variations in intrinsic thermally produced photons.



# Programmes for XMM-Newton

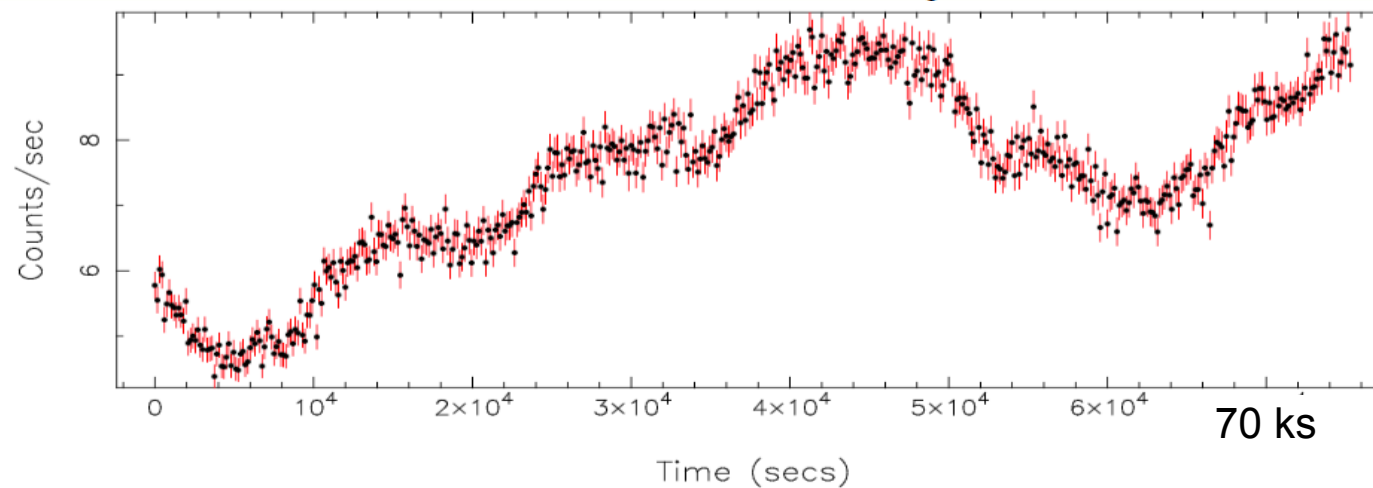
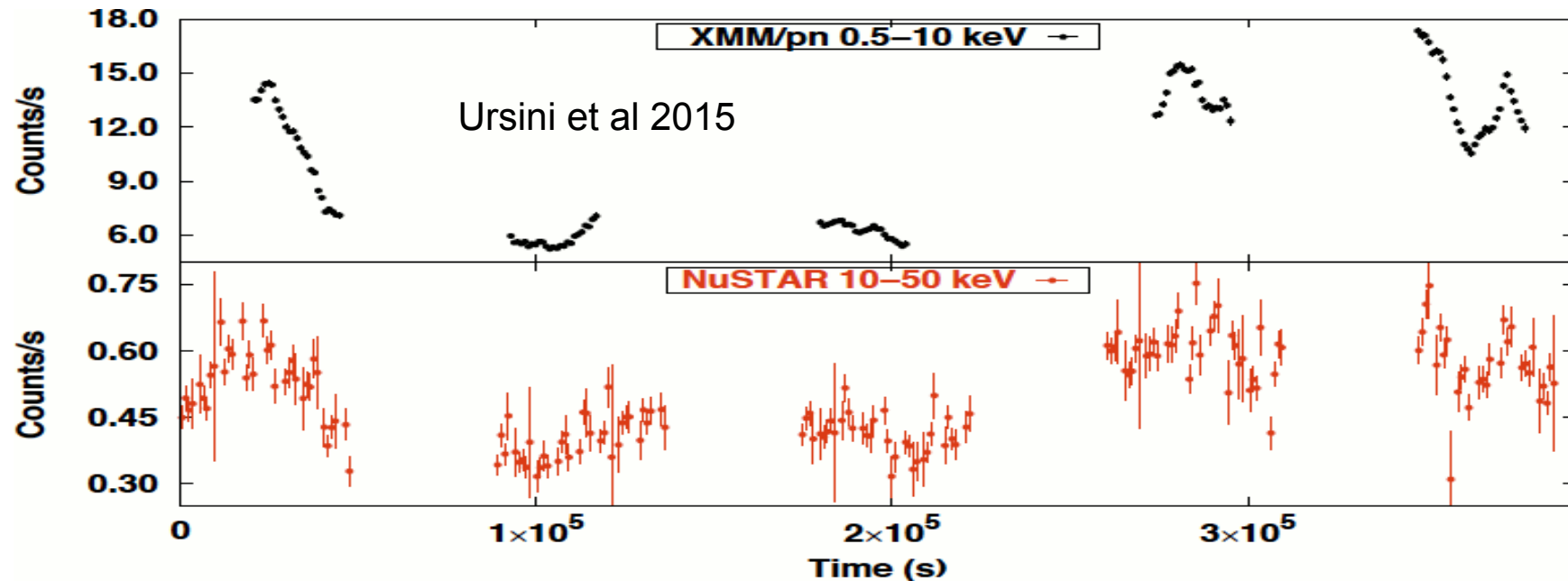
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- Establish how well the X-rays **IN DIFFERENT ENERGY BANDS** correlate with the UV in AGN with low  $M$ , low  $\dot{m}_E$ , ie short lags and measure the lags. **Use OM in continuous fast readout mode.**
- Does the X-ray/UVW1 lag agree with extrapolation of the inter-UV/optical lags? If not, does offset depend on  $M$ ,  $\dot{m}_E$ , disc temp?
- Is  $\beta=4/3$  for all  $M$ ,  $\dot{m}_E$ ? Is 'disc size discrepancy' same in all AGN?
- Sample of  $\sim 5$  AGN with  $\sim 3$  orbits per AGN; would also contribute to study of inter-X-ray reverberation lags (see Fabian talk)
- **XMM is the only observatory able to observe continuously in X-rays and UV for  $>100$ ks and so able to measure correlations and lags in low  $M$  and low  $\dot{m}_E$  AGN**



# More XMM feasibility: eg NGC4593

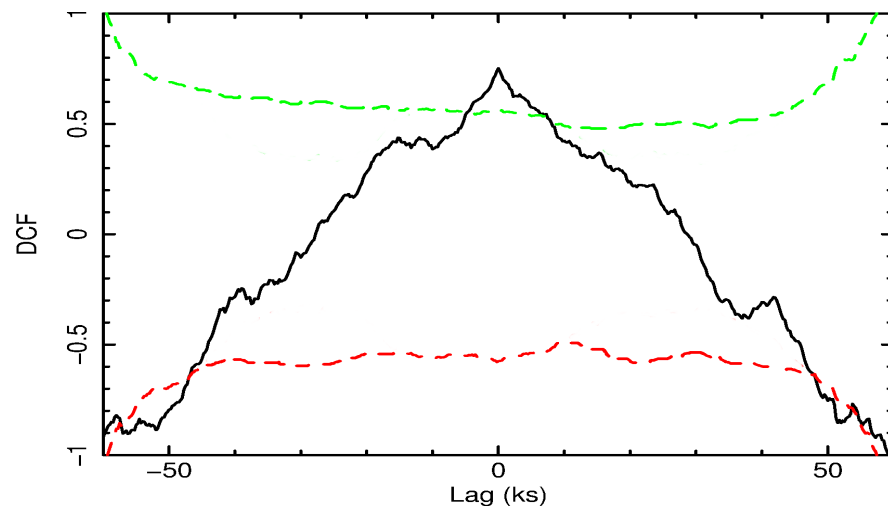
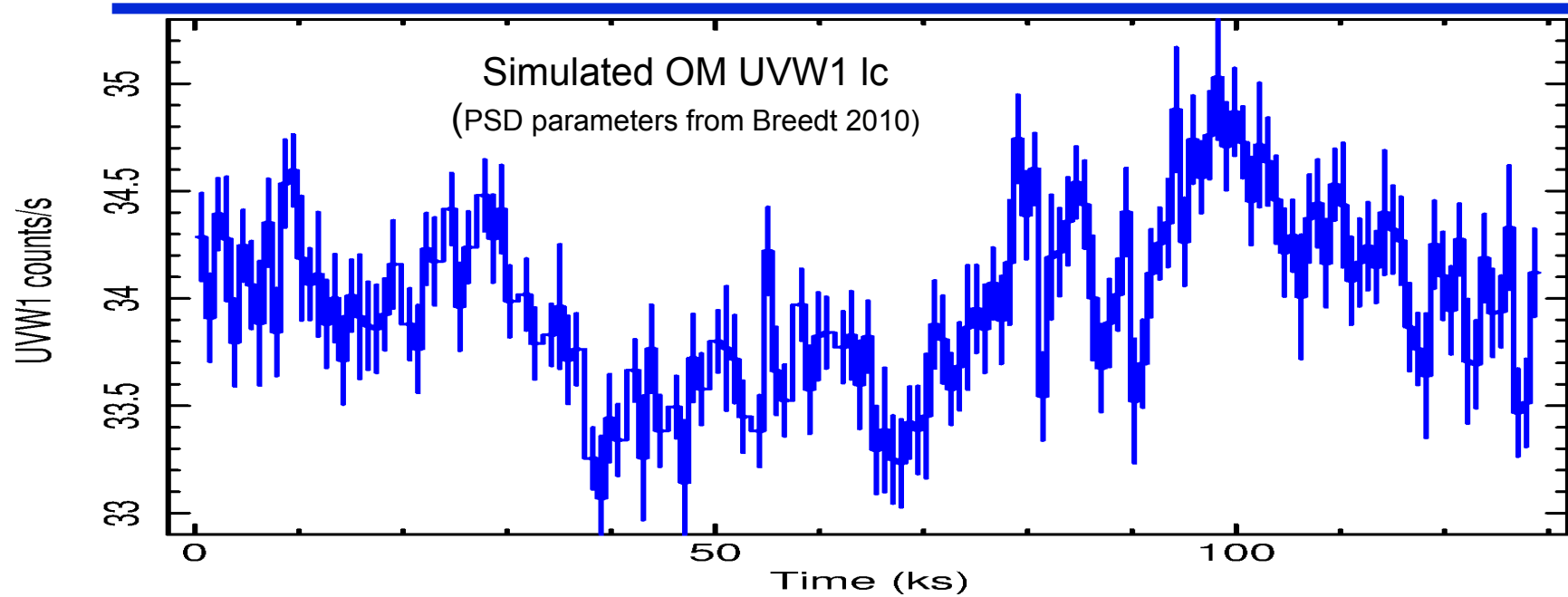
$M=7 \times 10^6$   $\dot{m}_E \approx 0.1$ , expected lag  $\sim 15$ ks



Pipeline MOS 1c  
from Reynolds  
et al 2004 obs



# NGC4593



Simulated (zero lag) PN vs OM DCF  
with 90% and 10% confidence contours

Correlation easily detected.



# CONCLUSIONS

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Measurements of correlation and lag between X-ray and UV provides a vital diagnostic of the inner geometry of AGN

- Accretion disc structure
- Hard X-ray source geometry
- Geometry of Broad Line Region

Swift can typically observe 1 AGN per year.

Swift, in low earth orbit, with shortest sampling ~96min, is best suited to AGN with X-ray/UV lags > few hours, ie mass >  $5 \times 10^6$

**XMM is the only observatory able to observe continuously in X-rays and UV for >100ks and so able to measure correlations and lags in lower M and lower  $\dot{m}_E$  AGN.**